



AGENDA
BASEHOR CITY COUNCIL
DECEMBER 17, 2012 7:00 p.m.
Basehor City Hall

1. **Roll Call** by Mayor David K. Breuer and Pledge of Allegiance
2. **Consent Agenda** (*Items to be approved by Council in one motion, unless objections raised*)
 - a. November 19 & December 3, 2012 City Council Minutes
 - b. Treasurer's Report

3. Call to Public

Members of the public are welcome to use this time to comment about any matter relating to City business that is listed on this Agenda. The comments that are discussed under "Call to Public" may or may not be acted upon by the Council during this meeting. There is a five-minute time limit. (Please wait to be recognized by the Mayor then proceed to the podium; state your name and address).

4. **Unfinished Business** - (None at this time)

New Business

5. Public Hearing for 2012 Budget Amendments
6. Consider 2012 Budget Amendment
7. Consider Personnel Manual Updates
8. Interim City Administrator Salary Adjustment
9. Construction Release Agreement
10. Resolution Authorizing Sale of Bonds
11. Establish Bond Sale Date and Terms
12. Lots 1, 2, 54, and 55 of Briarwood Estates West Subdivision Revised Legal Description and setting a public hearing for Annexation.
13. **City Administrator's Report**
14. **Mayor's Report**
15. **Council Members Report**
16. **Executive Session** (if needed)
17. **Adjournment**

NOTICE OF SPECIAL MEETING

TO THE MEMBERS OF THE GOVERNING BODY OF THE CITY OF BASEHOR, KANSAS:

You are hereby notified that I have called and do hereby call a special meeting of the governing body of the City of Basehor, Kansas to be held at Basehor City Hall, 2620 North 155th Street, Basehor, Kansas, 66007 on December 3, 2012, at 7:30 p.m., for the purpose of:

1. Move to publish a notice of a public budget hearing to be held at 7:00 p.m. on December 17, 2012.
2. Executive Session; to discuss non-elected personnel matters.

DATED: November 28, 2012.

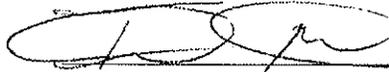


David K. Breuer, Mayor

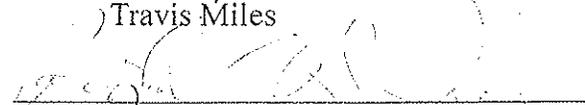
CONSENT TO MEETING

We, the undersigned, being all the members of the Governing Body of the City of Basehor, Kansas, hereby accept service of the foregoing notice, waiving any and all irregularities in such service and in such notice and consent and agree that said Governing Body shall meet at the time and place therein specified and for the purposes therein stated.

DATED: November 28, 2012.



Travis Miles



Richard Drennon



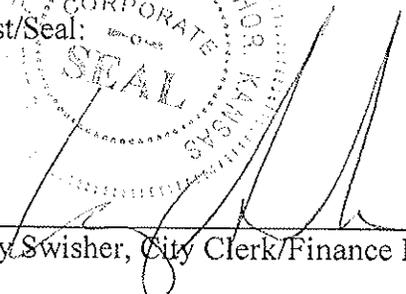
Vernoy Fields

Brian D. Healy

Ty Garver

Attest/Seal:





Corey Swisher, City Clerk/Finance Director

Minutes

Basehor City Council Special Meeting December 3, 2012, 7:30 p.m. Basehor City Hall

Call to Order:

Mayor David K. Breuer called the meeting to order at approximately 4:30 p.m.

Roll Call:

Present: Mayor David K. Breuer, Travis Miles, Vernon Fields, Brian Healy, Dick Drennon, Ty Garver

Not Present: Vernon Fields

Staff Present: Interim City Administrator/Police Chief Lloyd Martley, City Clerk/Finance Director Corey Swisher, City Engineer Mitch Pleak, City Attorney Shannon Marcano

Purpose of Special Meeting:

1. Move to publish a notice of a public budget hearing to be held at 7:00 p.m. on December 3rd, 2012.

Healy moved to publish the notice with Drennon seconding. The motion passed unanimously, 4-0.

2. Executive Session; to discuss non-elected personnel matters.

Healy moved to enter Executive Session to discuss non-elected personnel matters with Garver seconding. The motion passed unanimously, 4-0.

Healy moved to adjourn at approximately 9:10 p.m. with Garver seconding. The motion passed unanimously, 4-0.

David K. Breuer, Mayor

Attest/Seal:

Corey Swisher, City Clerk/Finance Director



Basehor City Council Meeting Minutes Basehor City Hall, November 19, 2012

1. Roll Call by Mayor David K. Breuer and Pledge of Allegiance

Mayor David K. Breuer called the meeting to order at 7:00 p.m. and led the audience in the Pledge of Allegiance.

Council Members present: Mayor David K. Breuer, Travis Miles, Richard Drennon, Brian Healy

Not Present: Vernon Fields, Ty Garver

Staff Present: Interim City Administrator/Police Chief Lloyd Martley, City Engineer Mitch Pleak, City Superintendent Gene Myracle, City Clerk/Finance Director Corey Swisher

2. Consent Agenda *(Items to be approved by Council in one motion, unless objections raised)*

- a. Treasurer's Report
- b. October 15 City Council Minutes
- c. Shorty's Liquor Store – Liquor License
- d. Field of Dreams Operating Agreement
- e. Independent External Audit Services Agreement
- f. Ordinance 620 – Court Costs Amendment

Healy moved to approve the Consent Agenda with Drennon seconding. The motion passed unanimously, 3-0.

3. Call to Public

Elaine Bundy asked for improved scoring at the Field of Dreams Athletic Complex. She then asked Council to continue providing youth programming rebates.

4. Unfinished Business

There was none.

5. New Business

6. Funding Extension of City Services (lots 1, 2, 54, 55 of Briarwood Estates)

Council reached a consensus to issue General Obligation bonds to finance the required sewer improvements.

7. Annexation Resolution (lots 1, 2, 54, 55 of Briarwood Estates)

Miles moved to pass the Resolution (2012-21) with Healy seconding. The motion passed unanimously, 3-0.

8. Public Hearing Resolution for Annexation (lots 1, 2, 54, 55 of Briarwood Estates)

Healy moved to pass the Resolution (2012-22) with Miles seconding. The motion passed unanimously, 3-0.

9. Ordinance No. 619 - Commercial Sewer Connection Fees

Drennon moved to pass the Ordinance with Miles seconding. The motion passed unanimously, 3-0.

10. Extension of Neighborhood Revitalization Programs

Miles moved to pass Resolution 2012-23 with Healy seconding. The motion passed unanimously, 3-0.

Miles moved to pass Resolution 2012-24 with Healy seconding. The motion passed unanimously, 3-0.

Drennon moved to pass Resolution 2012-25 with Healy seconding. The motion passed unanimously, 3-0.

11. Field of Dreams Operating Agreement

Miles moved to approve the agreement with Drennon seconding. The motion passed unanimously, 3-0.

12. City Administrator's Report

Martley announced the City had received a Gold Star Award from KMIT for loss prevention. The City has now received the award ten years in a row.

13. Mayor's Report

Breuer reported the County would soon approve their road development program.

14. Council Members Report

Miles wished everyone a Happy Thanksgiving.

15. Executive Session

Healy moved to enter Executive Session for 15 minutes in order to discuss non-elected personnel matters with Miles seconding. The motion passed unanimously, 3-0. No decisions were made.

16. Adjournment

Healy moved to adjourn the meeting at approximately 8:01 p.m. with Miles seconding. The motion passed unanimously, 3-0.

David K. Breuer, Mayor

Attest:

Corey Swisher, City Clerk



The City of Basehor

Date: December 11, 2012

To: Basehor Mayor & City Council
Lloyd Martley, Interim City Administrator

From: Corey Swisher, City Clerk/Finance Director

Re: December 2012, Treasurer's Report

Background:

The attached report contains the financial summaries of the revenue and expenditure activities of the City of Basehor for the month ending November 30, 2012.

- Revenues and expenses appear inflated in the Sewer and Bond & Interest Fund due to the recent debt issuance and refunding.
- Sales tax collections continue to track favorably.

Attachments:

City-Wide Financial Snapshot
Monthly Sales Tax Report
Solid Waste Enterprise Fund Report
Sewer Enterprise Fund Report
Monthly Check Register

CITY OF BASEHOR

DECEMBER 2012 FINANCIAL SNAPSHOT

Funds

Fund	Budget	Revenues	Expenditures	% Collected	% Utilized	Current Balance
General	\$1,867,561	\$1,775,024	\$1,452,270	95%	78%	\$607,841
Special Park	\$25,000	\$12,096	\$23,887	48%	96%	\$125,574
Sewer	\$1,379,625	\$5,725,184	\$5,684,394	415%	412%	\$712,576
Cedar Lake Maintenance	\$40,000	\$15,545	\$30,764	39%	77%	\$13,394
Bond & Interest	\$702,234	\$2,127,405	\$1,928,922	303%	275%	\$981,863
Solid Waste	\$280,897	\$269,451	\$196,032	96%	70%	\$130,862
Special Highway	\$715,000	\$485,189	\$419,168	68%	59%	\$2,140,391
Municipal Equip. Reserve	\$8,840	\$852	\$45,966	10%	520%	\$309,624
Capitla Improvement	\$0	\$73,657	\$0	0%	100%	\$1,374,034
Employee Benefit	\$489,630	\$468,610	\$395,859	96%	81%	\$130,668
LCSD#3	\$33,000	\$20,602	\$23,589	62%	71%	\$22,876
	\$5,541,787	\$10,973,615	\$10,200,852	198%	184%	\$6,549,703

Department

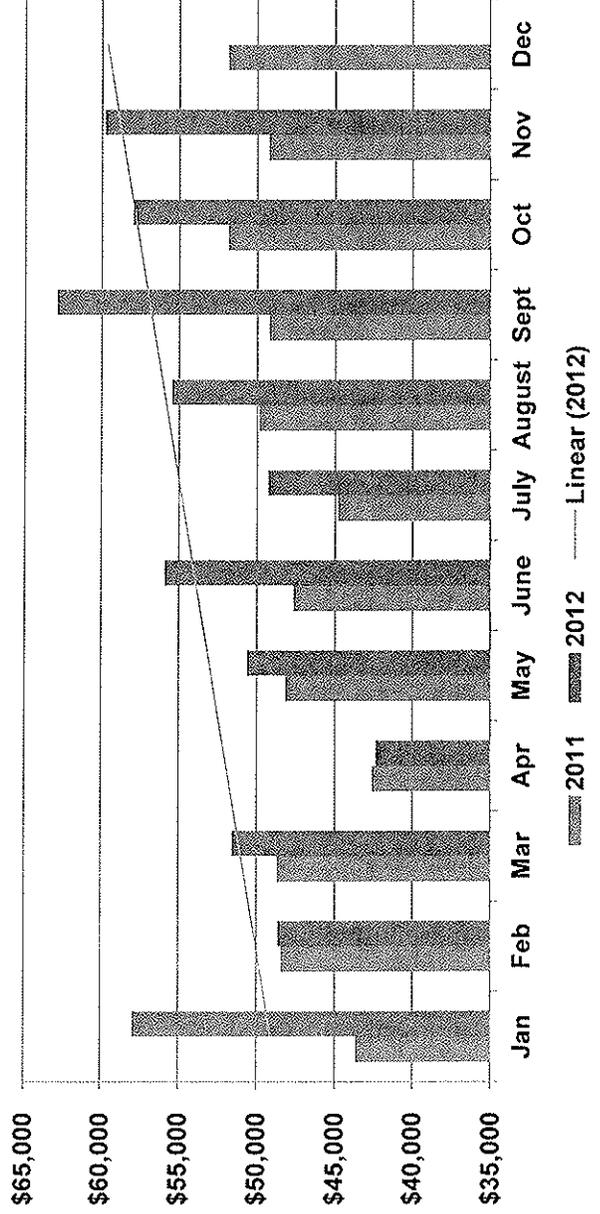
General	Budget	Expenditures	Remaining Balance	% Utilized
Clerk	\$306,950	\$277,982	\$28,968	91%
Street	\$183,100	\$165,393	\$17,707	90%
Governing Body	\$44,614	\$37,105	\$7,509	83%
Police	\$771,650	\$658,907	\$112,743	85%
Facilities	\$131,775	\$108,002	\$23,773	82%
Administrator	\$141,600	\$41,965	\$99,635	30%
Park & Recreation	\$32,955	\$31,600	\$1,355	96%
Miscellaneous	\$85,518	\$16,447	\$69,071	19%
HR	\$16,000	\$19,788	-\$3,788	124%
Planning	\$153,400	\$95,080	\$58,320	62%
	\$1,867,562	\$1,452,270	\$415,292	78%

MONTHLY SALES TAX COLLECTIONS 2008-2012

	2008	2009	2010	2011	2012	Monthly Diff. '11 - '12	% Difference	2011 YTD	2012 YTD	Diff. '11 - '12	% Difference
Jan	43,516.85	43,726.32	44,933.16	43,677.78	57,962.61	14,284.83	32.7%	43,677.78	57,962.61	14,284.83	32.7%
Feb	46,544.07	49,937.92	59,338.25	48,453.55	48,668.43	214.88	0.4%	92,131.33	106,631.04	14,499.71	15.7%
Mar	46,125.81	59,417.29	44,064.14	48,692.52	51,596.39	2,903.87	6.0%	140,823.85	158,227.43	17,403.58	12.4%
Apr	41,298.70	41,900.26	46,686.51	42,633.11	42,372.82	-260.29	-0.6%	183,456.96	200,600.25	17,143.29	9.3%
May	44,634.80	41,070.02	47,865.00	48,186.99	50,639.59	2,452.60	5.1%	231,643.95	251,239.84	19,595.89	8.5%
June	52,647.16	43,320.60	48,059.05	47,682.94	55,921.34	8,238.40	17.3%	279,326.89	307,161.18	27,834.29	10.0%
July	47,720.81	46,612.85	43,151.43	44,834.43	49,304.00	4,469.57	10.0%	324,161.32	356,465.18	32,303.86	10.0%
Aug	47,209.53	50,284.61	52,935.19	49,903.11	55,484.63	5,581.52	11.2%	373,412.16	411,949.81	38,537.65	10.3%
Sept	50,855.51	52,550.48	52,062.71	49,250.84	62,953.65	13,702.81	27.8%	425,295.24	474,903.46	49,608.22	11.7%
Oct	48,068.36	52,382.92	47,483.47	51,883.08	57,986.12	6,103.04	11.8%	477,178.32	532,889.58	55,711.26	11.7%
Nov	43,264.92	47,111.87	44,789.92	49,270.35	59,821.88	10,551.53	21.4%	526,448.67	592,711.46	66,262.79	12.6%
Dec	43,884.31	56,073.14	46,693.70	51,874.96							
2012 TDD	555,770.83	584,388.28	578,062.53	576,343.66	592,711.46	68,242.76	11.8%				

2012 TDD \$11,898.38

2011-12 Month to Month Comparison





SOLID WASTE FUND HIGHLIGHTS

For the Month of December 2012

MONTH	2011	2012	% DIFFERENCE
	SOLID WASTE COUNT	SOLID WASTE COUNT	
January	1,639	1,653	0.85%
February	1,635	1,655	1.21%
March	1,633	1,665	1.92%
April	1,638	1,672	2.03%
May	1,639	1,690	3.02%
June	1,642	1,688	2.73%
July	1,639	1,690	3.02%
August	1,639	1,701	3.64%
September	1,645	1,701	3.29%
October	1,647	1,697	2.95%
November	1633	1696	3.71%
December	1639		
AVERAGE	1,639	1,683	2.59%

MONTH	2011	2012	% DIFFERENCE
	SOLID WASTE BILLED	SOLID WASTE BILLED	
January	\$16,413.01	\$23,276.77	29.49%
February	\$23,010.32	\$23,320.00	1.33%
March	\$22,959.12	\$23,379.98	1.80%
April	\$23,033.99	\$23,518.26	2.06%
May	\$23,040.15	\$23,794.81	3.17%
June	\$23,065.60	\$23,774.99	2.98%
July	\$23,017.69	\$23,719.21	2.96%
August	\$23,030.35	\$23,858.00	3.47%
September	\$23,112.81	\$23,922.17	3.38%
October	\$23,166.10	\$23,821.96	2.75%
November	\$23,016.61	\$23,784.36	3.23%
December	\$14,899.96		
TOTAL	\$261,765.71	\$260,170.51	

MONTH	2011	2012	% DIFFERENCE
	AVERAGE BILL	AVERAGE BILL	
January	\$10.01	\$14.08	28.91%
February	\$14.07	\$14.09	0.14%
March	\$14.06	\$14.04	-0.14%
April	\$14.06	\$14.07	0.07%
May	\$14.06	\$14.08	0.14%
June	\$14.05	\$14.07	0.14%
July	\$14.04	\$14.04	0.00%
August	\$14.05	\$14.03	-0.14%
September	\$14.05	\$14.06	0.07%
October	\$14.07	\$14.04	-0.21%
November	\$14.09	\$14.02	-0.50%
December	\$14.06		
AVERAGE	\$13.72	\$14.06	



SEWER FUND HIGHLIGHTS

For the Month of November 2012

	2011	2012	
	SWR	SWR	%
MONTH	COUNT	COUNT	DIFFERENCE
January	1679	1789	6.15%
February	1676	1792	6.47%
March	1679	1797	6.57%
April	1774	1799	1.39%
May	1781	1801	1.11%
June	1779	1798	1.06%
July	1778	1799	1.17%
August	1781	1806	1.38%
September	1774	1805	1.72%
October	1777	1806	1.61%
November	1770	1806	1.99%
December	1776		
AVERAGE	1752	1800	2.66%

	2011	2012	
	SWR	SWR	%
MONTH	BILLED	BILLED	DIFFERENCE
January	\$80,781.73	\$89,188.63	10.41%
February	\$80,627.21	\$89,662.48	11.21%
March	\$80,471.02	\$88,970.52	10.56%
April	\$80,010.76	\$89,233.29	11.53%
May	\$90,216.49	\$91,548.66	1.48%
June	\$89,998.38	\$89,646.47	-0.39%
July	\$89,284.06	\$90,508.05	1.37%
August	\$90,683.38	\$90,985.23	0.33%
September	\$91,270.81	\$92,083.69	0.89%
October	\$91,521.37	\$90,721.14	-0.87%
November	\$86,644.10	\$90,453.78	4.40%
December	\$86,654.61		
TOTAL	\$1,038,163.92	\$993,001.94	

	2011	2012	
	AVERAGE	AVERAGE	%
MONTH	SWR BILL	SWR BILL	DIFFERENCE
January	\$48.11	\$49.85	3.61%
February	\$48.11	\$50.03	4.00%
March	\$47.93	\$49.51	3.30%
April	\$45.10	\$49.60	9.97%
May	\$50.65	\$50.83	0.36%
June	\$50.59	\$49.86	-1.44%
July	\$50.22	\$50.31	0.18%
August	\$50.92	\$50.38	-1.06%
September	\$51.45	\$51.02	-0.83%
October	\$51.50	\$50.23	-2.47%
November	\$48.95	\$50.09	2.33%
December	\$48.79		
AVERAGE	\$49.36	\$50.16	1.61%

Check Register Report

Date: 12/05/2012

Time: 3:27 PM

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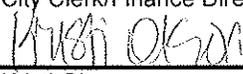
City Of Basehor

BANK: First State Bank

Check Number	Check Date	Status	Void/Stop Date	Vendor Number	Vendor Name	Check Description	Amount
First State Bank Checks							
20194	12/05/2012	Printed		KS TREASUR	KANSAS STATE TREASURER	STATE MANDATED COURT FEES	1,071.00
20195	12/05/2012	Printed		MIDWEST PU	MIDWEST PUBLIC RISK	GROUP DENTAL INSURANCE/DEC	1,325.00
20196	12/05/2012	Printed		MULCH	MULCH-SMART	MULCH/PLAYGROUND @ CITY PARK	3,729.00
				Total Checks: 3	Checks Total (excluding void checks):		6,125.00


 _____ 12-6-12
 Lloyd Martley Date
 Interim City Administrator


 _____ 12/3/12
 Corey Swisher Date
 City Clerk/Finance Director


 _____ 12/5/12
 Kristi Olson Date
 Accounting Clerk

Check Register Report

Date: 11/15/2012

Time: 2:32 PM

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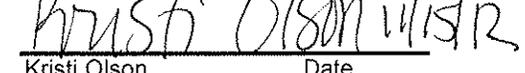
City Of Basehor

BANK: First State Bank

Check Number	Check Date	Status	Void/Stop Date	Vendor Number	Vendor Name	Check Description	Amount
First State Bank Checks							
20135	11/15/2012	Printed		AFFINIS	AFFINIS CORP	DESIGN SVCS/ WC PKWY IMPRVMENTS	34,821.74
20136	11/15/2012	Printed		AMER SECUR	AMER SECURITY CONTRACTORS LLC	MONTHLY MONITOR/WWTF/NOV	117.00
20137	11/15/2012	Printed		ASPHALT	ASPHALT SALES COMPANY INC	ASPHALT MIX/STREET REPAIRS	3,083.02
20138	11/15/2012	Printed		DIXON	HEATHER DIXON	YOUTH SPORTS REBATE X2	90.00
20139	11/15/2012	Printed		ETS	ETS	CITYWIDE CREDIT CARD FEES	240.74
20140	11/15/2012	Printed		FASTENAL	FASTENAL COMPANY	SUPPLIES/WWTF MAINT EQUIP	60.56
20141	11/15/2012	Printed		FEEZELL/TR	TRACI FEEZELL	YOUTH SPORTS REBATE	45.00
20142	11/15/2012	Printed		GALL/TERRY	TERRY & TRACI GALL	YOUTH SPORTS REBATE	67.50
20143	11/15/2012	Printed		HARTFORD	HARTFORD RETIREMENT PLANS	401/457 RETIREMENT CONTRIB	2,716.72
20144	11/15/2012	Printed		HUBER	ALEX & DANIELLE HUBER	YOUTH SPORTS REBATE	67.50
20145	11/15/2012	Printed		JOHNSON CL	JOHNSON COUNTY GOVERNMENT	BI MNTHLY FLOW TEST/WWTF 10-18	179.00
20146	11/15/2012	Printed		KANSAS ONE	KANSAS ONE-CALL SYSTEMS, INC.	MONTHLY LOCATE SVC/OCT/124 LOC	173.60
20147	11/15/2012	Printed		KANSAS PAY	KANSAS PAYMENT CENTER	PAYROLL DIRECTED DEDUCTION	658.73
20148	11/15/2012	Printed		KS TREASUR	KANSAS STATE TREASURER	STATE MANDATED COURT FEES	1,419.50
20149	11/15/2012	Printed		KPF EFT	KPF EFT PROGRAM	POLICE RETIRE CONTRIBUTION	4,874.35
20150	11/15/2012	Printed		LAND CO	LAND CO REAL ESTATE SVCS INC	ROW ACQUIST SVCS/WC PKWY IMPRV	495.00
20151	11/15/2012	Printed		LANGFORD	JASON LANGFORD	YOUTH SPORTS REBATE	45.00
20152	11/15/2012	Printed		LEAV TREAS	LEAVENWORTH CO TREASURER	SPECIAL ASSESSMENTS/BSHR BLVD	3,819.14
20153	11/15/2012	Printed		LEE	MARK LEE	MILEAGE REIMB/AUG-OCT/TONGIE	266.40
20154	11/15/2012	Printed		LUCAS/DA	DARRELL LUCAS	YOUTH SPORTS REBATE	67.50
20155	11/15/2012	Printed		MCCARTY	RYAN & AMY MCCARTY	YOUTH SPORTS REBATE	22.50
20156	11/15/2012	Printed		PATCHEN EL	PATCHEN ELECTRICAL &	(8)RUN CAPACITORS/LIFT STATION	102.82
20157	11/15/2012	Printed		PRAY	WILLIAM E. PRAY	MUNICIPAL JUDGE SERVICES	300.00
20158	11/15/2012	Printed		REGISTER	REGISTER OF DEEDS	MTG REG TAX FEE/RECORD FEE	160.00
20159	11/15/2012	Void	11/15/2012	SHARP/RH	RHONDA SHARP	WRONG FIRST NAME	0.00
20160	11/15/2012	Printed		SMITH/CHRI	CHRIS SMITH	YOUTH SPORTS REBATE X2	90.00
20161	11/15/2012	Printed		SPECTRA	SPECTRA	3DZ LTHR GRIP WORK GLOVES/PW	312.84
20162	11/15/2012	Printed		TJ MCL	TJ MCLAURY REAL ESTATE CO	REIMB CREDIT BALANCE ON ACCT	18.09
20163	11/15/2012	Printed		WESTAR GRP	WESTAR ENERGY	ELECTRIC USAGE	14,049.10
20164	11/15/2012	Printed		WHEELER	JACOB A WHEELER	YOUTH SPORTS REBATE X2	90.00
20165	11/15/2012	Printed		WHITE GOSS	WHITE GOSS BOWERS MARCH	LEGAL SVCS TO 10/15- CONTRACT	3,892.50
20166	11/15/2012	Printed		ZEE MED	ZEE MEDICAL SERVICE	REFILL CITY HALL 1ST AID CAB	78.10
20167	11/15/2012	Printed		SHARP/RO	ROBIN SHARP	YOUTH SPORTS REBATE X2	112.50
Total Checks: 33						Checks Total (excluding void checks):	72,536.45


 Lloyd Martley Date
 Interim City Administrator


 Corey Swisher Date
 City Clerk/Finance Director


 Kristi Olson Date
 Accounting Clerk

Check Register Report

Date: 11/29/2012

Time: 2:58 PM

Page: 1

City Of Basehor

BANK: First State Bank

Check Number	Check Date	Status	Void/Stop Date	Vendor Number	Vendor Name	Check Description	Amount
First State Bank Checks							
20168	11/29/2012	Printed		ATMOS ENER	ATMOS ENERGY	GAS USAGE	126.31
20169	11/29/2012	Printed		AUTEN	SHERI AUTEN	YOUTH SPORTS REBATE X6	232.50
20170	11/29/2012	Printed		COMMERCE	COMMERCE PURCHASING CARD	CITYWIDE PURCHASING CARD CHGS	48,525.59
20171	11/29/2012	Printed		CONS	CONS RURAL WATER DISTRICT #1	WATER USAGE	206.45
20172	11/29/2012	Printed		DEFFENBAU	DEFFENBAUGH DISPOSAL SERVICE	CITYWIDE TRASH PICKUP SVC/OCT	19,453.65
20173	11/29/2012	Printed		FRANK/HEAT	HEATHER FRANK	YOUTH SPORTS REBATE	22.50
20174	11/29/2012	Printed		HARTFORD	HARTFORD RETIREMENT PLANS	401/457 RETIREMENT CONTRIB	2,729.72
20175	11/29/2012	Printed		KBI LAB	KANSAS BUREAU OF INVESTIGATION	LAB FEES/COURT ORDERED	400.00
20176	11/29/2012	Printed		KANSAS PAY	KANSAS PAYMENT CENTER	PAYROLL DIRECTED DEDUCTION	658.73
20177	11/29/2012	Printed		KELLY	MICHAEL E KELLY	CITY PROSECUTOR FEES	527.00
20178	11/29/2012	Printed		KPF EFT	KPF EFT PROGRAM	POLICE RETIRE CONTRIBUTION	6,142.74
20179	11/29/2012	Printed		LV SHERIFF	LEAVENWORTH COUNTY SHERIFF	JAIL BOARD FEES	52.50
20180	11/29/2012	Printed		MCGOWAN	SHARA MCGOWAN	YOUTH SPORTS REBATE	67.50
20181	11/29/2012	Printed		MERCHANT S	MERCHANT SERVICES GROUP	MINIMUM CREDIT CARD FEES	33.00
20182	11/29/2012	Printed		PENEGAR/JE	JEN PENEGAR	YOUTH SPORTS REBATE X 2	90.00
20183	11/29/2012	Printed		POVILONIS	CHARLES & KRISTIN POVILONIS	YOUTH SPORTS REBATE	45.00
20184	11/29/2012	Printed		PRICE/JE	JEANNE PRICE	YOUTH SPORTS REBATE X2	90.00
20185	11/29/2012	Printed		REGISTER	REGISTER OF DEEDS	MONTHLY LAREDO BILLING/OCT	60.00
20186	11/29/2012	Printed		SALAZAR/A	ANITA SALAZAR	YOUTH SPORTS REBATE	67.50
20187	11/29/2012	Printed		SANCHEZ	HOLLY SANCHEZ	YOUTH SPORTS REBATE	22.50
20188	11/29/2012	Printed		SMITH/CHRI	CHRIS SMITH	YOUTH SPORTS REBATE	67.50
20189	11/29/2012	Printed		SONNTAG	SONNTAG LAW OFFICE	COURT APPOINTED ATTORNEY FEE	400.00
20190	11/29/2012	Printed		SPOTS OFF	SPOTS OFF LLC	CAR WASHES/POLICE DEPT	50.72
20191	11/29/2012	Printed		REILLY COM	THE REILLY COMPANY LLC	POLICY CHG/ADD NEW POLICE CAR	59.00
20192	11/29/2012	Printed		WESTAR	WESTAR ENERGY	ELECTRIC USAGE	8,767.30
20193	11/29/2012	Printed		WRIGHT EX	WRIGHT EXPRESS	FLEET FUEL CHARGES/OCT 2012	3,416.91

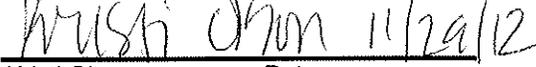
Total Checks: 26

Checks Total (excluding void checks):

92,314.62


 Lloyd Martley Date 11/29/12
 Interim City Administrator


 Corey Swisher Date
 City Clerk/Finance Director


 Kristi Olson Date 11/29/12
 Accounting Clerk



The City of Basehor

Date: December 11, 2012

To: Basehor City Council
Lloyd Martley, Interim City Administrator

From: Corey Swisher, City Clerk

Re: 2012 Budget Amendments (Special Parks & Recreation, Bond & Interest, Sewer)

Background:

Municipalities are authorized by K.S.A 79-2929a to amend their budget to spend funds not in the original budget. The additional expenditures are to be made from existing revenue or cash balances and cannot require additional tax levies.

K.S.A. 79-2929a requires the City hold a public hearing on a budget amendment at least ten days after publication of the notice of the hearing. To comply with these statutory requirements, the public hearing has been preliminarily scheduled for Council's regular meeting on December 17, 2012. It is required that the Notice of Budget hearing page of the attached State of Kansas amended budget document be published in the City's newspaper of record (*Basehor Sentinel*) on Thursday December 6, 2012, (a minimum of ten days notice is required). At the December 17, 2012, meeting Council will be asked to vote on the amended budget after the public hearing.

The proposed budget amendment is conservative and allows for any unexpected end of year expenses. The amendment only provides budget authority. Due to the successful debt refunding the City assumed debt service expenses in the Debt Service Fund and Sewer Fund that exceeded the original budget. All additional expenses incurred in these two Funds were completely offset by bond proceeds. On April 16, 2012, the Basehor City Council voted to approve \$15,000 in additional capital improvement expenses for the Field of Dreams which would be accounted for in the Special Parks Fund. The Special Parks Fund is not a property tax supported Fund.

Process:

1. Establish Public Hearing Date
2. Publish Notice
3. Conduct Public Hearing
4. Adopt Amended Budget
5. File Amended Budget with County Clerk

Staff Request:

Hold Public Hearing.

**Notice of Budget Hearing for Amending the
2012 Budget**

The governing body of
City of Basehor

will meet on the day of December 17, 2012 at 7:00 p.m. at Basehor City Hall, 2620 North 155th Street, Basehor, KS 66007 for the purpose of hearing and answering objections of taxpayers relating to the proposed amended use of funds.

Detailed budget information is available at Basehor City Hall, 2620 North 155th Street, Basehor, KS 66007 and will be available at this hearing.

Summary of Amendments

Fund	2012 Adopted Budget			2012 Proposed Amended Expenditures
	Actual Tax Rate	Amount of Tax that was Levied	Expenditures	
Debt Service	2.044	101,288	702,234	1,992,995
Sewer			1,379,625	5,907,247
Special Park			25,000	40,000
0			0	0
0			0	0
0			0	0

Corey Swisher

Official Title: City Clerk/Finance Director



The City of Basehor

Date: December 11, 2012

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Lloyd Martley, Interim City Administrator

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Process:

1. Establish Public Hearing Date
2. Publish Notice
3. Conduct Public Hearing
4. Adopt Amended Budget
5. File Amended Budget with County Clerk

Staff Request:

Approve Amended Budget.

**Notice of Budget Hearing for Amending the
2012 Budget**

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City of Basehor

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Sewer			1,379,625	5,907,247
Special Park			25,000	40,000
0			0	0
0			0	0
0			0	0

Corey Swisher

Official Title: City Clerk/Finance Director

City of Basehor
Agenda Item Cover Sheet

Agenda Item No. 7

Topic: Personnel Manual Update

Action Requested: Review changes and updates to Employee Personnel Manual. Approve resolution 2012-27.

Narrative: On the recommendation of our HR Company we should review and update our personnel manual every couple of years. The last time our manual was updated was in 2010.

Presented by: Lloyd Martley

Administration Recommendation: Review changes, updates and approve manual

Committee Recommendation:

Attachments: Employee manual with changes and updates. Resolution 2012-27

Projector needed for this item?

No

RESOLUTION NO. 2012-27

**A RESOLUTION APPROVING AMENDMENTS TO THE PERSONNEL
MANUAL FOR THE EMPLOYEES OF THE CITY OF BASEHOR,
LEAVENWORTH COUNTY, KANSAS**

WHEREAS, the Governing Body of the City of Basehor, Kansas, adopted a compilation of written personnel policies, procedures and guidelines for employees of Basehor, Kansas, known as the Personnel Manual and effective August 1, 2010; and

WHEREAS, Section A-8 of the Personnel Manual allows for the amendment of its policies via resolution; and

WHEREAS, the Personnel Manual needs to be updated and amended from time to time; and

WHEREAS, the City Council has determined the amendments attached as Exhibit A to be necessary and appropriate.

**NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE
CITY OF BASEHOR, KANSAS:**

Section 1. That the Personnel Manual be amended to read as attached as Exhibit A with the deletion of the stricken text, and the addition of the underlined text.

Section 2. That this resolution shall become effective upon passage.

ADOPTED by the Governing Body this ____ day of _____, 2012.

SIGNED by the Mayor this ____ day of _____, 2012.

SEAL

David Breuer, Mayor

ATTEST:

Corey Swisher, City Clerk

APPROVED AS TO FORM:

Shannon M. Marcano, City Attorney

EXHIBIT A

Personnel Manual (redlined)



PERSONNEL MANUAL

CITY OF BASEHOR, KANSAS

AN EQUAL OPPORTUNITY EMPLOYER

EFFECTIVE

January 2013~~August 1, 2010~~

Revised January 17, 2011 & August 15, 2011

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PREFACE

This manual represents a compilation of written personnel policies, procedures, and guidelines for employees of the City of Basehor, Kansas. These policies and procedures are designed to recognize the personal needs of the employee as well as provide administrative direction, conformity, and continuity in the workplace. These policies, procedures, and guidelines are adopted by the City Council and can only be changed by approval of the City Council.

This manual shall be made available to all employees. Each department head and supervisor responsible for the direction of employees shall also be responsible for the administration and execution of the policies contained herein. Each employee is responsible to know the policies, procedures, and guidelines contained in the manual, and become aware of any changes forthcoming.

The City of Basehor is an **employment-at-will** employer. Employment at will means that you have the right to terminate your employment with the City of Basehor at any time with or without reason and with or without advance notice. The City of Basehor has a similar right to terminate your employment at any time with or without reason and with or without advance notice. Nothing contained in this manual, or any result of administration of the policies herein, shall be construed as an employment contract.

The policies, procedures, and guidelines contained in this manual are not exclusive, and may not address every personnel issue. They shall apply to all employees in the service of the City, except where superseded by specific provisions of a current memorandum of agreement governing a specific group of employees.

Interpretation, methods, and policy must be subject to continual review and modification. Administration shall see that all authorized changes are prepared and distributed for inclusion in the manual. Any questions concerning interpretation of policy, procedures to be followed, or direction or clarification of any other subjects concerning personnel matters of the City of Basehor will be first directed to the appropriate supervisory person, and then if necessary to Administration for interpretation, review, or research. **However, the City Council has ultimate responsibility for the adoption, formulation, implementation, implementation, and revision of City Personnel Policy.**

The City of Basehor, Kansas, is an equal opportunity employer and does not discriminate against employees or job applicants on the basis of race, religion, color, sex, age, national origin, disability, or any other status or condition protected by applicable state and federal laws.



City Administrator

7-21-10

Date

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ARTICLE A. GENERAL

A-1. Policies Established. The following policies, guidelines, and other provisions for personnel administration in the City of Basehor are established to:

- (a) Promote and increase the efficiency and effectiveness of City services.
- (b) Develop a program of recruitment, advancement, and tenure which will make City service attractive as a career.
- (c) Establish and maintain a uniform plan of performance evaluation and compensation based upon the relative duties and responsibilities of each position to assure a fair and equitable wage or salary to all employees.
- (d) Establish and promote high morale among City employees by providing good working relationships, uniform personnel policies, and an opportunity for advancement without regard to race, color, sex, disability, religion, age, national origin or ancestry, and political affiliation.
- (e) Establish City employment and personnel policies. These policies and guidelines do not create contractual employment rights. **All employees are considered to be at-will employees for the purposes of City employment, and shall serve at the pleasure of the City Administrator.**

A-2. Application of Policies. These policies and guidelines shall apply to all employees in the service of the City except where superseded by specific provisions of a current memorandum of agreement governing a specific group of employees, and shall continue in effect until amended or repealed. Elected officials and persons serving the City as consultants or on a contractual basis are not covered by these policies. All previously adopted personnel rules and regulations are repealed. ~~Where the masculine gender is used, it shall be construed to include the feminine gender.~~

A-3. Chain of Command. Within each department, there is a chain of command headed by the department head followed by various levels of supervisory and non-supervisory employees. The chain of command is the organizational structure established for the operation and supervision of departments. All communications, orders, requests, and recommendations must be channeled through this chain, in both directions, in order to avoid confusion, misunderstanding, and oversight. Supervisors and administrators at every level cannot carry out their responsibilities and perform effectively without an appreciation

for and observation of these processes.

Unless specifically authorized by the City Administrator, no employee shall contact members of the City Council for the purpose of promoting or opposing department regulations, programs, projects, or other administrative matters. The previous statement does not apply to employees involved in carrying out their assigned responsibilities with appointed boards or commissions which include members of the City Council. Nothing herein is intended to suggest that an employee is prohibited from contacting the Mayor, the City Attorney or addressing the City Council at one of its meetings, to voice opposition if such employee believes their opposition is necessary to make a good faith complaint of unlawful conduct.

A-4. Departmental Guidelines. The director of any City department may formulate in writing reasonable guidelines for the conduct of the operations of ~~their~~his department, such as those relating to safety or operational procedures, which shall be available to all departmental employees. Such department guidelines shall not be less stringent than, in violation of, or in conflict with any personnel guidelines adopted by the City Council; Administrator. Because of the size and varying policies within specific departments, it is impossible to address the appropriate supervisory person in each department or division that handles specific personnel functions. Therefore, when the term "department head" or "supervisor" is referred to, it should be construed as the person you, as a City of Basehor employee, report to on a regular basis, or the person assigned to a particular supervisory duty within your individual department or division.

A-5. Personnel Records. Administration shall keep adequate records of all persons employed, their pay scale, time worked, paid-time-off (PTO), ~~accrued overtime~~ overtime hours worked, and all other records directed to be made and maintained under these policies and guidelines or under applicable state or federal law. An employee's personnel file shall be available during office hours for inspection by that employee or an employee's authorized representative, but must be viewed in the presence of Human Resource staff. Personnel files are also available to the City Administrator or an employee's department head.

A-6. Change in Personal Information. Employees experiencing a change in address or personal information needed by the City in order to maintain personnel records in an efficient manner should be reported to Administration as soon as possible. Personal information shall include, but is not limited to, address, phone numbers, tax information, marital and dependent status,

~~in order to efficiently administer the Consolidated Omnibus Budget Reconciliation Act (COBRA). Refer to Article G-11.~~

A-7. Employee Privacy. There should be no expectation of privacy on the part of employees of

the City of Basehor relative to their use of City property such as computers, telephones, desks, vehicles, lockers, and items of this nature. Personal property brought onto City grounds or property assigned to employees may be subject to search, review and/or inspection at any time.

- A-8. **Amendment of Policies.** These policies may be amended from time-to-time via resolution, and such amendments will be communicated to all employees.

ARTICLE B. ETHICS

No employee shall seek, receive, or give any gratuity in the form of compensation, entertainment, trips, gifts, favors, or otherwise of significant value (\$25 or more) from or to those who have or seek business dealings with or receive service from the City of Basehor. It is expressly prohibited for employees, in any way, to use their position or influence for private gain for themselves or others.

ARTICLE C. POSITION CLASSIFICATIONS

- C-1. **Objectives and Purpose.** Position classification is a system of identifying and describing different kinds of work in the organization in order to permit equal treatment in employment practices and compensation. Each City position, on the basis of the duties, responsibilities, skills, experience, education, and training required of the position, shall be allocated to an appropriate class, which may include either a single position or two or more positions.
- C-2. **Job Descriptions.** Each position shall have a concise descriptive title, a description of the essential functions and ~~marginal functions (tasks)~~ of the position, and a statement of the qualifications for filling such positions. Such descriptions shall be kept on file in the City Clerk's office and shall be open to inspection by any interested party during regular office hours.
- C-3. **Pay Range Plan.** The Governing Body shall adopt a pay plan for each class of positions. The pay ranges assigned to each class of positions shall be periodically reviewed by the Governing Body.
- C-4. **Maintenance of the Classification Plan.** It shall be the duty of each department head to report to Administration any and all organization changes which will significantly alter or affect changes in the duties and responsibilities of existing positions or proposed positions. ~~The City Administrator shall approve all new or revised job descriptions and pay ranges for such positions.~~

ARTICLE D. RECRUITMENT AND PROMOTION

D-1. Definitions.

- (a) *Full-Time Employee* is one employed to work a normal work week of at least 40 hours on a regular and continuing basis. The work week is any consecutive seven day period, except as otherwise provided in Section E-1.
- (b) *Part-Time Employee* is one employed to work less than 40 hours in a normal work week on a regular and continuing basis, or is available upon request or need.
- (c) *Seasonal Employee* is one employed to work on a regular and/or recurring basis during a specific season or portion of a year.

Exempt Employees - are exempt from minimum wage and overtime pay. So that they may be reached by customers and other employees of the City of Basehor, exempt employees are to make the City of Basehor aware of their daily schedule when they are working but away from the office or facility.

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Non-Exempt Employees - receive overtime pay for hours worked over 40 during the work week. After reaching 40 hours, they receive pay at 1½ times their regular hourly rate for additional time worked on weekdays, or for requested or required Saturday or Sunday work time. Non-exempt employees are required to clock in and clock out in the timekeeping system to record time worked. This will verify all hours worked. Your Department Head will inform you of other required activities for timekeeping.

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- (d) *Volunteer* is a non-paid individual, ~~or one who receives a stipend in the position he holds.~~ When acting as a non-paid volunteer, an individual is not an employee regardless of other City employment.

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D-2. Equal Opportunity Recruitment. It shall be the policy of the City to provide fair and equal opportunity to all qualified persons to enter City employment on the basis of demonstrated merit and fitness determined by fair and practical methods of selection, without regard to race, color, sex, disability, religion, age, national origin or ancestry, political affiliation, or any other status or condition protected by applicable state or federal laws.

D-3. Hiring Practices.

- (a) All job vacancies will be posted on department bulletin boards. Applications for

City positions will be accepted by the Department Head in the Administration office. Generally, specific time periods for acceptance of applications will be included on job notices and will be the only time applications will be accepted. For some positions, when in the best interests of the City, exceptions to this policy will be made.

- (b) Where qualifications are judged substantially equal, priority for filling a position will be as follows:
 - (1) Employees with accrued seniority within the department in which the vacancy occurs;
 - (2) Employees with accrued seniority within other City departments;
 - (3) Applicant from outside the City departments.
- (c) If a list of eligible and qualified applicants for a vacant position exists, an appointment to a vacant position may be made from the eligibility list. Under such circumstances, the position will not be posted again.
- (d) When seasonal personnel leave the employment of the City with a satisfactory or higher exit review, they may be eligible for rehire without going through the hiring process the following season.

Each department may follow more specific hiring practices as set out in personalized departmental procedures.

D-4. Qualifications of Employment. All new applicants for any position with the City shall meet the minimum qualifications established for that position unless waived by the City Administrator. Each applicant shall complete a job application form and a CV or resume as determined by Administration. A medical examination, physical capacity test, psychological examination, and drug test shall be required only after an offer of employment has been made, provided that such exams or testing are required of all such applicants who are offered employment in similar positions or position classifications. Examinations of this nature are conducted in order to assure that the prospective employee can safely administer the job which he has been offered. Employment is contingent upon the applicant passing required tests.

D-5. Probationary Period.

- (a) Each employee selected to fill a position with the City must successfully complete a

probationary period. This gives the supervisor an opportunity to observe the candidate in order to determine whether or not the person is capable of handling the responsibilities and duties of the job in a satisfactory manner.

- (b) Each employee promoted to a classification with higher pay shall also undergo a probationary period in order to achieve minimal competency in the new position. ~~An employee who fails to satisfactorily complete such probationary period shall be returned to the pay and position he or she held immediately prior to the promotion if this position or a similar position is open and available.~~
- (c) The duration of the probationary period for both new employees and promoted employees shall be based upon recommendations of the department head and outlined in the applicable job description. The successful completion of a probationary period should not be construed as creating a contract or as guaranteeing employment for any specific duration.

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D-6. Promotion.

- (a) All employees seeking promotion shall be expected to meet the minimum qualifications for the position class to which they seek promotion. A medical examination, psychological examination, or drug testing shall be required only after a conditional offer of promotion has been made, provided that, such exams or testing are required of all such employees who are offered promotions in similar positions or position classifications. The offer of promotion is contingent upon the applicant passing required tests.
- (b) All promoted employees are similar to newly hired employees and will serve the applicable probationary period.
- (c) Promoted employees who cannot evidence satisfactory performance in the new position may be dismissed from employment with the City. In such case, if an employee's previous position or a reasonably comparable position is available, he may return to that position upon approval of the department head and the City Administrator.
- ~~(d) The policy for promotions shall be the same as that outlined in Section D-2 relating to new recruitments.~~

D-7. Departmental or Divisional Transfers.

- (a) Every employee may apply ~~has the privilege of applying~~ for a transfer when a vacancy in another department or division exists. This does not restrict the City's

right to make a non-requested transfer or assignment when deemed in the best interest of the City. Employees transferring from one department or division to another shall be entitled to retain all accrued paid-time-off (PTO) and other such earned fringe benefits.

- (b) Transferred employees are similar to newly hired employees and will serve the applicable probationary period. The transferred employee may be placed above the minimum entry level of the new salary range, depending on the employee's qualifications for the new position, at the recommendation of the department head and with the approval of the City Administrator.

D-8. Employee Demotions.

- (a) A demotion is a downward movement of an employee from one position to another which may be due to inability to satisfactorily perform assigned duties, for disciplinary reasons or during periods when it is necessary to lay off employees due to lack of work or funds. If an employee requests to be placed in a position at a lower pay grade, this will be considered a transfer.
- (b) When an employee is demoted into a position that he has not previously held, the employee will serve the applicable probationary period beginning from the date of demotion.
- ~~(c) When a demotion takes place due to failure to perform satisfactorily in the position to which an employee was promoted or transferred, he may return to the previously held position or a reasonably comparable position, provided such an opening is available. In such case, the probationary period will be waived.~~

D-9. Nepotism.

- (a) In order to avoid favoritism or the appearance of favoritism based on family relationships, no person shall be employed in a position in any department if that person is a member of the immediate family of another employee within that department. "Immediate family" is defined to include an employee's parents, stepparents, spouse, children, sister or brother, grandparents, grandchildren, mother or father-in-law, and brothers or sisters-in-law and the same family members of the employee's spouse.
- (b) Members of immediate families may be employed within the same department as a seasonal or part-time employee for not to exceed 6 months in any 12 consecutive month period with the exception of volunteers.

(c) If two employees within the same department are involved in a romantic relationship, marry, or otherwise obtain a relationship whereby they become members of each other's immediate family, the City retains the right to either transfer one of the employees to another department if a vacant position is available for which one of the employees is qualified, or require one of the employees to resign their his/her position for the purpose of maintaining the best interests of the City. The involved employee's must advise their supervisor of the situation within 30 days.

~~(d) Violations of this policy existing prior to the effective date of this Personnel Manual are exempt from this nepotism policy.~~

(ed) In the event of a situation or circumstance not contemplated by this policy, requests for variances or exceptions shall be submitted to the City Administrator with appropriate documentation. The City Administrator's decision shall be final.

~~D-10. Verification of US Work Authorization~~ ~~Citizenship Verification.~~ The City of Basehor complies with the Federal Immigration Reform and Control Act, employing only those persons who are legally eligible to work in the United States. All employees hired for any position with the City, shall complete an employment eligibility verification statement (I-9 form) in compliance with the Act, within three days of employment with the City. Employees not complying with this requirement will be subject to termination.

ARTICLE E. COMPENSATION

E-1. Pay Plan.

- (a) The salary of each employee of the City, except the City Administrator and those salaries specifically set by ordinance, shall, at least annually, be set at an amount within the pay range of the position class the employee is assigned. Pay ranges shall be determined by the Governing Body, with the advice of the City Administrator. ~~An employee's continued employment at the salary rate within the class assigned to him shall be contingent upon the provisions outlined in Section E-4.~~
- ~~(b) Employees working on a part-time basis shall receive the hourly wage for the beginning step of the position they are working, or an amount determined and approved by the City Administrator, as approved and included in the budget adopted by the Governing Body.~~
- ~~(c) The hourly wage for persons employed on a monthly salary basis and working 40 hours per week is computed by taking the monthly wage times 12 divided by 2,080 hours.~~

E-2. Pay Increases.

- (a) Administration of the City Pay Plan shall be handled as per policy set by the Governing Body. ~~Pay increases received in accordance with the Pay Plan are subject to approval by the City Council.~~ Administrator.
- (b) Pay Plan adjustments may be made as approved by the Governing Body.

~~E-4-3~~ Performance Appraisal

- (a) The performance appraisal process provides the basis for determining employment status. Appraisals are considered in determining promotions, used as a factor in determining the order of layoffs, and as a means of identifying employees who should be transferred, rehired, or who, because of their poor performance, should be demoted or ~~dismissed~~ terminated, and for any other purpose related to job performance.
- ~~(b) Supervisors are required to complete monthly performance appraisals on all probationary employees. Probationary monthly appraisals are due in the Administration office every 30 days throughout the probationary period.~~

Thereafter, ~~p~~Performance appraisals shall be submitted to Administration on an annual basis for all employees. Performance reviews will be performed on an annual basis, with a review of goals and objectives at 6 months as follows:

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- ~~(1) at the end of an employee's probationary period;~~
- ~~(2) annually, corresponding with the employee's anniversary date; or~~
- ~~(3) after 3 months if an employee has received a poor performance rating.~~

(c) Direct supervisors are responsible for completing employee performance appraisals. ~~The performance appraisal shall be reviewed by additional departmental and/or divisional supervisors, if appropriate, based upon the size of the department/division.~~ The department head must approve all performance appraisals prior to submitting them to Administration for processing.

(d) Performance appraisals shall be conducted on forms and in a manner approved by the Governing Body ~~City Administrator~~. Supervisors shall meet with their employees to discuss their performance appraisal, and employees will be required to sign the document prior to submitting it to Administration.

(e) If an employee's performance appraisal becomes due during a time period that the employee has been placed on a probationary status, their ~~his~~ evaluation will be postponed until the probationary status has expired.

(f) If an employee has been on a leave of absence and it is difficult for the department head and the employee's supervisors to make a determination as to the employee's quality of work performance, the department head can extend the employee's evaluation period until such determination can be made.

E-54. Pay on Termination.

(a) An employee terminating employment with the City will receive a final paycheck on the first regularly scheduled pay day following their ~~his~~ termination.

~~(b) If an employee is discharged involuntarily, or voluntarily terminates without giving a minimum of two weeks notice, the employee will not be eligible to receive pay for any accrued paid time off (PTO) benefits. In the event of death, the employee or the employee's heirs shall be paid for any benefit hours due in accordance with other policies outlined in this Manual. Any pay for benefit hours due the terminated employee will be based on the employee's current salary at the time of termination. At the discretion of the City Administrator up to 40 hours of additional~~

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Paid Time Off may be reimbursed to an employee that provides a notice longer than two weeks.

E-65. Overtime Work.

- (a) Compensation for authorized overtime work shall be at the rate of 1½ times the employee's regular rate of pay.
- (b) Employees are not allowed to trade time worked for compensatory time.
- ~~(b) Holiday, Bereavement and Paid Time Off are not considered hours worked and therefore do not count toward overtime.~~
- ~~(c) Exempt employees are not required to be paid overtime in accordance with the Federal Fair Labor Standards Act (FLSA). Executives, professional employees, and certain employees in administrative positions are considered exempt employees.~~
- ~~(d) Non-exempt employees, in accordance with the Federal Fair Labor Standards Act (FLSA), shall be eligible to receive overtime compensation for all hours worked in excess of 40 hours in one week.~~
- (ce) All overtime work must have prior authorization by the employee's supervisor. The supervisor shall maintain records of any overtime worked.

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E-76. Pay Periods; Paydays.

- (a) Payroll periods will commence at midnight on Saturday and end two weeks later at midnight. City employees are paid every 2 weeks. During the course of the year, employees will receive at least 26 paychecks. The time period covered by the 26 paychecks will consist of an employee's "payroll year" and will coincide with the benefit calendar applicable to all full-time City employees.
- ~~(b) Paychecks will be issued every other week, usually on Friday. If the schedule for processing timecards and issuing checks must be altered, department heads will be notified at the earliest possible time so employees can make necessary arrangements. In the event of a holiday, paychecks will be issued before the holiday.~~
- (c)(b) By completing the proper paperwork, employees can have their paychecks direct deposited into the financial institution of their choice.

E-87. Work Assignment in Higher Classification. During routine operations, when an employee

is specifically assigned by the department director to fulfill the duties and responsibilities of a higher job classification for a period of more than fourteen (14) consecutive days, the employee shall be compensated by calculating the difference between their his current range and the range in which he is serving. The employee's current pay will then be increased by that percentage difference to derive the new rate of pay. The pay increase shall be retroactive after the employee has served fourteen (14) days in the higher classification. This additional compensation shall remain in effect until such time as the additional duties are reassigned to another employee, whereupon the employee will revert back to their original pay.

E-98. Notary Public. All notaries public in the City of Basehor must refuse to acknowledge any instrument unless it is signed in their presence or unless the person who executed the document personally appears before them and acknowledges that it is his or her signature. There must be no exceptions to this policy.

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Any notary who is asked to acknowledge a document improperly should immediately report that fact to their supervisor. Notaries are also advised that they are required to keep a journal of their Notary activities, as required by law.

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E-169. Time Clock Policy.

(a) All non-exempt employees are required to use the time clock system to record their hours worked. The time clock will be used for payroll and attendance purposes.

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Each department has an assigned time clock. Employees are required to clock in/out at the time clock located in their department using their Easy Choice Time and Attendance badge. If necessary an employee can clock in/out from another department's clock. If there is a problem with the time clock, the employee should notify the supervisor and the supervisor will direct the employee how to time in/out.

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(b) Employees should clock in no sooner than 3 minutes before/after the scheduled shift and clock out no later than 3 minutes before/after the scheduled shift. Employees must also clock in/out for lunch breaks or when leaving work for personal reasons unless otherwise directed.

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(c) If the employee misses an entry into the timekeeping system, the employee will notify the supervisor as soon as possible. The supervisor will manually enter the employee's work hours via the manager time clock portal. If corrections or modifications are made to the time record, both the employee and the supervisor must verify, in writing, the accuracy of the changes. Employees who consistently miss time clock entries will be subject to disciplinary action.

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(d) Nonexempt employees are permitted to work overtime with prior authorization from their supervisor. Overtime includes clocking in early, late or working through the scheduled lunch period. Nonexempt employees who work overtime without prior authorization will be subject to disciplinary procedures.

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(e) Employees may not use another employee's badge to clock in or clock in for another employee. Tampering, altering, or falsifying time records or recording time on another employee's time record may result in disciplinary action, including discharge. Employees who have lost a badge will report the lost badge to their supervisor and payroll departments. The employee will be issued a new badge with the same employee and department numbers.

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(f) Supervisors are free to use discretion in disciplinary actions when employees have various, albeit repeated offenses to the time keeping policy and/or procedure. This could include situations where employees may have clocked in, but are repeatedly absent from their work station during work hours or have missed time clock entries in addition to working unscheduled overtime.

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ARTICLE F. ATTENDANCE AND LEAVE

F-1. Hours of Work

- (a) Employees shall work a schedule to be assigned by the department head.
- (b) No employee shall be permitted to work in excess of their normal work week except when so directed by the employee's supervisor.

F-2. Rest Breaks and Lunch Breaks.

- (a) ~~(a)~~ Other than rest breaks required for mothers who are breast feeding, rest breaks are not required in accordance with the Fair Labor Standards Act (FLSA). They are a privilege which should be arranged so as not to interfere with City business. Employees may receive a 15 minute rest break for each four (4) hours of work. The time and place of rest breaks may be determined by the department head.
- (b) Those employees working eight (8) hour shifts or longer will be allowed a 30 minute unpaid lunch break. ~~th paid lunch hours shall receive 20 minutes for lunch. For Police Officers, a 30 minute paid lunch hour is provided within the schedule. This lunch is subject to being available upon call. Lunches shall be taken on the job site or at a site determined by the department head.~~

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F-3. Holidays.

- (a) The following days shall be paid holidays for City employees:

- New Year's Day, January 1
- President's Day third Monday in February
- Memorial Day, last Monday in May
- Independence Day, July 4
- Labor Day, first Monday in September
- Veterans' Day, November 11
- Thanksgiving Day, 4th Thursday in November
- Friday following Thanksgiving Day
- Christmas Eve, December 24th
- Christmas Day, December 25th
- Any day designated by the Mayor/City Administrator as a celebrated holiday

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- (b) ~~(b)~~ Holiday Observance. Determination of a holiday for shift personnel shall be based on the actual date of the holiday. For employees who normally work Monday-Friday

schedules, observance of holidays shall be determined by the City Administrator.

(c) ~~(e)~~ Holiday Pay. An employee who works on any City recognized holiday shall receive his-~~their~~ regular pay plus 1½ time holiday pay for the hours worked, but not to exceed the amount of hours of his-~~their~~ normal work schedule. Any time worked over and above the normal work schedule will be compensated at 1½ rate.

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(dd)) Holiday-Call-back. An employee called back to duty on a holiday shall be compensated at 1½ rate and shall receive a minimum of 1 hour of pay.

(ee) Off-duty Shift Workers. Shift workers such as police officers and plant operators, whose regular scheduled day off falls on any City recognized holiday shall receive, in addition to his-~~their~~ day off, regular pay as holiday compensation equal to the amount of hours the employee would regularly be scheduled for work.

~~(e)~~(f) Part-time or Seasonal. Part-time and/or seasonal personnel will not be paid for City observed holidays which fall on days for which they would otherwise have been scheduled to work. If part-time or seasonal personnel do work on a City observed holiday, they will be compensated at their regular hourly wage unless they have worked over 40 hours that week at which time they will be compensated at 1½ time rate.

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F-4. Paid-time-off (PTO). In recognition of the varying work schedules of City employees and each employee's diverse need for time away from work, the City provides a general leave policy of paid-time-off (PTO). PTO shall be accrued by full-time employees to use for vacations, illness, on or off the job injuries, medical/dental appointments, personal business, child care problems, pregnancies, for care of immediate family members, funerals, or for any other valid absence as determined by the employee's supervisor.

(a) PTO Accrual Rate and Process. City of Basehor employees shall accrue the following amount of PTO on a biweekly basis:

Months of Service	Accrual Hours Per Payroll	Annual Amount of Accrual Hours	Annual Amount of Accrual Days	Maximum Accrual of Days
0 - 47	5.6	145.6	18	27
48 - 107	6.5	169	21	31
108 - 167	7.5	195	24	36
168 - 227	8.7	226.2	28	42
228 +	9.7	252.2	31	46

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PTO accrual shall increase to the next level with the beginning of the payroll

period in which the employee's anniversary date (the date they started working for the City of Basehor most recently) falls, and the employee meets the appropriate months of service in accordance with the above schedule.

(b) PTO Accrual During Absence

Employees will no longer accrue PTO after two weeks of absence from the job, or any time during which Short Term Disability or Worker's Compensation is being paid to the employee (see Sections F-6 and F-7).

(c) Eligibility for PTO

Employees shall accrue PTO hours immediately upon employment, however, an employee may not use these hours until after three full months of employment, and as approved by their supervisor.

In the event that an employee must be off the job but is not eligible for or does not have a sufficient amount of PTO time available, he may submit a leave request for leave without pay. The approval or non-approval of such request will be determined by the employee's Department Head supervisor and the City Administrator.

(d) Maximum Accumulation of Paid Time Off

All employees shall have a maximum amount of PTO accrual equal to the number of hours they accrue during the calendar year plus half of the calendar year accrual. Employees who exceed the accrual maximum shall not be credited with further PTO accrual until their accruals are reduced below the maximum accrual level. A waiver of the maximum accumulation rule may be granted under special circumstances with the permission of the City Administrator.

(e) Computing Scheduled or Unscheduled General Leave

Any absence for a fraction or part of a day shall be charged in increments of not less than one hour.

(f) Scheduling of PTO

PTO shall be distinguished between scheduled and unscheduled time off.

(1) Scheduled PTO:

- a. The scheduling of time off shall be at the discretion of the supervisor based upon operational considerations. Every effort will be made to accommodate the employee's requested dates for PTO.

- b. Scheduled leave should be submitted to the supervisor as soon as possible, but no later than three (3) days in advance of the requested leave unless otherwise directed by the employee's supervisor. The supervisor shall have the option of denying or rescheduling the leave to another date and/or time based upon operational or business considerations.
- c. Scheduled PTO must have the prior approval of the employee's supervisor.

(2) Unscheduled PTO:

In the event the employee is unable to work due to unforeseen personal illness or injury, or for other unforeseen reasons, the following provisions shall apply:

- a. If an employee is unable to report to work for any reason, they he shall communicate this fact to theirhis supervisor in accordance with theirhis departmental rules and regulations. Such notification shall be made each time a scheduled work shift shall be missed unless authorization has been granted by the supervisor covering a prolonged absence of specified duration. Unless an employee can show good cause, failing to comply with this provision shall be considered an unscheduled absence without pay. Absences not reported in accordance with these provisions shall be subject to disciplinary action.
- b. The employee may be required to furnish medical verification or other proof that unscheduled use of PTO was unavoidable to theirhis supervisor.
- c. The unscheduled use of PTO which is considered to be excessive by the supervisor shall be investigated and the employee may be subject to disciplinary action. Supervisory personnel will document the reasons for initiating an investigation and the conclusion of their investigation.
- d. The unscheduled use of PTO on seven (7) or more occasions within a 12 month period shall affect an employee's ability to receive a pay increase. Circumstances related to the use of unscheduled PTO will be evaluated by the supervisor. If just cause can be shown and presented to the City Administrator, a pay increase will be considered. All consecutive work day absences

due to the same illness shall be considered as one occasion for the purpose of this policy.

~~F.5. Paid time off (PTO) Bank. City employees can voluntarily contribute unused PTO to assist fellow employees in the event of a qualifying illness or injury of the employee or the employee's immediate family. The Bank is to be used by employees who have exhausted all PTO benefits, and (1) have personally suffered an illness or injury that completely incapacitates them from performing any type of work; or (2) they have an immediate family member who has suffered a disabling illness or injury and needs their assistance.~~

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~~(a) PTO Committee. The City of Basehor Department Heads serve as the PTO Bank Committee and will administer the PTO Bank.~~

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~~(b) Establishing and Maintaining the Bank.~~

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~~(1) As the need arises, employees may voluntarily contribute PTO hours to a specific employee who has a personal illness or injury, or has an immediate family member with an illness or injury, that meets the criteria established by this policy.~~

~~(2) If an employee's application for PTO Bank hours is approved by the PTO Committee and the City Administrator, and the Bank is empty, PTO hours will be solicited from fellow employees by Administration via email and/or memorandum. Confidentiality will be maintained insofar as possible.~~

~~(3) Donations will be directly subtracted from the donor's PTO balance. Donators will have to complete a form indicating the amount of time they wish to contribute. Employee donations will be made on a totally voluntary basis.~~

~~(4) If more PTO hours are donated to a fellow employee than are necessary, the excess hours will be placed in the PTO Bank for future use.~~

~~(5) Because of the ability to apply for short term disability insurance after two weeks off work, employees will be eligible for a maximum of two weeks of time from the PTO bank for their own illness or injury. If the application is for the disabling illness or injury of an immediate family member, an employee may apply for a maximum of four weeks of time from the Bank. Employees can reapply for additional donations.~~

~~(c) Procedures for the PTO Committee.~~

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- ~~(1) Administration will serve as the resource member of the PTO Committee. All requests for donations for PTO time should be submitted to Administration who will schedule a meeting and pass the requests on to the PTO Bank Committee.~~
- ~~(2) The PTO Committee shall keep all requests and supporting documentation strictly confidential, and any breach of confidentiality will be means for the City Administrator to dismiss an individual from the Committee immediately.~~
- ~~(3) It will be the Committee's responsibility to determine whether the applicant merits PTO assistance, and therefore the Committee will have the right to review the applicant's leave history, and request any other pertinent information to assist them in making a decision regarding the request.~~
- ~~(4) The Committee will review each application and make their decision on a majority vote. Individual committee members may abstain from being involved in specific cases based on the time element or for personal reasons.~~
- ~~(5) Administration will relay all Committee decisions to the City Administrator. The City Administrator retains the right to override any decision made by the Committee. If the City Administrator feels the need to override a decision, he will then meet with the Committee and outline the reasons for his decision.~~
- ~~(6) If the application for PTO time is approved, but there are no hours available in the Bank, the Administration Coordinator will solicit PTO hours from current employees via email and/or memorandum. Details of the employee request will be included in the solicitation. The name of the applicant will not be revealed unless permission is received to do so.~~
- ~~(7) Administration will notify the applicant of the final decision, and if approved, will have the applicant's PTO account credited with the appropriate amount of donation granted. If possible, the applicant shall prepare and submit the appropriate leave requests.~~

~~(d) Procedures for employee requests from the Bank.~~

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- (1) Applications must be made on the appropriate form which can be obtained from Administration, or from the "Forms Section" of the City of Basehor Personnel Manual.
 - (2) Applications should be completed by the employee or by a designated representative of the employee.
 - (3) The applicant must be a full-time employee of the City of Basehor, and be employed by the City for at least six months.
 - (4) The applicant must have exhausted all personal PTO hours prior to receiving hours from the Bank.
 - (5) A doctor's statement must accompany all applications stating the nature of the illness or injury that has caused the absence from work. Applications for PTO-Bank time due to the illness/injury of an immediate family member, shall be accompanied by verification from a doctor as to the diagnosis and required treatment of the family member.
 - (6) If an employee leaves the City's employment after PTO hours have been donated to him, or returns to work on a full-time basis, the unused donated PTO time will revert to the Bank.
 - (7) Once notice of termination of employment has been given, either by an employee or by the City of Basehor, requests for donated PTO time will be refused.
- (e) The City will continue to pay health insurance premiums at the same rate for those employees receiving donated PTO time. Employees will discontinue accruing PTO benefits after two weeks of absence from the job.

F-5. Bereavement. Bereavement time is designed to allow regular, full-time employees, time off to attend to the matters associated with the death of a close relative. Under this policy, you will be paid for time lost from work due to the death of a family member up to a maximum of three (3) regular working days. If additional time is needed, employees may use available PTO.

For purposes of this policy, close relatives are defined as:

- Current spouse;
- Children or children of a current spouse;
- Parents or parents of a current spouse;

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Brother or sister;

Grandparents or grandparents of a current spouse.

- (a) Approval for a full, three-day bereavement leave should be authorized in advance by your supervisor.
- (b) PTO time is available, to attend funerals other than the immediate family members listed above. Such requests should also be directed to your supervisor.
- (c) Non-exempt employees should note that bereavement leave does not count toward hours worked for overtime calculation purposes.
- (d) Pay for Bereavement leave will not be made to employees attending a funeral or taking time off during periods when, for other reasons, they are not or would not have been at work such as Paid Time Off, time without pay, holidays, leave of absence time, weekends, and regular days off.

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F-6. Worker's Compensation. An employee who is injured on the job but absent from work less than two weeks and does not receive Worker's Compensation benefits shall either use accrued PTO and receive theirhis regular wages, or take time off without pay. If the injured employee uses accrued PTO, is off work longer than two weeks and Worker's Compensation benefits are received retroactively:

- (a) the employee shall reimburse the City for the amount of insurance benefits received for the first two-week period; or
- (b) if the employee chooses to return theirhis wages received during the first two weeks of injury, the City will credit him for any PTO hours used.

At no time shall an employee use PTO and receive Worker's Compensation benefits simultaneously. An employee that is injured on the job and is eligible for Worker's Compensation benefits will not be eligible to collect Short Term Disability benefits.

If the injured employee is on the City's Health Insurance Program at the time of the injury, the City will continue to provide the injured employee's health care coverage under the same provisions as prior to the leave for up to, but not exceeding 90 days.

F-7. Short Term Disability Leave. The short term disability (STD) plan for the City of Basehor is designed to protect employees against lost wages due to a disability. The employee will receive benefits as specified under the plan when qualifying for STD under the

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provisions outlined by the insurance policy.

- (a) STD coverage for new full-time employees will take ~~effect~~effect on the first of the month following a 90-day waiting period.
- (b) The City of Basehor or their agent/administrator of the STD plan reserves the right to request a second opinion at the employer's expense, or to investigate a claim as they see fit at any time.
- (c) Employees that anticipate they will be off work for more than two weeks due to a non-work related injury, illness or pregnancy, should contact the office of Administration as soon as feasibly possible in order to process the necessary paperwork. Failure to do so may cause the employee to be off work for a period of time without pay due to the processing time required by the insurance company.
- (d) All claims must be supported by sufficient documentation from a medical consultant, identifying the nature of the injury or illness, the short and long term prognosis, and the anticipated length of time the employee will be required to be off work. The City or their agent/administrator of the STD plan reserves the right to request additional information from the employee and theirhis medical consultant if it is necessary to make a determination relative to the claim.
- (e) If a claim for STD is approved, the insurance company will pay 66 2/3% of an employee's salary.
- (f) In accordance with federal law, the insurance company is required to deduct FICA and Medicare taxes in the amount of 7.65% from all issued disability checks.

F-8. Military Leave. Military duty means training and service performed by an inductee or enlistee in the armed forces of the United States, including time spent in reporting for and returning from such training and service. It also includes active duty training as a reservist in the armed forces of the United States or as a member of the National Guard. Individuals shall not be discriminated against with regard to hiring, promotions and retention on the basis of present and future membership in the armed forces.

- (a) An employee enlisting or being called to active duty as a reservist or as a member of the National Guard shall be reemployed upon return from the military service in the job that he would have attained had he not been absent for military service and with the same seniority, status and pay. The employer must make reasonable accommodations for any employees returning from military duty who are disabled

due to military service.

- (b) There is no differentiation between voluntary and involuntary military service.
- (c) A federal law known as "USERRA" provides that an employee may elect to continue employer-provided health insurance for a period up to 18 months of the employee's military service at the employee's expense. Upon return to employment from military service, the employee is entitled to immediate reinstatement of health insurance.
- (d) To qualify for reemployment rights following military service, employees must have given the employer notice that they were leaving to perform military service; the cumulative period of service must not have exceeded five years; employees must have been released from service under honorable or general conditions; and employees must have reported back to work or applied for reemployment within time constraints prescribed by law and addressed in (e) below.
- (e) For periods of military service up to 30 days, an employee must report back to work at the next regularly scheduled shift on the day following release from the military. Following a period of service of 31-180 days, employees must apply for reemployment within 14 days following release. Following a period of service of 181 days or more, an employee must apply for reemployment within 90 days after release. Failure to return to work or apply for reemployment within the specified time limits through the employee's own fault makes that person subject to the employer's rules concerning unauthorized absence from work.
- (f) Upon restoration to City service, all unused PTO credits accumulated prior to the military leave shall be restored unless the employee had been paid for unused PTO at the time of the induction or enlistment.
- (g) Although an employee does not accrue benefits while on military leave, the time spent in active duty is considered service and counted toward seniority for retirement vesting and benefit accrual purposes upon their his return.

F-9. Jury DutyCivil-Leave.

- (a) Employees who are required to report for jury duty are to notify their supervisor as soon as possible. The supervisor is to modify the employee's work schedule as required for the employee to properly serve on jury duty. Time spent on jury duty is counted as leave with pay, up to two weeks, and does not qualify as time worked, is not to be used to qualify for overtime pay, Time spent on jury duty beyond two weeks is not considered eligible for pay. The employee may

use PTO to cover any additional time spent on jury duty.

- (b) Employees who are required to serve as a witness or advisor to the court as part of their duties of employment with the City are to immediately notify their supervisor. The supervisor shall modify the employee's work schedule as needed for these duties. Time spent as a witness or advisor to the court on behalf of the City shall count as hours worked.
- (c) Employees who are required to appear in court or for other legal proceedings not related to their City employment are to use PTO or leave without pay as needed to meet this legal requirement.
- ~~(d) Employees who receive fees for jury duty, or for serving as a witness or advisor services related to their duties with the City, are required to turn these payments over to the City.~~

~~F-10. Family Medical Leave. Because the City of Basehor does not currently employ 50 or more employees, employees are not eligible for leave pursuant to the Family Medical Leave Act.~~

F-1110. Travel Leave.

- (a) Authorization. Travel authorization for out-of-town trips must be approved by the City Administrator's Office and the department and/or division head prior to the commencement of a trip. An exception is made in the case of emergency vehicles leaving the City for purposes of an emergency response. Out-of-town trips shall be trips outside a 75 mile radius (travel area) of Basehor for City business purposes. In the event of routine departmental operations such as transporting prisoners by the Police Department, or picking up parts by the Public Works Department, or in the event of any non-scheduled trip necessitated by department heads, no prior approval will be needed. This is on the condition that a city vehicle is used and no additional expenses are incurred by the employee.
- (b) Travel Request and Expense Statement. No expense voucher for out-of-town travel will be paid by the Finance Department without proper travel authorization. Furthermore, failure to receive the authorization may lead to disciplinary action against any employee, including a department and/or division head.

The purposes for the Travel Request and Expense Statement are as follows:

- (1) Documentation of permission granted to have the City vehicle outside of the travel area.

- (2) Documentation of City employees attending to City business outside of the travel area.
 - (3) Review of the business purpose for City employees outside of the travel area.
 - (4) An advance notice of availability of funds for a particular line item in the budget to ascertain whether budgeted funds are available for employees to travel outside the travel area.
- (c) In the case of travel requests where no reimbursement is requested, the Travel Request portion of the statement may be submitted to the City Administrator's office after the approval of the department head. In all other cases, the completed statement must be submitted through the Finance Department for review of the budget expenditure(s). This is done so that prior to the City Administrator's authorization, it can be ascertained that sufficient budget resources exist for employees to travel outside of the travel area for their particular purpose and that there has not been a mistake made by the division or department head, or the employee, in preparing the travel statement.
- (d) In the event an employee travels in-state or out-of-state on a scheduled airline; travel must be the most economical available. In no event will the City pay for first class travel unless no other method of transportation is available and prior approval from the City Administrator is received.
- (e) Officers and employees should reasonably limit the payment of or reimbursements for actual and necessary expenditures for travel and subsistence for attendance at duly authorized conventions, seminars and programs at which the officer or employee is scheduled to attend or participate. All means of travel and accommodations shall be at the lowest reasonable and appropriate class or rate available under the circumstances. If equivalent local or in-state programs are available, such programs shall be utilized. The expenditure of public money for alcoholic beverages shall be prohibited.
- (f) If an attendee arrives more than one day prior to any meeting or conference, or extends an out-of-town stay for personal reasons, the expenses associated with such extra time are considered personal and are not reimbursable by the City. Extending an out-of-town stay for more than one day prior to or after a meeting or conference is permitted if lower airfare can be obtained with the extension, but approval from the department head and City Administrator must be obtained prior to finalizing such arrangements. The only exceptions to an out-of-

town stay being extended are in the case of illness, acts of nature, accident, or injury to the employee, which would preclude the employee's immediate return to the City. In any event, the City should be notified of such an occurrence.

- (g) Use of City Vehicles. The City provides vehicles to certain employees to perform their daily functions, and these vehicles will be used for travel when necessary. If a City vehicle is not available, the City Administrator may authorize the use of a personal vehicle by the employee, in which case the City will reimburse the employee at the IRS approved mileage rate to the meeting site and back using the shortest route possible.
- (h) Any employee utilizing their personal car will maintain appropriate liability insurance. ~~Exempt employees~~ ~~Employees in the executive management (exempt) classification~~ may elect to utilize their own vehicles with prior approval of their department head and the City Administrator.
- (i) If an employee is required to attend an out-of-town meeting or conference, all the time spent traveling is counted as "hours worked". "Out of town" refers to any event that causes the employee to travel more than 30 minutes beyond their normal commute time. Traveling to and from the work site and regular meal period times are not counted as "hours worked". Department heads and supervisors may use flexible time schedules throughout the week in which an employee travels in order to eliminate the need to pay overtime.
- (j) City vehicles are to be used for City business purposes for travel outside the City. Passengers in City vehicles should include only the following, unless prior written approval from the City Administrator is received under special circumstances:
 - (1) City Employees.
 - (2) City Board Members.
 - (3) Participants in City programs (i.e., City sponsored committees, etc.)
 - (4) Personnel from other governmental agencies who may be attending the same meeting.
- (k) In the event the City employee is utilizing a City vehicle and has a breakdown, and the cost of repairing that breakdown is minimal (such as repairing a fan belt or flat tire, anything exceeding \$200, etc.), the employee will be reimbursed upon presenting a receipt for the repair. In the event of a major breakdown of a City vehicle, the employee should contact their Department Head ~~Director of~~

~~Public Works who will make arrangements for the repair or replacement of that vehicle for the employee. The Director of Public Works will contact and coordinate with the employee's department head appropriate travel arrangements so that the employee may return or continue the trip.~~

- (l) Employees utilizing City vehicles or their own vehicle are reminded that deviating from normally traveled routes to and from the meeting site and after arriving at the meeting site may result in the employee not being covered in the areas of Workers' Compensation and other insurance. Employees are further reminded of the City's personnel policy regarding the use of drugs and alcohol which could result in the employee's dismissal.
- (m) All traffic offenses, parking tickets, or other vehicular violations are the responsibility of the employee and will not be paid by the City. Furthermore, such offenses could subject the employee to disciplinary action. Employees are expected to use discretion in the use of City-owned vehicles on out-of-city travel.
- (n) Reimbursement. The City will pay registration fees for employees to attend authorized training programs, professional conferences, and other city-related meetings and functions. The employee will be responsible for the registration or related fees for any spouse or guest programs associated with a conference for which they wish to participate.

The City will reimburse employees for travel expenses incurred while on City business. **Receipts with detail on the purchase/service are required for reimbursement in all cases.** In an instance where an employee has made an expenditure and has not received a receipt for their his expenditure, exceptions to this rule will be considered by the City Administrator's Office upon recommendations of the department head approving the travel voucher. This will be done rarely, and only in the case of approval of the department head upon presentation by the employee of satisfactory written explanation of the request for reimbursement without a receipt.

Any dispute regarding an employee's reimbursement for travel expenses may be discussed with the City Administrator. The City Administrator's decision shall be final and not subject to appeal.

- (o) Any situation where a City employee may travel to a conference or meeting, whether in-state or out-of-state, with their spouse and/or family member, the City will not pay for travel, meals, or incidental expenses for the employee's spouse and/or family member. In the case of lodging, the employee will be required to pay the verification/documentation of the difference of rates.

Exceptions will be granted for extraordinary circumstances such as when an employee may be receiving an award, but only upon prior approval from the City Administrator's Office.

- (p) On in-state or out-of-state travel, the City will reimburse a reasonable amount for lodging. When an employee must be booked into specific lodging area for a conference or meeting the group rate will be acceptable. Again, this is with prior approval from the City Administrator's Office. All employees should ascertain whether or not government discounts are available and whether or not the hotel or motel involved will direct-bill the City with presentation of a Tax Exemption Certificate. A Tax Exemption Certificate should be obtained from the Finance Director prior to any approved travel out-of-town.
- (q) Whenever possible, the City will request that the hotel/motel bill be charged to an assigned City credit card or direct billed to the City. If neither of the above options are available, the employee will be reimbursed for authorized lodging expenses upon presentation of the required receipts. If necessary, credit cards can be checked out from the Finance Department and may be used to assist with paying authorized travel expenses. Department heads shall be responsible for authorizing credit card usage by employees in their department.

Any employee using a credit card will be personally responsible for any purchases that do not have receipts attached to the credit card statement. Only City-related expenses may be charged on a credit card. Reimbursements to the City for personal expenses or expenses of the spouse will not be allowed. Personal phone calls or other non-covered charges on motel bills should be paid by the employee at check-out time. Any fees charged may be payroll deducted from the next payroll check.

- (r) The City employee, in the course of conducting City business or while attending a City approved business meeting will be allowed the following meal expense allowances which include tax and tip allocations:

	<u>MEAL ALLOWANCE</u>
Breakfast	\$10.00
Lunch	\$20.00
Dinner	<u>\$30.00</u>
Total	\$60.00

Employees will not be reimbursed for meal expenses that have been included as

part of conference or meeting registration fees. These meal expenses must be identified on the *Travel Request and Reimbursement* form with attached copies of registration materials identifying meal(s) scheduled and applicable payment for these meal(s). The maximum meal allowance can be exceeded for reasonable meal expenses integral to conferences and banquets. The City will not be responsible for snacks or beverages not associated with meals.

~~An employee that departs Basehor two hours prior to a regularly scheduled shift is allowed up to \$10.00 for breakfast. If the employee arrives back in Basehor two hours after the end of the scheduled shift, \$30.00 is allowed for dinner with proper receipt and verification. In some unusual or unique instances, department heads shall be responsible for making determination on breakfast or dinner. When a meal is included in a registration fee or transportation cost or provided as an official function, the above amounts will be deducted from the meal allowance. Therefore, if a continental breakfast is provided at the seminar, no allowance for breakfast will be allowed. If an employee is attending a local activity or training (less than 1 hour from the City of Basehor), no reimbursements will be made for any meals. Reimbursements will only be made upon prior approval, so please see your Department Head if you have questions on what is reasonable to be reimbursed.~~

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A 15% tip is allowed in addition to the cost of the meal but included in the meal allowance limit. If the employee chooses to leave a larger tip, the difference will be at the employee's expense. If no tip is granted, tip allowance is not reimbursable. While not always feasible, it is preferable (such as when a credit card is used) to include documentation of the tip.

If a City employee, acting with discretion and in the interest of City business, purchases a meal for any member of the public, a receipt is also required. Adequate documentation listing the purpose and participants of the setting of the expense should be submitted with the receipt. If such an expense should occur, the amount expended for the guest will not be considered as part of the meal allowance for the employee.

Foregoing a meal does not allow the employee to accumulate eligible meal allowances. For example: if an employee is eligible for breakfast and lunch (\$30.00) but elects not to eat breakfast (\$10.00) the eligible lunch allowance will be (\$20.00).

- (s) The City will not, under any circumstances, pay for or reimburse employees for the purchase of alcoholic beverages or allow alcohol to be purchased with a City

credit card.

- (t) Vehicle rental, parking fees, taxi charges, bus or shuttle fares, turnpike expenses, and other similar items will be reimbursed when accompanied by a receipt.
- (u) The City will not be responsible for personal expenses such as personal phone calls, beer/alcoholic beverages, snacks, beverages not associated with meals, laundry services, or in-room movies that are either charged to the room or otherwise incurred.
- (v) All employees traveling on City business should be reminded that they are representing the citizens of Basehor, their departments or divisions, and the City of Basehor as a whole. They should conduct themselves in an appropriate and professional manner at all times so as not to bring discredit upon themselves, their departments or divisions, or the City of Basehor.

F-121. Educational Tuition/Fees Reimbursement and Leave.

- (a) Any employee wishing to attend college or technical school to enhance overall knowledge, skills, and job performance, and at the same time increase the individual's value to the City as an employee, may submit a written request to their his department head for the reimbursement of tuition. The request must be submitted prior to enrolling in the class, include the name of the class, the cost, and verification as to how it will enhance the employee's job performance with the City of Basehor.

If approved by the department head and the City Administrator, the employee will be reimbursed the cost of tuition or enrollment fee upon proof of successful completion of the class, obtaining a "B" grade or better in college course work, or a certificate designating the successful completion of all requirements set forth by the Technical School, and continued satisfactory employment for a 12 month period following completion of the course.

- (b) Employees receiving approval to attend a college or on-going class during work hours will be required to make up any lost work time. Arrangements to do so must be coordinated through the employee's immediate supervisor and approved by the department head and City Administrator.

F-1312. Leave of Absence. The City Administrator may, using discretion, grant a leave of absence without pay to an employee for any good cause when it is in the best interest of the City. The City Administrator may grant an employee leave without pay for a specified time not to exceed 6 months. During this leave without pay, the employee may elect to continue

health insurance coverage with full premiums paid by the employee. A leave of absence without pay shall not be allowed for any employee to work for another employer, or for self-employment.

Leave of absence shall be subject to the following provisions:

- (a) A request for leave of absence shall be submitted in writing to the City Administrator stating the reasons for the request at least 15 working days prior to the date the leave would begin.
- (b) At the expiration of leave without pay, the employee shall return to the position held prior to the leave.
- (c) PTO shall not be earned during leave without pay.
- (d) A leave without pay shall not constitute a break in service, but time off will not be credited toward longevity pay, service awards, or any benefit based on time in position.
- (e) Failure by an employee to report promptly at the expiration of the leave of absence shall be considered as a resignation by the employee.

F-1413. Compensatory Time. Employees will not be allowed to earn compensatory time but shall be paid overtime in accordance with the Fair Labor Standards Act (FLSA).

F-1514. Request for Leave. All leave time must be authorized in advance and in writing by the employee's supervisor prior to being taken when possible. Written requests for leave that cannot be predicted in advance must be completed upon the employee's return to work. ~~A copy of each written leave record, signed by the employee and supervisor, shall be forwarded to the Administration office and filed in the employee's personnel file for a reasonable amount of time.~~

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ARTICLE G. OTHER EMPLOYEE BENEFITS

- G-1. Social Security Benefits.** All eligible employees of the City are under the Federal Social Security System, and receive the benefits thereof in accordance with federal laws and guidelines. The cost of this benefit is paid equally by the City and the employee, with the employee contribution subject to payroll deduction.
- G-2. Employer Provided Deferred Compensation Benefits.** Effective 8/15/2011, all eligible employees, with the exception of sworn police officers, are covered under the City's deferred compensation program (401-A). Under this plan, the employer's contribution is 11% of gross wages for all employees hired before 8/1/2011. For employees hired after 8/1/2011, the rate shall be 8% of gross wages for the first three (3) years of employment and will increase by 3% for a total of 11% of gross wages after three (3) years of service. The City will begin depositing funds into the 401-A plan for the employee beginning with their first paycheck. For any employee hired after 8/1/2011, there will be a three (3) year vesting period from the date of hire, at which time the employee will be fully vested. The cost of this benefit is paid entirely by the employer.
- G-3. KP&F Benefits.** The City of Basehor elected to become a member of the Kansas Police and Fire Retirement System. All eligible employees receive the benefits thereof in accordance with state laws and guidelines. The employee and employer's share is determined by the State Legislature. All employees who are contributing members of KP&F are eligible for the insured death and disability benefits provided by KPERS which is supplemental to the regular KP&F benefits. The cost of this benefit is paid entirely by the employer.
- G-4. Retirement Age.** The City of Basehor shall abide by the Federal Age Discrimination in Employment Act and therefore has no mandatory retirement age.
- G-5. Workers' Compensation Benefits.** All employees of the City receive the benefits of the Kansas Workers' Compensation Act in accordance with such law and guidelines. The cost of this benefit is paid entirely by the employer.
- G-6. Unemployment Compensation.** All employees receive the benefits of the Kansas Employment Security (unemployment compensation) Act, in accordance with such law and guidelines. The cost of this benefit is paid entirely by the employer.
- G-7. Life Insurance.** In addition to the death benefits provided under Social Security and KPERS, the City makes available to each employee who is a member of KP&F, the option of purchasing group life insurance, administered by KPERS, on a payroll deduction basis. Employees not covered by KP&F may purchase optional group life insurance in the City's other group life plan on a payroll deduction basis. The cost of this additional life insurance

is paid by the employee and varies with the options selected by the employee.

- G-8. Voluntary Deferred Compensation.** All City employees may participate in the voluntary deferred compensation plans offered by the City. The amount of investment in these programs is determined by the employee, but cannot exceed limits set by federal law. Contributions are made through a payroll deduction basis. The amounts selected are invested for the employee and are not subject to federal income tax until withdrawn.
- G-9. Optional Insurance Programs.** All full-time employees may apply and participate in several optional insurance programs offered by the City through payroll deduction. The coverage and premiums available vary based on the type of insurance and package selected.
- G-10. Flexible Benefit Plan.** The City of Basehor provides the use of a Flexible Benefit Plan, better known as a "Cafeteria Benefit Plan" under Section 125 of the Internal Revenue Code for its employees. The Cafeteria Benefit Plan allows employees to designate a specified amount of their salary to pay certain expenses such as dependent care, medical expenses, health insurance premiums and the premiums for various optional group insurance programs. Funds designated to the Cafeteria Benefit Plan for medical expenses and dependent care must be used in a calendar year or they are forfeited. This program allows employees to pay the above specified expenses with tax-free salary dollars.

G-11. Employee Assistance Program. The City of Basehor provides an Employee Assistance Program (EAP) which is designed to provide a confidential service for our employees whose personal problems are affecting their abilities to function effectively in their work. The Employee Assistance Program is provided to all personnel, recognizing that almost any human problem can be successfully resolved provided it is identified and appropriate intervention is initiated. This applies to situations such as physical illness, mental or emotional upset, financial hardship, marital or family distress, substance abuse or addiction or other circumstances that can detract from employee performance.

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The EAP offers short-term counseling on a completely confidential basis to all personnel of the City of Basehor. To the extent possible, visits should be scheduled outside work hours. The City of Basehor will pay the cost for the short-term counseling up to six visits through EAP. If an employee is referred by EAP for more intensive counseling or therapy, the employee will be expected to pay this cost. The program is also available to immediate family (spouse or children) of all employees.

All contacts with the EAP program are completely confidential. The records kept by EAP may not be released either to the City of Basehor or any other person without the express written authorization of the staff member involved, and no one at the City of Basehor will know of your participation unless you discuss it. You can locate contact information for the

EAP on their website at www.atlifeeap.com (access code 8282) or by calling 1-800-466-8282.

G-121. Health Care Program.

- (a) Full-time employees shall be eligible for the City's group health care insurance program on the first of the month following a waiting period of 3 full months which commences with the initial date of employment.
- (b) The Governing Body shall determine, on an annual basis, the amount of the City's financial participation in the group health care insurance plan.
- (c) ~~Currently the City contributes one-hundred percent (100%) of the employee's health care insurance, dental insurance, and vision insurance premium and fifty percent (50%) of qualified dependent/spouse health care insurance premiums. Beginning 7/1/2012, the City's participation shall not exceed the following monthly amounts:~~

- ~~Employee~~ \$375
- ~~Employee/Child~~ \$540
- ~~Employee/Spouse~~ \$565
- ~~Employee/Dependents~~ \$730

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- ~~(d)(c) Currently the City contributes one-hundred percent (100%) of the employee's health care insurance premium and fifty percent (50%) of qualified dependent/spouse health care insurance premiums. Permanent part-time employees working 20 or more hours per week shall be eligible to purchase health care insurance at the rate of one-hundred percent (100%) out-of-pocket expense.~~
- ~~(e)(d) If individual employees are required to contribute to the City's group health care program, the amount of such contribution shall be a payroll deduction, and administered through the Flexible-Benefit Plan if legally feasible.~~
- ~~(f)(e) All costs of health care insurance shall be paid by the employee during any period the employee: is on a leave without pay; is on suspension without pay; is on unauthorized leave; or is participating in any unlawful work stoppage.~~
- ~~(g) Refer to sections F-6, Worker's Compensation, regarding specific policies for injured~~

~~and disabled employees regarding health care insurance coverage.~~

(h)(f) No employee shall be entitled to a cash payment in lieu of health care insurance coverage.

(i)(g) Employees and their dependents that lose group health benefits with the City of Basehor due to a qualifying event as outlined by the Consolidated Omnibus Budget Reconciliation Act of 1985 (COBRA), are eligible for continued health insurance coverage for a period of time as established by the Act.

(h) Any KP&F employee who has at least ten years of service with the City of Basehor and retires from employment with the City shall be able to remain on the City's Health Insurance Program until ~~they~~he: (a) reaches the age of 65; or (b) fails to pay the monthly premium payments. The retiree may elect to have the same members of ~~their~~his family covered as ~~they~~did during employment with the City. The retiree's monthly premium will be based on the COBRA rate which is established prior to each contract year with the assistance of the Plan Administrator. Coverage for the retiree and ~~their~~his family members (if covered under the plan), will be identical to the coverage experienced by active City employees. When a retired employee terminates ~~their~~his health insurance coverage with the City of Basehor, any covered dependents will have the option to continue coverage, if eligible, under COBRA.

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ARTICLE H. DISCIPLINE

H-1. Authority to Discipline. Supervisory personnel, with the approval of the City Administrator, are responsible for the conduct and effective performance of all employees under their supervision, and shall have the authority and the responsibility to discipline employees for violations of the City's personnel policies and any departmental guidelines.

H-2. General Policy. The purpose of discipline is to ensure high standards of performance and efficiency, to maintain good working relationships among employees, and to provide the citizens of Basehor with the highest possible level of courteous and professional public service. Discipline in the City organization is for the most part "self" discipline. It is the duty of employees to make a conscientious effort to work and behave in accordance with the values, service standards, policies, and guidelines of the City and the department in which they work. Each employee is expected to be self-disciplined and to work hard at being the best at what he does and in helping the City provide a high level of public service. When an employee does not exercise adequate self-discipline or is not successful in meeting the requirements of their his-job, it may be necessary for theirhis department head or supervisor to consider disciplinary actions to correct the problem.

An employee is subject to disciplinary action if:

- (a) the employee violates these personnel policies and guidelines, or any other written guidelines or procedures applicable to the department in which the employee works;
- (b) the employee's conduct reflects discredit to the City or hinders the effectiveness or efficiency of City operations;
- (c) the employee has performed an act of misconduct, or has failed to perform an act which results in misconduct.

H-3. Disciplinary Actions. The following disciplinary actions are discretionary and not exclusive of the at-will nature of the employment relationship.

- (a) First written reprimand. A written reprimand is a written censure to an employee by their his-supervisor, a copy of which shall be placed in the employee's file in the Administration office. A copy shall be distributed to the employee, and the employee is asked to verify the reprimand by signature.
- (b) Second Written reprimand. A written reprimand is a written censure to an

employee by ~~their~~his supervisor, a copy of which shall be placed in the employee's file in the Administration office. A copy shall be distributed to the employee, and the employee is asked to verify the reprimand by signature.

- (c) **Probation.** Probation is a trial period of a specific length of time during which an employee is required to fulfill a set of conditions or to improve work performance or on-the-job behavior. Failure to meet the probationary requirements may result in additional disciplinary actions. ~~(this does not refer to the initial orientation served by new employees).~~
- (d) **Salary Reduction.** A salary reduction is the lowering of an employee's rate of pay within the pay range to which the employee's position is assigned.
- (e) **Demotion.** A demotion is the placement of an employee into a position of a lower pay range.
- (f) **Suspension.** A suspension is the removal of an employee from service, with or without pay, for a specific period of time.

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~~(g)~~ ~~(g)~~ **Suspension for Violation of Law.** Any employee who is required to maintain a valid Kansas Driver's License and is arrested for a violation of law (in any jurisdiction, at any time), including traffic violations which cover reckless driving, vehicular homicide, or driving under the influence of intoxicating liquor or drugs, shall notify his supervisor of the arrest before reporting to work for his next scheduled shift or any extra duty work. Failure to do so may result in disciplinary action up to and including termination. Based upon the recommendation of the department head, the City Administrator will determine whether the employee will be suspended without pay until such time as judgment is rendered by the court. Suspension without pay will occur for any arrests occurring on or off the job that are related to the job performance and are of such nature that to continue employment would impair carrying out the City's responsibility to its citizens or to other City employees, or if not related to job performance would place the City's image in an unfavorable light. Regardless of the decision of the court, the City will determine if disciplinary action is warranted under the City's policies and procedures for actions that occurred which are job related

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H-4. Procedure for Disciplinary Action. Whenever the misconduct of an employee occurs that in the judgment of the employee's supervisor justifies the application of disciplinary actions the supervisor shall notify the City Administrator of the issue, and:

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- (a) Document the misconduct in writing.

- (b) Determine the appropriate disciplinary action to correct the problem.
- (c) Meet with the employee to review the problem and the proposed disciplinary action. The meeting should be private and include the employee, another supervisor as a witness and/or the City Administrator.
- (d) Give the employee an opportunity to refute the facts or argue against the proposed disciplinary action. The employee shall sign and may submit comments in writing to be attached to the record of the disciplinary action.
- (e) Make a final decision as to the disciplinary action.
- (f) Notify the employee of the action in writing. A copy of the documentation of misconduct and the form of disciplinary action taken shall be provided to the Administration for insertion in the employee's personnel file. Signature is not an admission of guilt, but recognition of receiving such notice.

H-5. Misconduct Subject to Disciplinary Action. The following is a list of misconduct which may subject an employee to disciplinary action. **This list is not exclusive;** it is only representative of the types of misconduct which subject an employee to disciplinary action.

- a. (a) Conviction of a violation of any state or federal criminal law.
- b. (b) Conviction of a violation of any city law.
- c. (c) Failure to follow prescribed safety procedures including failure to notify their supervisor of unsafe working conditions.
- d. (d) Violation of personnel policies and guidelines or departmental policies and guidelines.
- e. (e) Inattention to duty, carelessness, breakage or loss of public property or funds.

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- e. Incompetence or inefficiency in the performance of the duties of theirhis position.
- f. Insubordination or other breach of discipline.
- g. Discourteous or disruptive conduct or other offensive behavior in public, to the public, or to employees and officers of the City.
- h. Abuse of benefit leave, excessive absenteeism, or tardiness.
- i. Temporarily leaving the workplace without the approval of the employee's supervisor.
- j. Failure to give proper notice of absence.
- k. Unauthorized use of City time or equipment.
- l. Unauthorized possession of firearms or other weapons on the job.
- m. Unlawful use of alcohol or drugs as referred to in Article R.
- n. Unauthorized personal use of City property.
- o. Personal or unauthorized use of City funds.

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(aa) bb. Engaging in outside business or employment activities on City time.

(bb) cc. Giving or accepting a bribe.

In the case of acts of violence or other flagrant misconduct, serious safety violations, or criminal offense, any employee may be suspended immediately, with or without pay, pending an investigation and review of the matter.

An employee may be suspended with pay after being arrested for a crime and while awaiting legal adjudication, or when he has been charged with misconduct while on the job and an internal investigation is being conducted.

H-6. Causes for Termination. If a department head feels there is cause for termination of an employee that serves under their supervision, a recommendation substantiated with written documentation can be submitted to the City Administrator for consideration. With the exception of appointed positions, the City Administrator is ultimately responsible for all employment terminations. Examples of serious misconduct for which an employee may be terminated are listed below. **The following list is not exclusive;** it is only representative of the types of misconduct which subject an employee to termination. Causes for termination under this section also constitute misconduct for which an employee may be subjected to disciplinary action other than termination.

- (a) Conviction of a felony or conviction of driving under the influence of alcohol or drugs while operating a City vehicle.
- (b) Willful or continued violation of City or departmental safety policies and procedures or willful or negligent creation of unsafe conditions in the workplace.
- (c) Willful or continued violation of personnel policies and guidelines or departmental guidelines.
- (d) Negligent or willful damage to public property or waste of public supplies or equipment.
- (e) Taking, stealing, or using any funds or property of the City for personal use or for sale or gifts to others or the making of any false claim against the City.

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- (f) Insubordination or other breach of discipline.
- (g) Unauthorized use of City time and equipment.
- (h) Gross incompetence, neglect of duty, or willful or continued failure to render satisfactory service.
- (i) Refusal to abide by any lawful official regulation or order, failure to obey any proper direction made by a supervisor or department head, or knowingly making a false statement to any employee or officer of the City.
- (j) Claiming leave time under false pretenses or falsifying attendance records for oneself or another employee.
- (k) Absence without approval of leave.
- (l) Harassment.
- (m) Disclosing confidential records or information unless directed to do so by a department head or supervisor.
- (n) Revocation or suspension of a certification or license, including a driver's license, when such is required as a condition of City employment.
- (o) Material falsification of application for City employment, making a false statement or report in regard to any test certification, or appointment or any attempt to commit any fraud that violates the merit principles of personnel administration.
- (p) Giving or attempting to give any monetary consideration or the delivery of undeserved service to or from any person or organization for, or in connection with, any test or appointment.
- (q) Taking or offering to take from any person for the employee's personal use, any fee, gift, or other item of service or value, in the course of work or in connection with it, when such gift or other valuable item or service is given in the hope or expectation of receiving a favor or better treatment than that accorded any other person; accepting a bribe, gift, money or, other item of service or value intended to perform or refrain from performing any official act; engaging in any act of extortion or other means of obtaining money or other items of service or value through the employee's position in the service of the City.
- (r) Discharge of duties in a manner which results in discrimination to any person on

the basis of race, creed, color, sex, age, physical or mental disability, or national origin.

- (s) Any unauthorized absence from duty shall be deemed to be an absence without pay, however, when that absence continues for three or more days without authorized leave, it shall be deemed to be a resignation from City employment without prior notice. Such absence may be excused if, upon investigation, the City Administrator finds extenuating circumstances exist.

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ARTICLE I. VOLUNTARY SEPARATION

- I-1. **Resignation.** An employee who terminates employment voluntarily shall be terminated in "good standing", providing the employee gives a minimum of 2 weeks notice to theirhis department head.

- I-2. **Rehiring.** An employee who terminates employment with the City, and reapplies for a position in accordance with Article D at a later date, must again complete the hiring process. If rehired, the employee will start out as a new employee.

ARTICLE K. HARASSMENT

K-1. Harassment. The City of Basehor does not and will not tolerate unlawful harassment of its employees. The term "harassment" includes, but is not limited to slurs, jokes, and other verbal, graphic, or physical conduct relating to an individual's race, color, sex, religion, national origin, citizenship, age, or disability. "Harassment" also includes sexual advances, requests for sexual favors, unwelcome or offensive touching, and other verbal, graphic, or physical conduct of a sexual nature. This includes, but is not limited to, conduct of a sexual nature where: (1) submission to such conduct is made either explicitly or implicitly a condition of an individual's employment; (2) submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and (3) such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

Although the following policy and complaint procedure shall in some incidents refer primarily to harassment of a sexual nature, the City shall enforce the same policy and procedures for any type of harassment as defined in the preceding paragraph.

K-2. Harassment Policy.

- (a) No employee, whether supervisory or nonsupervisory, may harass another employee.
- (b) Any employee who believes that he is the victim of unwelcome behavior that would constitute harassment shall immediately report the incident(s) to any level of supervision.
- (c) All complaints involving claims of harassment shall be promptly and confidentially (as practical) investigated. The employee filing the complaint shall be advised of the results of the investigation.
- (d) Any employee, supervisory or nonsupervisory, found to have engaged in harassment of another employee will be disciplined, up to and including termination/discharge.

K-3. Harassment Complaint Procedure. Any employee who feels he is being subject to harassment should immediately contact one of the persons listed below with whom the employee feels the most comfortable. Complaints must be made in writing to may-be made orally or in writing to:

- (a) The employee's immediate supervisor

- (b) The employee's department head
- (c) The City's Human Resource consultant hr-haven 913.940.5391~~Human Resource Solutions-at-913-893-6861~~
- (d) Other supervisory personnel
- (e) The City Administrator
- (f) The Mayor

The employee should be prepared to provide the following information:

- (a) Employee's name, department, and position title;
- (b) The name of the person or persons committing the harassment;
- (c) The date(s) and approximate time(s) of the harassment;
- (d) The specific nature of the harassment, how long it has gone on, and any employment action (demotion, failure to promote, dismissal, refusal to hire, transfer, etc.) taken against him as a result of the harassment, or any other threats made against him as a result of the harassment;
- (e) Witnesses to the harassment, if any;
- (f) Whether he has previously reported such harassment and, if so, when and to whom.

The employee filing a harassment complaint shall document the incident in writing. If necessary or requested, the recipient of the complaint shall assist the employee filing the complaint with the written documentation, and the employee shall affix their~~his~~ signature attesting to the accuracy and truthfulness of the complaint. All information disclosed in the complaint procedure will be held in strictest confidence and will only be disclosed on a need-to-know basis in order to investigate and resolve the matter.

K-4. Harassment Complaint Review Process. It shall be the responsibility of the City Administrator to coordinate the investigation and review harassment complaints, although the City Administrator may delegate the investigation to the appropriate City employee or outside agency. If the City Administrator is the subject of the complaint, the Mayor shall coordinate the investigation of the complaint, and the Mayor shall be substituted in place of the City Administrator for all aspects of the complaint, and any records pertaining to

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such complaint. The following procedures shall apply to the receipt, review, and handling of such complaints:

- (a) The person to whom the complaint is made shall immediately present it to the City Administrator, and an investigation into the alleged incident shall be promptly started.
- (b) The investigator shall make and keep a written record of the investigation, including notes of verbal responses made to the investigator by the person complaining of harassment, witnesses interviewed during the investigation, the person against whom the complaint of harassment was made, and any other person contacted by the investigator in connection with the investigation.
- (c) The investigator shall notify the employee accused of the harassment as promptly as possible of the complaint and the severity of the allegations (immediate notification is not necessary if such notification would jeopardize the investigation).
- (d) The employee accused of the harassment shall be given appropriate opportunity to refute the allegation and present information and/or witnesses on their-his behalf.
- (e) Based upon the investigation report, the City Administrator shall determine whether the conduct of the person against whom a complaint of harassment has been made constitutes harassment. In making that determination, the City Administrator shall look at the record as a whole and at the totality of circumstances, including the nature of the conduct in question, the context in which the conduct, if any, occurred. The determination of whether harassment occurred will be made on a case-by-case basis.
- (f) If it is determined that the complaint of harassment is founded, the City Administrator shall take immediate and appropriate disciplinary action against the employee guilty of harassment.
- (g) The disciplinary action shall be consistent with the nature and severity of the offense. Whether a supervisory relationship exists, and any other factors the City Administrator believes relate to fair and efficient administration of the City, including the effect of the offense on employee morale, public perception of the offense, and the light in which it casts the City shall be taken into consideration. The disciplinary action may include demotion and/or suspension, termination, warning, or reprimand. A determination of the level of disciplinary action shall also be made on a case-by-case basis.
- (h) If it is determined that the complaint of harassment is unfounded, the City

Administrator shall notify the employee accused of harassment of the determination and advise that no disciplinary action is warranted.

- (i) The employee making the complaint shall be notified of the results of the investigation in writing, but not the specific discipline should there be disciplinary action taken.
- (j) If the City Administrator determines after reviewing the investigation report that the complaint was intentionally falsified by the employee filing the complaint, the City Administrator shall take immediate and appropriate disciplinary action against said employee.

K-5. Records of a Harassment Complaint. All records, except those affected by Kansas Open Records Act, concerning a harassment complaint shall be confidential and kept in a separate locked file. Access shall be only with the City Administrator's approval to parties who have a direct and relevant need to know.

K-6. Retaliation. The City will not tolerate any form of retaliatory acts or actions against any employee for filing a complaint under this policy, or for participating in its investigation.

ARTICLE L. POLITICAL ACTIVITY

It is the right of every employee to register and vote on all political issues. Employees are permitted to join political organizations, civic associations, or groups and to become involved in political activities subject to the restrictions of this article.

- (a) As private citizens, employees may participate in all political activities, including holding public office, except for activities involving the election of candidates for any City office and where holding an appointive or elective public office is incompatible with the employee's City employment.
- (b) City employees are not prohibited from supporting candidates for office or from contributing labor to candidates and organizations that endorse candidates. **Employees are not permitted to make public endorsements of a candidate for City elective office or to make cash or non-cash contributions to such a candidate.**
- (c) Any employee desiring to become a candidate for a City elective office, shall first take leave of absence without pay or resign. If successful in seeking such elective office, the employee will be required to resign from employment with the City. If unsuccessful in seeking such elective office, the employee may be returned to employment on the same terms and conditions as any other employee who has taken leave of absence without pay under Section F-13. An employee is considered to be a candidate for elective office once all statutory requirements have been met to qualify as a candidate.
- (d) Political activity must not interfere with job attendance or performance. Employees are not permitted to solicit or receive political contributions during on-duty hours. They are not permitted to wear or display political badges, buttons, or signs on their person or on City property during on-duty hours.
- (e) No supervisor or other person in authority shall solicit any City employee for contributions of money or labor for any candidate for elective office, or otherwise compel, or attempt to compel, any employee to support a candidate for elective office or to engage in any political activity.
- (f) The purpose of this policy is to prevent and avoid the appearance of impropriety on the part of any City employee. City employees are neither appointed to nor retained in the City's service on the basis of their political affiliations or activities.

ARTICLE M. OUTSIDE EMPLOYMENT

Outside employment constitutes a City employee being self-employed or holding a second job with another employer. Outside employment by a full-time employee is permitted only when such outside employment: (1) is considered secondary to service with the City; (2) does not interfere with the performance of duties for the City; and (3) no legal, financial, or ethical conflicts of interest result from such dual employment. A written request must be submitted and approved by an employee's department head prior to an employee becoming self-employed or accepting outside employment.

ARTICLE N. WORKER SAFETY

- N-1. General Safety.** All employees are required to wear appropriate safety equipment and follow appropriate safety precautions according to City and/or departmental policy at all times. Failure to comply with safety policies may result in disciplinary action. *The City of Basehor "Safety Program" in its entirety can be found in the policy section of this manual.*
- N-2. Physical Fitness.** It shall be the responsibility of each employee to maintain the standards of physical fitness required for performing all assigned tasks in accordance with job descriptions. Any employee may be required to submit to taking a physical examination when requested by the department head and approved by the City Administrator. The cost of the physical examination shall be paid by the City.
- N-3. Smoking.** Due to the January 7, 1993, report of the Environmental Protection Agency (EPA) regarding the effects of secondhand smoke, the smoking of cigarettes, cigars, and pipes is prohibited in all City of Basehor owned or leased work site facilities and in all City-owned, leased, or rented vehicles. The City Administrator reserves the right to designate certain outside areas adjacent to City facilities as inappropriate for smoking purposes.

Appropriate disciplinary action will be taken against any violations of this policy up to and including suspension and termination.

- N-4. Bloodborne Pathogens.** In accordance with the OSHA Bloodborne Pathogens Standard, 29 CFR 1910.1030, and the Kansas Department of Administration Industrial Safety and Health Regulations, the City has established an Exposure Control Plan in an attempt to eliminate or minimize the exposure to potentially infectious material for those employees who are considered at risk. The City provides protective equipment, regulates house cleaning and waste disposal of contaminants, provides training, and offers the Hepatitis B vaccine to employees that have been identified as having exposure to potentially infectious materials. *The total policy on "bloodborne pathogens" can be found in the Policy Section of this Manual.*

ARTICLE O. CARE AND USE OF EQUIPMENT AND FACILITIES

Any employee of the City of Basehor that is found to be responsible for damage to or loss of City property or equipment through negligence, carelessness or abuse shall be subject to disciplinary action and may be required to reimburse the City for such damage or loss. Any employee who does not report equipment and facility damages, regardless of cause, will be subject to disciplinary action up to and including termination.

No equipment, material or supplies belonging to the City shall be removed from its location or used without proper authority. Vehicles and other equipment assigned to individual personnel shall be used only for City work or business. Proper maintenance of work stations, with regard to cleanliness, safety and efficiency, shall be required of all City employees.

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ARTICLE P. WORKPLACE VIOLENCE

- (a) The City of Basehor is committed to providing and maintaining a workplace environment free of intimidation, violence, or threatening behavior. The City will not tolerate acts of violence committed by or against City employees or members of the public while on City of Basehor property or while performing City of Basehor business at other locations.
- (b) Prohibited behaviors and actions include, but are not limited to intimidating, threatening, or hostile behaviors or comments, physical abuse, violent criminal actions, unauthorized use or possession of deadly weapons or explosives on City property, threatening or harassing telephone calls or e-mail messages, stalking, bizarre or offensive comments about violent events or behavior, or any other behavior which, in management's opinion, is inappropriate for the workplace.
- (c) Employees who feel subjected to any of the behavior described above, who observe or have knowledge of any violation of this policy, or who encounter suspicious persons on City property should immediately notify their supervisor.
Employees who believe that workplace violence is so imminent and dangerous that it requires an immediate response, should contact proper law enforcement authorities first, and then report the incident to their supervisor.
- (d) Employees who engage in behavior that violates this policy are subject to disciplinary action up to and including discharge. Visitors who engage in behavior that violates this policy may be removed and/or prohibited from City of Basehor facilities, vehicles, or leased property. Actions that appear to be a violation of law or that are deemed to pose a risk to others will be reported to the appropriate law enforcement officials. Any City of Basehor employee failing to report actions which fall under this policy or failing to take appropriate actions outlined in this policy will be deemed in violation of this policy and will be subject to disciplinary action up to and including termination.
- (e) Employees who receive a protective or restraining order which lists city-owned or leased premises as a protected area are required to provide their supervisor with a copy of such order.

ARTICLE Q. TRANSPORTATION

Q-1. Use of City Owned Vehicles.

- (a) City owned vehicles are to be used for official City business only. Assignments of City vehicles are subject to the approval of the City Administrator.
- (b) Vehicles cannot be used to transport other family members or to attend to personal errands. Passengers in City vehicles are allowed when their presence relates to City business.
- (c) Employees who do not have a City vehicle on a daily or 24 hour basis, and are called out on an emergency basis, should record the mileage to and from City facilities or the job site and request mileage reimbursement in accordance with Q-5.
- (d) Exceptions to this policy may be made with approval by the City Administrator.
- (e) ~~Use of a cellular phone while driving a city owned vehicle is prohibited.~~

~~Q-2. Mileage from Home to Work in City Vehicles. The use of a City vehicle to commute to and from work is considered a taxable benefit by the IRS and will be handled based on IRS guidelines. (Subject to change with IRS regulations.)~~

Q-3. Responsibility of Drivers. Employees operating City vehicles are expected to practice all safety precautions when utilizing a City vehicle. Any employee operating a City vehicle must have an applicable valid Kansas driver's license which must be on or accompanying the employee when driving such a vehicle. Seat belts shall be worn by all City employees and passengers while operating or riding in a City vehicle in accordance with State Statutes. Failure to comply with these policies will cause the employee to be subject to disciplinary action up to and including termination.

Q-4. Accidents Involving City Owned Vehicles. If an employee has an accident while operating a City-owned vehicle which results in personal injury or property damage, the employee shall notify the Police Department immediately, and then notify theirhis supervisor. This should be done regardless of how minor the accident appears. The employee shall insist that all parties and property concerned remain at the scene of the accident until police officers can investigate the incident. Supervisors shall report all accidents to their Department Head. Department Heads are responsible for taking proper action on all accidents reported, including processing the correct paperwork and informing all parties who will need to be involved. Director of Finance and the City Administrator.

Q-5. Use of Private Vehicles for City Business. If employees are required to use a personal car in the performance of official duties for the City, they may receive a mileage rate as established by the Internal Revenue Service. Expenses for mileage must be submitted to and approved by the employee's department head and the City Administrator.

Q-6. Driver License Reviews. The City reserves the right to annually review the driving record of all employees who are authorized to drive a City vehicle or receives an automobile allowance and are required by their job description to hold a Kansas valid driver's license. This screening takes place in order to protect the City and its citizens from liability in the event that a City employee has an accident and someone is injured or property is damaged and to help ensure accountability and responsibility for safe driving when public funds are involved.

ARTICLE R. SUBSTANCE ABUSE

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The City of Basehor is committed to providing a safe, drug, and alcohol free workplace for all City employees and the general public.

- (a) All employees ~~holding safety sensitive positions, as established in personnel job descriptions,~~ will be subject to a random drug or alcohol screening program.
- (b) Following a job offer, a drug screening will be performed on all full-time and part-time applicants and may be performed on seasonal applicants. Additionally, all employees will be subject to drug and alcohol testing for the purposes of reasonable suspicion, post injury accident, and return to duty screening.
- (c) The illegal use, possession, distribution, dispensation, manufacture or sale of narcotics, drugs, or controlled substances by an employee while at the worksite, on City owned property, during working hours, or on stand-by duty is prohibited.
- (d) The presence of controlled substances in the body as well as the use or possession of controlled substances and/or alcoholic beverages while on City of Basehor property, in any City of Basehor vehicle, or on duty, including breaks or lunch, paid or unpaid, or on any shift is strictly prohibited.
- (e) No employee shall report for duty or remain on duty while having an alcohol concentration of .02 or greater.
- (f) No employee shall refuse to submit to an alcohol or controlled substance test if said test is required due to a post injury accident, reasonable suspicion, or return-to-duty requirement. Behavior that constitutes a refusal to test includes, but is not limited to, refusing to provide a specimen, tampering with a specimen, failing to appear for testing within 1 hour ~~20 minutes~~ of notification, leaving the scene of an accident without just cause prior to submitting to a test, leaving the collection facility prior to test completion, failing to permit an observed or monitored collection when required, failing to take a second test when required, failing to undergo a medical examination when required, failing to cooperate with any part of the testing process, or once test is underway, failing to remain at site and provide a specimen.
- (g) When involved in a motor vehicle accident, an employee must remain readily available to be tested for alcohol and controlled substances as soon as practical ~~if requested to do so by a supervisor.~~ If an accident involves a human fatality, the employee operating the motor vehicle must report for alcohol and controlled substance testing as soon as possible. If there is bodily injury with immediate medical treatment away from the scene or disabling damage to any motor vehicle requiring tow away whereby the employee has the

potential to be issued a citation, the employee operating the motor vehicle must report for alcohol and controlled substance testing as soon as possible.

- (h) All employees are subject to reasonable suspicion alcohol and/or drug testing. Examples of reasonable suspicion include, but are not limited to, the following:
 - (1) Physical signs and symptoms consistent with prohibited substance use or alcohol misuse.
 - (2) Evidence of the manufacture, distribution, dispensing, possession, or use of controlled substances, drugs, alcohol, or other prohibited substance.
 - (3) Occurrence of a serious or potentially serious accident that may have been caused by prohibited substance abuse or alcohol misuse.
 - (4) Fights (to mean physical contact), assaults, and flagrant disregard or violations of established safety, security, or other operating procedures.
- (i) An employee who refuses to take or fails a drug or alcohol test shall be removed from performing job duties immediately. Additional disciplinary action up to and including termination may result.
- (j) An employee who fails a drug or alcohol test may be given an opportunity to retain their his employment provided the employee:
 - (1) agrees to be evaluated and attend a rehabilitation program; and
 - (2) receives a verified negative test result on a return-to-duty test.
- (k) All costs associated with an evaluation and rehabilitation program are the responsibility of the employee.
- (l) A second positive drug or alcohol test will result in immediate termination of employment.
- (m) Proven use of alcohol or illegal drugs off the job which adversely affects an employee's job performance or jeopardizes the safety of other employees, the public, or City equipment is a violation of this policy.
- (n) Employees shall notify their department head or supervisor of any drug or alcohol conviction which they receive as soon as feasibly possible.

(o) Employees undergoing prescribed medical treatment for a controlled substance must

(o) report and verify this treatment to their supervisor.

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APPENDIX

ARTICLE 5. RESIDENCY

S-1. Requirements. Residency requirements for City of Basehor employees include the following:

- (a) The City Administrator shall live within the city limits.
- (b) All employees shall live within 30 miles of the City limits.
- (c) Any City employee whose primary residency does not meet the criteria for the current position as set out above, as of July 1, 2010, is "grandfathered" and not subject to this ordinance until such time as they move.

S-2. Enforcement. Enforcement of violations and penalties will be as follows:

- (a) If an employee moves outside the required residency boundary, such employee shall be considered to have resigned their-his position with the City.
- (b) Maintaining a mailing address within the required residency boundary shall not be sufficient to meet the residency requirements. Employees shall be required to actually reside within the required boundary.
- (c) Location of residency shall not be an excuse for absences or tardiness and may result in a deduction of pay, disciplinary action and/or discharge.
- (d) It shall be the responsibility of each employee to immediately notify the Administration office of any change in residence address or telephone number.
- (e) The City Administrator shall have the power to promulgate, in writing, rules and regulations which shall be reasonably necessary for the purpose of carrying out the provisions of this policy.

ARTICLE T. COMPUTER USAGE

- (a) All information technology systems that are owned or supported by the City of Basehor and/or are connected to the City of Basehor computer network system are governed by this policy.
- (b) All data from any source or for any purpose that is stored on City computer equipment is the property of the City of Basehor.
- (c) Unauthorized use of information technology systems for non-work related reasons is not permitted during work hours.
- (d) All software must be rightfully licensed. Unauthorized copying of licensed software is illegal and is strictly prohibited.
- (e) The City Administrator or ~~their~~ his designee shall install all software on City owned computer equipment and will be responsible for an oversight of registration of the software.
- (f) Computer games are not permitted on City computers.
- (g) Hardware and software that is not purchased by the City is prohibited from connection or installation to the City network in any way unless authorized by the City Administrator.
- (h) City-owned information technology systems shall not be removed from the City premises without authorization of the City Administrator.
- (i) Inappropriate use of Internet access and electronic mail (e-mail), including but not limited to, use of the Internet or e-mail system for personal gain or solicitation, campaign activities, sending harassing or threatening messages to others, forwarding "for-profit" messages or chain letters, or sending or accessing pornography or pornographic materials, is prohibited.
- (j) All e-mail and internet communication messages are the property of the City of Basehor and management reserves the right to access all messages. Employee Internet and e-mail usage will be monitored. Excessive use of the Internet or e-mail for personal use is prohibited.
- (k) New employees may be required to attend specific training courses relative to the nature of their position.
- (l) Employees in violation of this policy shall be subject to appropriate disciplinary action up to

and including termination.

ARTICLE U. CELLULAR TELEPHONE USAGE

The purchase and assignment of cellular telephones shall be subject to the approval of the employee's department head and the City Administrator. All cellular plans and purchases will be administered by the City Administrator.

(a) Cellular telephones are not to be used when a less costly alternative is readily available.

~~(b) Cellular telephones provided by the City are intended to be used solely in the conduct of City business. No personnel use of City phones is allowed except as outlined in U (c).~~

~~(b)~~

~~(c) Cellular services for certain supervisory staff, as deemed necessary by the City Administrator, will be billed as a taxable benefit and will not fall under the monthly itemization process. Additional charges incurred by select supervisory staff that is not part of their package plan will need to be reimbursed to the City at the actual amount charged by the cellular provider.~~

~~(d)(c) The employee assigned the use of a cellular telephone is expected to exercise discretion regarding persons having access to their cellular telephone number in an effort to minimize telephone usage costs.~~

Usage such as text messaging and data services of any kind, including picture transmission via cellular means, will be the responsibility of the user at the actual cost charged by the cellular provider. An exception would be services that are part of an existing package on select telephones.

~~(d)~~

~~(e) Employees are reminded that cellular telephones should not be considered secure. Therefore, employees should use discretion in relaying confidential information, and reasonable precautions should be made to prevent equipment theft. Reasonable precautions should also be taken regarding loss or general damage to the telephone and related equipment.~~

~~(e) It is the employee's responsibility to reimburse the City for the replacement of damaged or lost telephones and equipment, unless the department head chooses to replace the telephone through their departmental budget.~~

(f) Employees who violate this policy shall be subject to appropriate disciplinary action up to and including termination.

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ARTICLE V. EMPLOYEE DRESS AND GROOMING

- (a) Employees are expected at all times to present a professional, businesslike image to customers and the public. Acceptable personal appearance is an ongoing requirement of employment with the City.
- (b) Office workers and any employees who have regular contact with the public must comply with the following personal appearance standards:
 - (1) Employees are expected to dress in a manner that is normally acceptable in similar business establishments. Employees should not wear suggestive attire, jeans, athletic clothing, shorts, t-shirts, novelty buttons, baseball hats, and similar items of casual attire that do not present a businesslike appearance.
 - (2) Hair should be clean, combed, and neatly trimmed or arranged. Shaggy, unkempt hair is not permissible regardless of length.
 - (3) Sideburns, mustaches, and beards should be neatly trimmed.
 - (4) Tattoos and body piercing, other than earrings worn in ears, should not be visible. For those employees that have visible tattoos or piercings, the department head has the authority to request an employee to wear appropriate clothing to cover the tattoo or piercing based on the employee's position and exposure to the public.
 - (5) Certain employees, such as those employed by the Police Department, may be required to meet special dress, grooming, and hygiene standards depending on the nature of their job.
- (c) Employees who are furnished uniforms by the City are to maintain the uniforms in a professional manner. Specific regulations regarding uniforms may be adopted by individual departments, but generally, uniforms will include a City emblem and will identify the employee and department. Employees are not to alter uniforms in any manner and they are to be worn during working hours only. At the time of termination, all City uniforms shall be returned to the employee's supervisor.
- (d) Non-uniformed seasonal or part-time employees are required to wear clean, neat, casual clothing appropriate for the safety of the position for which they are hired.
- (e) The City Administrator may establish casual days on which the dress guidelines will vary. On these occasions, employees are still expected to present a neat appearance and are not permitted to wear ripped or disheveled clothing, athletic wear, or similarly inappropriate clothing.

- (f) All employees are to adhere to safety rules and regulations relating to the type of clothing required, the shoes worn, jewelry, hair, and beards as pertinent to the job they hold and as stipulated by their supervisor.
- (g) Any employee who does not meet the standards of this policy will be required to take corrective action, which may include leaving the premises, during which time the employee will not receive compensation. Violations of this policy may result in disciplinary action.

ARTICLE W. INCLEMENT WEATHER

(a) Generally, inclement weather does not warrant the closing of City facilities. Every employee is expected to make every attempt to report to work as usual. Some City operations and activities must continue regardless of, or because of, the weather conditions. Department heads and emergency personnel are required to work during inclement weather in accordance with their individual departmental rules and regulations.

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(b) If local weather conditions make it impossible for non-emergency personnel to report to work, the employee is expected to notify their supervisor in the same manner as for any other absence. PTO or leave without pay may be used.

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(c) If weather conditions become progressively worse during the course of the work day, all employees will be expected to finish out their work schedule unless granted leave or contrary instructions are received from the City Administrator.

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ARTICLE X. PUBLIC RELATIONS

- X-1. Citizen Communications.** The City of Basehor is a service organization and the first priority of all City employees should be to serve the citizens of Basehor in an efficient, effective and professional manner. Employees should always remember that the citizen comes first and areis entitled to the same thoughtful treatment that employees would like to receive.
- (a) Questions, complaints, and inquiries from citizens should be given immediate attention. If a citizen/customer does become abusive or argumentative and the employee cannot properly handle the situation, the citizen/customer should be referred to the employee's supervisor.
 - (b) All citizen/customer inquiries shall be followed up within a reasonable time period.
 - (c) Employees shall exercise courtesy and thoughtfulness in using the telephone. When answering the telephone, the department shall be identified.
 - (d) Impolite, abrasive, and unprofessional behavior in dealing with the public is ~~totally~~ unacceptable. Such behavior could result in disciplinary action or termination of employment.
- X-2. Media Releases.** City employees who receive requests for information from the media should relay those requests to their department heads immediately. Upon receipt of a request for information, department heads should either provide the information to the media as soon as possible or pass the request on to the City Administrator's Office if it would be more appropriate for that office to respond to the request. A department head that chooses to provide information to the media on a non-routine matter, shall report the conversation to the City Administrator as soon as possible.
- X-3. Open Meetings Law.** The City Clerk shall act as the local Freedom of Information Officer and shall address any requests or questions relative to the Kansas Open Records Act as adopted by K.S.A. 45-221.

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City of Basehor
Agenda Item Cover Sheet

Agenda Item No. 8

Topic: Interim City Administrator salary adjustment

Action Requested: Approve salary adjustment for Interim City Administrator position.

Narrative: Lloyd Martley has held the position of Interim City Administrator since September 2011. Currently the salary for this position is 1,095.47 per month and is exempt. During the work session the council agreed to increase the pay for this position by 1,000.00 per month starting 1-1-2013 and the position will remain exempt.

Presented by: Lloyd Martley, Interim City Administrator

Administration Recommendation: Approve proposed increase of 1,000 per month

Committee Recommendation:

Attachments: None

Projector needed for this item?

No

City of Basehor
Agenda Item Cover Sheet

Agenda Item No. 9

Topic: Release agreement with Brian Healy

Action Requested: Approve resolution 2012-26

Narrative: On October 9, 2012, the City replaced the entire grinder pump system at 18175 Cypress, due to a complete failure. The construction of the new system and the depth of the grinder pit replacement caused the removal of small trees, shrubs, bushes and general disturbance of the yard. Per policy when construction of this nature is completed we are required to restore the property equal to or better than its original form. It is estimated that the cost of restoring this property would be \$1,200.00 dollars. The landowner has agreed to complete the restoration himself and release the City from all liabilities and responsibilities related to this project for the payment of \$1,200.00 dollars.

Presented by: Gene Myracle/Lloyd Martley

Administration Recommendation: Approve resolution 2012-26

Committee Recommendation:

Attachments: Release agreement, Resolution

Projector needed for this item?

No

RESOLUTION NO. 2012-0026

A RESOLUTION APPROVING AND AUTHORIZING THE MAYOR TO EXECUTE THAT CERTAIN RELEASE AGREEMENT BY AND BETWEEN THE CITY OF BASEHOR, KANSAS AND BRIAN AND DEBORAH HEALY REGARDING REPLACEMENT OF SEWER EQUIPMENT.

WHEREAS, the City of Basehor, Kansas wishes to enter into that certain Release Agreement, attached hereto as Exhibit A.

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE CITY OF BASEHOR KANSAS:

Section 1. That the Governing Body approves and hereby authorizes the Mayor to execute the Release Agreement, attached as Exhibit A.

Section 2. That this resolution shall become effective upon passage.

PASSED by the Governing Body this ___ day of December, 2012.

APPROVED by the Mayor this ___ day of December, 2012.

[SEAL]

David Breuer, Mayor

ATTEST:

Corey Swisher, City Clerk

APPROVED AS TO FORM:

Shannon M. Marcano, City Attorney

Exhibit A
Release Agreement

To be attached.

RELEASE AGREEMENT

THIS RELEASE AGREEMENT ("Agreement") is executed by the City of Basehor, Kansas (the "City") and Brian and Deborah Healy (the "Landowners") on the 3 day of December, 2012.

1. **Background.** The Landowners own the property located at 18175 Cypress in the Cedar Lakes Subdivision, Basehor, Kansas. On October 9, 2012, the City replaced the entire grinder pump system at 18175 Cypress, due to complete failure. The new system was purchased from Haynes Equipment Company and installed by a private contractor utilized by the City. The system replacement was completed on October 9, 2012. The construction of the new system and the depth of the grinder pit replacement caused the removal of small trees, scrubs and bushes and general disturbance of the Landowners' yard. It is estimated that the cost to restore the yard to its original or better condition will be \$1,200. The restoration was not, and will not be, performed by the City.

2. **Agreement.** The City shall cause payment of \$1,200 to be made to the Landowners for the restoration of the yard to its original or better condition.

3. **Mutual Release.** In consideration of the payment described above and in provision of the releases, covenants and promises set forth in this Agreement, the sufficiency of which the parties acknowledge, the parties for themselves, successors and assigns expressly release each other and each other's party successors and assigns who are or might be liable, none of whom admit any liability but expressly deny any liability whatsoever from any and all claims and demands of whatever nature, actions and causes of action whether known in law or in equity, damages, costs, fees, expenses, loss of service, compensation and suits of any kind, whether known or unknown, that exist as of this date from or on account of or in any way growing out of which may be traced either directly or indirectly to the replacement of the grinder pump system, including all claims raised or that could have been raised by either party, and all claims arising from any alleged misrepresentations, acts of deceit or arising from any act, omission, matter, event or occurrence occurring at any time through the date of this Agreement.

4. **Denial of Liability.** Nothing stated in this Agreement shall be construed as an admission of liability by any party. This Agreement shall forever settle, adjust or discharge any and all claims which the parties may have against each other arising from the actions or inactions described in this Agreement, and each party shall pay its own costs and attorneys' fees.

5. **Entire Agreement.** This Agreement contains the entire understanding between the parties with respect to its subject matter, and supersedes all other prior and contemporaneous agreements and understandings, inducements or conditions, express or implied, oral or written, except as contained in this Agreement. The express terms of this Agreement control and supersede any course of performance and usage of the trade inconsistent with any of its terms. This Agreement may not be modified or amended other than by agreement in writing and signature by the parties.

6. **Voluntary Agreement.** The parties acknowledge that they have read this Agreement, have had the opportunity to consult with their own attorneys prior to executing it, and that they have fully understood this Agreement. This Agreement has been executed by persons having full power and authority to bind the named signatories.

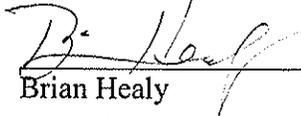
THE CITY OF BASEHOR, KANSAS

Mayor David K. Breuer

ATTEST

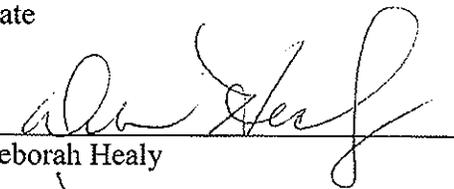
Corey Swisher, City Clerk

LANDOWNERS



Brian Healy

3 Dec 2012
Date



Deborah Healy

3 Dec 2012
Date



The City of Basehor

Date: December 11, 2012
To: Basehor Mayor & City Council
Interim City Administrator/Police Chief Lloyd Martley
From: Corey Swisher, City Clerk/Finance Director
Re: Resolution Authorizing the Sale of General Obligation Refunding Bonds

Background:

This Resolution gives the City Authority under state law to refinance general obligation bonds.

Due to the current interest rate environment, the City has the opportunity to issue its general obligation refunding bonds in order to achieve an interest cost savings on all or a portion of the debt represented by such general obligation bonds described as follows:

<u>Description</u>	<u>Series</u>	<u>Dated Date</u>	<u>Years</u>	<u>Amount</u>
General Obligation Refunding and Improvement Bonds	2004	January 15, 2004	2014 to 2019	\$1,535,000
General Obligation Bonds	2005	August 15, 2005	2014 to 2025	1,975,000

The average interest rate on the 2004 and 2005 bonds, respectively, are 4.19% and 4.01%. The estimated average interest rate on the new (refunding) bonds is 1.36%. Average annual savings are those that occur after the call date. The estimated savings is currently \$388,000 and is subject to market movement.

Action Requested of Council:

Approve Resolution 2012-28.

Attachments:

Resolution 2012-28

G.O. SALE DOCUMENTS

- A. Excerpt of Minutes of Meeting authorizing offering for sale of Bonds
 - B. Resolution for a Public Sale
 - C. Certificate Deeming Preliminary Official Statement Final
 - D. SLGS Application Letters
 - E. Notice of Bond Sale
 - F. Official Bid Form
-

**EXCERPT OF MINUTES OF A MEETING
OF THE GOVERNING BODY OF
THE CITY OF BASEHOR, KANSAS
HELD ON DECEMBER 17, 2012**

The governing body met in regular session at the usual meeting place in the City, at 7:00 p.m., the following members being present and participating, to-wit:

Absent:

The Mayor declared that a quorum was present and called the meeting to order.

(Other Proceedings)

The matter of providing for the offering for sale of General Obligation Refunding Bonds, Series 2013-A, came on for consideration and was discussed.

Councilmember _____ presented and moved the adoption of a Resolution entitled:

**RESOLUTION 2012-28 AUTHORIZING THE OFFERING FOR SALE OF
GENERAL OBLIGATION REFUNDING BONDS, SERIES 2013-A, OF THE CITY
OF BASEHOR, KANSAS.**

Councilmember _____ seconded the motion to adopt the Resolution. Thereupon, the Resolution was read and considered, and, the question being put to a roll call vote, the vote thereon was as follows:

Aye: _____.

Nay: _____.

The Mayor declared the Resolution duly adopted; the Clerk designating the same Resolution No. _____.

(Other Proceedings)

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CERTIFICATE

I hereby certify that the foregoing Excerpt of Minutes is a true and correct excerpt of the proceedings of the governing body of the City of Basehor, Kansas, held on the date stated therein, and that the official minutes of such proceedings are on file in my office.

(SEAL)

Clerk

RESOLUTION NO. 2012-28

RESOLUTION AUTHORIZING THE OFFERING FOR SALE OF GENERAL OBLIGATION REFUNDING BONDS, SERIES 2013-A, OF THE CITY OF BASEHOR, KANSAS.

WHEREAS, the City of Basehor, Kansas (the "Issuer") has heretofore issued and has outstanding general obligation bonds; and

WHEREAS, due to the current interest rate environment, the Issuer has the opportunity to issue its general obligation refunding bonds in order to achieve an interest cost savings on all or a portion of the debt represented by such general obligation bonds described as follows (collectively the "Refunded Bonds"):

<u>Description</u>	<u>Series</u>	<u>Dated Date</u>	<u>Years</u>	<u>Amount</u>
General Obligation Refunding and Improvement Bonds	2004	January 15, 2004	2014 to 2019	\$1,535,000
General Obligation Bonds	2005	August 15, 2005	2014 to 2025	1,975,000

; and

WHEREAS, the Issuer has selected the firm of Springsted, Incorporated, St. Paul, Minnesota ("Financial Advisor"), as financial advisor for one or more series of general obligation refunding bonds of the Issuer to be issued in order to provide funds to refund the Refunded Bonds; and

WHEREAS, the Issuer desires to authorize the Financial Advisor to proceed with the offering for sale of said general obligation refunding bonds and related activities; and

WHEREAS, one of the duties and responsibilities of the Issuer is to prepare and distribute a preliminary official statement relating to said general obligation refunding bonds; and

WHEREAS, the Issuer desires to authorize the Financial Advisor and Bond Counsel, in conjunction with the Clerk, to proceed with the preparation and distribution of a preliminary official statement and notice of bond sale and to authorize the distribution thereof and all other preliminary action necessary to sell said general obligation refunding bonds.

BE IT RESOLVED BY THE GOVERNING BODY OF THE CITY OF BASEHOR, KANSAS, AS FOLLOWS:

Section 1. The Issuer is hereby authorized to offer for sale the Issuer's General Obligation Refunding Bonds, Series 2013-A (the "Bonds") described in the Notice of Bond Sale, which is hereby approved in substantially the form presented to the governing body this date.

Section 2. The Mayor and Clerk in conjunction with the Financial Advisor and Bond Counsel are hereby authorized to cause to be prepared a Preliminary Official Statement, and such officials and other representatives of the Issuer are hereby authorized to use such document in connection with the sale of the Bonds.

Section 3. The Clerk, in conjunction with the Financial Advisor and Gilmore & Bell, P.C., Kansas City, Missouri (“Bond Counsel”), is hereby authorized and directed to give notice of said bond sale by distributing copies of the Notice of Bond Sale and Preliminary Official Statement to prospective purchasers of the Bonds. Proposals for the purchase of the Bonds shall be submitted upon the terms and conditions set forth in said Notice of Bond Sale, and shall be delivered to the governing body at its meeting to be held on such date, at which meeting the governing body shall review such bids and shall award the sale of the Bonds or reject all proposals.

Section 4. For the purpose of enabling the purchaser of the Bonds (the “Purchaser”) to comply with the requirements of Rule 15c2-12 of the Securities and Exchange Commission (the “Rule”), the Mayor and Clerk or other appropriate officers of the Issuer are hereby authorized: (a) to approve the form of said Preliminary Official Statement and to execute the “Certificate Deeming Preliminary Official Statement Final” in substantially the form attached hereto as *Exhibit A* as approval of the Preliminary Official Statement, such official’s signature thereon being conclusive evidence of such official’s and the Issuer’s approval thereof; (b) covenant to provide continuous secondary market disclosure by annually transmitting certain financial information and operating data and other information necessary to comply with the Rule to the Municipal Securities Rulemaking Board; and (c) take such other actions or execute such other documents as such officers in their reasonable judgment deem necessary to enable the Purchaser to comply with the requirement of the Rule.

Section 5. The Issuer agrees to provide to the Purchaser within seven business days of the date of the sale of Bonds or within sufficient time to accompany any confirmation that requests payment from any customer of the Purchaser, whichever is earlier, sufficient copies of the final Official Statement to enable the Purchaser to comply with the requirements of the Rule and with the requirements of Rule G-32 of the Municipal Securities Rulemaking Board.

Section 6. The Mayor, Clerk and the other officers and representatives of the Issuer, the Financial Advisor and Bond Counsel are hereby authorized and directed to take such other action as may be necessary to carry out the sale of the Bonds.

Section 7. The officers and representatives of the Issuer are hereby authorized and directed to take such action as may be necessary, after consultation with the Financial Advisor and Bond Counsel, to subscribe for the United States Treasury Securities to be purchased and deposited in the escrow for the Refunded Bonds and to provide for notice of redemption of the Refunded Bonds.

Section 8. This Resolution shall be in full force and effect from and after its adoption.

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ADOPTED by the governing body on December 17, 2012.

(SEAL)

ATTEST:

Mayor

Clerk

EXHIBIT A

**CERTIFICATE DEEMING
PRELIMINARY OFFICIAL STATEMENT FINAL**

December 21, 2012

To: [Purchaser Name]
[Purchaser City, State]

Re: Approximately \$3,620,000 City of Basehor, Kansas, General Obligation Refunding
Bonds, Series 2013-A

The undersigneds are the duly acting Mayor and Clerk of the City of Basehor, Kansas (the "Issuer"), and are authorized to deliver this Certificate to the addressee (the "Purchaser") on behalf of the Issuer. The Issuer has heretofore caused to be delivered to the Purchaser copies of the Preliminary Official Statement (the "Preliminary Official Statement") relating to the above-referenced bonds (the "Bonds").

For the purpose of enabling the Purchaser to comply with the requirements of Rule 15c2-12(b)(1) of the Securities and Exchange Commission (the "Rule"), the Issuer hereby deems the information regarding the Issuer contained in the Preliminary Official Statement to be final as of its date, except for the omission of such information as is permitted by the Rule, such as offering prices, interest rates, selling compensation, aggregate principal amount, principal per maturity, delivery dates, ratings, identity of the underwriters and other terms of the Bonds depending on such matters.

CITY OF BASEHOR, KANSAS

By: _____
Title: Mayor

By: _____
Title: Clerk

CITY OF BASEHOR, KANSAS
2620 N. 155th Street, Basehor, Kansas 66007

[Date]

Gilmore & Bell, P.C.
2405 Grand Blvd., Suite 1100
Kansas City, Missouri 64108

Re: Subscription for Purchase of United States Treasury Time Deposit Securities – State and Local Government Series

Issuer: City of Basehor, Kansas

Tax I.D. No: 48-[_____]

Bonds: General Obligation Refunding Bonds, Series 2013-A (the “Bonds”)

Financial Advisor: Springsted, Incorporated, St. Paul, Minnesota 55101-2887

Underwriter: TBD

Escrow Agent: [Escrow Agent Name], [Escrow Agent City], Kansas - ABA No. [_____]

Bond Counsel: Gilmore & Bell, P.C., Kansas City, Missouri

In connection with the issuance of the Bonds, the Issuer authorizes Bond Counsel to submit an initial subscription on its behalf for the purchase of United States Treasury Time Deposit Securities - State and Local Government Series (the “SLGS”), to be issued as entries on the books of the Bureau of the Public Debt, Department of the Treasury. The total amount of the subscription and the issue date will be determined at a later date.

The Issuer certifies that the SLGS will be purchased solely from proceeds of the Bonds, and not from any amounts received from either: (a) the sale or redemption before maturity of any marketable security, or (b) the redemption before maturity of a time deposit SLGS (other than a zero-interest SLG).

The Issuer agrees that the final subscription and payment for the SLGS will be submitted to the U.S. Treasury on or before the issue date. The Issuer further authorizes Bond Counsel, Escrow Agent, and Financial Advisor to file the final subscription for SLGS, to amend or cancel such subscription, and to re-subscribe for SLGS, all on behalf of the Issuer. The Issuer understands that, if it fails to settle on the subscription for the SLGS or makes an untimely or unauthorized change to the subscription, the Bureau of Public Debt may bar the Issuer from subscribing for SLGS for six months beginning on the earlier of (a) the date the subscription is withdrawn, or (b) the proposed issue date of the SLGS.

CITY OF BASEHOR, KANSAS

By: _____
Name: Corey Swisher, Clerk

NOTICE OF BOND SALE

\$3,620,000

CITY OF BASEHOR, KANSAS

GENERAL OBLIGATION REFUNDING BONDS SERIES 2013-A

(GENERAL OBLIGATION BONDS PAYABLE
FROM UNLIMITED AD VALOREM TAXES)

Bids. Written, facsimile and electronic (as explained below) bids for the purchase of the above-referenced bonds (the "Bonds") of the City of Basehor, Kansas (the "Issuer") herein described will be received on behalf of the undersigned Clerk of the Issuer at the address hereinafter set forth in the case of written bids, and via PARITY® in the case of electronic bids, until 10:00 a.m., Central Time (the "Submittal Hour"), on

JANUARY 14, 2013

(the "Sale Date"). All bids will be publicly evaluated at said time and place and the award of the Bonds to the successful bidder (the "Successful Bidder") will be acted upon by the governing body at its meeting to be held at 7:00 p.m. on the Sale Date. No oral or auction bids will be considered. Capitalized terms not otherwise defined herein shall have the meanings set forth in the hereinafter referenced Preliminary Official Statement relating to the Bonds.

Terms of the Bonds. The Bonds will consist of fully registered bonds in the denomination of \$5,000 or any integral multiple thereof (the "Authorized Denomination"). The Bonds will be dated February 1, 2013 (the "Dated Date"), and will become due in principal installments on September 1 in the years as follows:

<u>Year</u>	<u>Principal Amount</u>	<u>Year</u>	<u>Principal Amount</u>
2014	\$430,000	2020	\$170,000
2015	425,000	2021	175,000
2016	425,000	2022	175,000
2017	435,000	2023	175,000
2018	420,000	2024	180,000
2019	425,000	2025	185,000

The Bonds will bear interest from the Dated Date at rates to be determined when the Bonds are sold as hereinafter provided, which interest will be payable semiannually on March 1 and September 1 in each year, beginning on September 1, 2013 (the "Interest Payment Dates").

Adjustment of Issue Size. The Issuer reserves the right to increase or decrease the total principal amount of the Bonds, depending on the purchase price and interest rates bid and the offering prices specified by the Successful Bidder. The principal amount of any maturity may be adjusted by the Issuer in order to properly size the Bond issue based on the required size of the refunding escrow created for the bonds to be refunded with proceeds of the Bonds and discount and interest rates bid on the Bonds. The Successful Bidder may not withdraw its bid or change the interest rates bid as a result of any changes made to the principal amount of the Bonds or principal of any maturity as described herein. If there is an increase or decrease in the final aggregate principal amount of the Bonds or the schedule of principal payments as described above, the Issuer will notify the Successful Bidder by means of telephone or facsimile transmission, subsequently confirmed in writing, no later than 2:00 p.m., central time, on the Sale Date. The actual purchase price for the Bonds shall be calculated by applying the percentage of par value bid by the Successful Bidder against the final aggregate principal amount of the Bonds, as adjusted, plus accrued interest from the date of the Bonds to the date of delivery.

Place of Payment. The principal of and interest on the Bonds will be payable in lawful money of the United States of America by check or draft of the Treasurer of the State of Kansas, Topeka, Kansas (the "Paying Agent" and "Bond Registrar"). The principal of each Bond will be payable at maturity or earlier redemption to the owner thereof whose name is on the registration books (the "Bond Register") of the Bond Registrar (the "Registered Owner") upon presentation and surrender at the principal office of the Paying Agent. Interest on each Bond will be payable to the Registered Owner of such Bond as of the fifteenth day (whether or not a business day) of the calendar month next preceding each Interest Payment Date (the "Record Date") (a) mailed by the Paying Agent to the address of such Registered Owner as shown on the Bond Register or at such other address as is furnished to the Paying Agent in writing by such Registered Owner; or (b) in the case of an interest payment to Cede & Co. or any Owner of \$500,000 or more in aggregate principal amount of Bonds, by wire transfer to such Registered Owner upon written notice given to the Paying Agent by such Registered Owner, not less than 15 days prior to the Record Date for such interest, containing the wire transfer address to which such Registered Owner wishes to have such wire directed.

Bond Registration. The Bonds will be registered pursuant to a plan of registration approved by the Issuer and the Attorney General of the State of Kansas (the "State"). The Issuer will pay for the fees of the Bond Registrar for registration and transfer of the Bonds and will also pay for printing a reasonable supply of registered bond blanks. Any additional costs or fees that might be incurred in the secondary market, other than fees of the Bond Registrar, will be the responsibility of the Owners.

Book-Entry-Only System. The Depository Trust Company, New York, New York ("DTC"), will act as securities depository for the Bonds. The Bonds will initially be issued exclusively in "book entry" form and shall be initially registered in the name of Cede & Co., as the nominee of DTC and no beneficial owner will receive certificates representing their interests in the Bonds. During the term of the Bonds, so long as the book-entry-only system is continued, the Issuer will make payments of principal of, premium, if any, and interest on the Bonds to DTC or its nominee as the Registered Owner of the Bonds, DTC will make book-entry-only transfers among its participants and receive and transmit payment of principal of, premium, if any, and interest on the Bonds to its participants who shall be responsible for transmitting payments to beneficial owners of the Bonds in accordance with agreements between such participants and the beneficial owners. The Issuer will not be responsible for maintaining, supervising or reviewing the records maintained by DTC, its participants or persons acting through such participants. In the event that: (a) DTC determines not to continue to act as securities depository for the Bonds, or (b) the Issuer determines that continuation of the book-entry-only form of evidence and transfer of ownership of the Bonds would adversely affect the interests of the beneficial owners of the Bonds, the Issuer will discontinue the book-entry-only form of registration with DTC. If the Issuer fails to identify another qualified securities depository to replace DTC, the Issuer will cause to be authenticated and delivered to the beneficial owners replacement Bonds in the form of fully registered certificates. Reference is made to the Official Statement for further information regarding the book-entry-only system of registration of the Bonds and DTC.

Redemption of Bonds Prior to Maturity.

General. Whenever the Issuer is to select Bonds for the purpose of redemption, it will, in the case of Bonds in denominations greater than the minimum Authorized Denomination, if less than all of the Bonds then outstanding are to be called for redemption, treat each minimum Authorized Denomination of face value of each such fully registered Bond as though it were a separate Bond in the minimum Authorized Denomination.

Optional Redemption. At the option of the Issuer, Bonds maturing on September 1 in the years 2022, and thereafter, will be subject to redemption and payment prior to maturity on September 1, 2021, and thereafter, as a whole or in part (selection of maturities and the amount of Bonds of each maturity to be redeemed to be determined by the Issuer in such equitable manner as it may determine) at any time, at the redemption price of 100% (expressed as a percentage of the principal amount), plus accrued interest to the date of redemption.

Mandatory Redemption. A bidder may elect to have all or a portion of the Bonds scheduled to mature in consecutive years issued as term bonds (the "Term Bonds") scheduled to mature in the latest of said consecutive years and subject to mandatory redemption requirements consistent with the schedule of serial maturities set forth above, subject to the following conditions: (a) not less than all Bonds of the same serial maturity shall be converted to Term Bonds with mandatory redemption requirements; and (b) a bidder shall make such an election by completing the applicable paragraph on the Official Bid Form or completing the applicable information on PARITY®.

Notice and Effect of Call for Redemption. Unless waived by any owner of Bonds to be redeemed, if the Issuer shall call any Bonds for redemption and payment prior to the maturity thereof, the Issuer shall give written notice of its intention to call and pay said Bonds to the Bond Registrar and the Successful Bidder. In addition, the Issuer shall cause the Bond Registrar to give written notice of redemption to the registered owners of said Bonds. Each of said written notices shall be deposited in United States first class mail not less than 30 days prior to the date fixed for redemption. All notices of redemption shall state the date of redemption, the redemption price, the Bonds to be redeemed, the place of surrender of Bonds so called for redemption and a statement of the effect of the redemption. The Issuer shall also give such additional notice as may be required by Kansas law or regulation of the Securities and Exchange Commission in effect as of the date of such notice. If any Bond be called for redemption and payment as aforesaid, all interest on such Bond shall cease from and after the date for which such call is made, provided funds are available for its payment at the price hereinbefore specified.

Authority, Purpose and Security. The Bonds are being issued pursuant to K.S.A. 10-427 *et seq.*, as amended, and an ordinance and a resolution adopted by the governing body of the Issuer (collectively the "Bond Resolution") for the purpose of refunding certain outstanding bonds of the Issuer. The Bonds shall be general obligations of the Issuer payable as to both principal and interest from ad valorem taxes which may be levied without limitation as to rate or amount upon all the taxable tangible property, real and personal, within the territorial limits of the Issuer. The full faith, credit and resources of the Issuer are irrevocably pledged for the prompt payment of the principal and interest on the Bonds as the same become due.

Submission of Bids. Written bids must be made on forms which may be procured from the Clerk or the Financial Advisor and shall be addressed to the undersigned, and marked "Proposal for General Obligation Refunding Bonds, Series 2013-A." Written bids submitted by facsimile should not be preceded by a cover sheet and should be sent only once to (651) 223-3046. Confirmation of receipt of facsimile bids may be made by contacting the Financial Advisor at the number listed below. Electronic bids via PARITY® must be submitted in accordance with its Rules of Participation, as well as the provisions of this Notice of Bond Sale. If provisions of this Notice of Bond Sale conflict with those of PARITY®, this Notice of Bond Sale shall control. Bids must be received prior to the Submittal Hour on the Sale Date accompanied by the Deposit (as hereinafter defined), which may be submitted separately. The Issuer shall not be responsible for any failure, misdirection or error in the means of transmission selected by any bidder.

PARITY®. Information about the electronic bidding services of PARITY® may be obtained from i-Deal LLC at 1359 Broadway, 2nd Floor, New York, New York 10018, Phone No. (212) 849-5023.

Conditions of Bids. Proposals will be received on the Bonds bearing such rate or rates of interest as may be specified by the bidders, subject to the following conditions: (a) the same rate shall apply to all Bonds of the same maturity year; (b) no interest rate may exceed a rate equal to the daily yield for the 10-year Treasury Bond published by **THE BOND BUYER**, in New York, New York, on the Monday next preceding the day on which the Bonds are sold, plus 3%; (c) no supplemental interest payments will be considered; and (d) each interest rate specified shall be a multiple of 1/8 or 1/20 of 1%. Rates are not required to be in level or ascending order, however, the rate for any maturity cannot be more than 1% lower than the highest rate of any of the preceding maturities. No bid for less than 100% of the principal amount of the Bonds and accrued interest thereon to the date of delivery will be considered. Each bid shall specify the total interest cost (expressed in dollars) during the term of the Bonds on the basis of such bid, the premium, if any, offered by the bidder, the net interest cost (expressed in dollars) on the basis of such bid, and the average annual net interest rate (expressed as a percentage) on the basis of such bid. Each bidder shall certify to the Issuer the correctness of the information contained on the Official Bid Form; the Issuer will be entitled to rely on such certification. Each bidder agrees that, if it is awarded the Bonds, it will provide the certification as to initial offering prices described under the caption "Certification as to Offering Price" in this Notice.

Good Faith Deposit. Each bid shall be accompanied by a good faith deposit (the Deposit") in the amount of \$72,400.00 payable to the order of the Issuer to secure the Issuer from any loss resulting from the failure of the bidder to comply with the terms of its bid. The Deposit, *which must be received by the Issuer or the Financial Advisor prior to the Submittal Hour.* The Deposit may be submitted in any of the following forms may be submitted in any of the following forms:

- (a) Certified or cashier's check drawn on a bank located in the United States of America;
- (b) financial surety bond as hereinafter described (the "Surety Bond"); or
- (c) wire transfer in Federal Reserve funds to the Financial Advisor on behalf of the Issuer, immediately available for use by the Issuer.

Deposits sent to the Financial Advisor on behalf of the Issuer in the form of a wire transfer of federal reserve funds shall be sent to:

Wells Fargo Bank, N.A., San Francisco, CA 94104
ABA #121000248
for credit to Springsted Incorporated, Account #635-5007954
Ref: City of Basehor, Kansas Series 2012 Good Faith Deposit

Contemporaneously with the submission of a wire transfer Deposit, such bidder shall send an email to the Financial Advisor at the email address set forth below, including the following information: (a) notification that a wire transfer has been made; (b) the amount of the wire transfer; and (c) return wire transfer instructions in the event such bid is unsuccessful.

All Surety Bonds must be from an insurance or surety company rated "AA" by Standard and Poor's Ratings Services, a division of The McGraw Hill Companies, Inc., or "Aa" by Moody's Investors Service and licensed to issue such a surety bond in the State. The Surety Bond must identify each bidder whose deposit is guaranteed by such Surety Bond. Good Faith checks submitted by unsuccessful bidders will be returned; wire transfer Deposits submitted by unsuccessful bidders will not be accepted or shall be returned in the same manner received on the next business day following the Sale Date. The Issuer reserves the right to withhold reasonable charges for any fees or expenses incurred in returning a wire transfer Deposit. If the sale of the Bonds is awarded to a bidder utilizing a Surety Bond, the Successful Bidder is required to submit to the Issuer a cashier's or certified check or wire transfer of immediately available federal funds to such financial institution requested by the Issuer, not later than 2:00 p.m., Central Time on the next business day following the Sale Date. If such funds are not received by such time, the Surety Bond may be drawn on by the Issuer to satisfy the Deposit requirement. No interest on the Deposit will be paid by the Issuer. If a bid is accepted, the Deposit, or the proceeds thereof, will be held by the Issuer until the Successful Bidder has complied with all of the terms and conditions of this Notice at which time the amount of said Deposit shall be returned to the Successful Bidder or deducted from the purchase price at the option of the Issuer. If a bid is accepted but the Issuer fails to deliver the Bonds to the Successful Bidder in accordance with the terms and conditions of this Notice, said Deposit, or the proceeds thereof, will be returned to the Successful Bidder. If a bid is accepted but the bidder defaults in the performance of any of the terms and conditions of this Notice, the proceeds of such Deposit will be retained by the Issuer as and for liquidated damages.

Basis of Award. Subject to the timely receipt of the Deposit set forth above, the award of the Bonds will be made on the basis of the lowest true interest cost ("TIC"), which will be determined as follows: the TIC is the discount rate (expressed as a per annum percentage rate) which, when used in computing the present value of all payments of principal and interest to be paid on the Bonds, from the payment dates to the Dated Date, produces an amount equal to the price bid, including any adjustments for premium, if any. Present value will be computed on the basis of semiannual compounding and a 360-day year of twelve 30-day months. Bidders are requested to provide a calculation of the TIC for the Bonds on the Official Bid Form, computed as specified herein on the basis of their respective bids, which shall be considered as informative only and not binding on either the Issuer or the bidder. The Issuer or its Financial Advisor will verify the TIC based on such bids. If there is any discrepancy between the TIC specified and the bid price and interest rates specified, the specified bid price and interest rates shall govern and the TIC specified in the bid shall be adjusted accordingly. If two or more proper bids providing for identical amounts for the lowest TIC are received, the governing body of the Issuer will determine which bid, if any, will be accepted, and its determination is final.

The Issuer reserves the right to reject any and/or all bids and to waive any irregularities in a submitted bid. Any bid received after the Submittal Hour on the Sale Date will be returned to the bidder. Any disputes arising hereunder shall be governed by the laws of the State, and any party submitting a bid agrees to be subject to jurisdiction and venue of the federal and state courts within Kansas with regard to such dispute. The award of the Bonds is predicated upon the Issuer

achieving a certain level of savings in conjunction with the refunding issue, such amount to be solely determined by the Issuer.

The Issuer's acceptance of the Successful Bidder's proposal for the purchase of the Bonds in accordance with this Notice of Bond Sale shall constitute a contract between the Issuer and the Successful Bidder for the purposes of Rule 15c2-12 of the Securities and Exchange Commission (the "Rule") and Rule G-32 of the Municipal Securities Rulemaking Board ("Rule G-32") and a bond purchase agreement for purposes of the laws of the State. The method of acceptance shall be determined solely by the governing body of the Issuer.

Bond Ratings. The Issuer has applied to Standard & Poor's Ratings Services, a division of The McGraw-Hill Companies, Inc. for a rating on the Bonds herein offered for sale.

Optional Bond Insurance. The Issuer has **not** applied for any policy of municipal bond insurance with respect to the Bonds. If the Bonds qualify for municipal bond insurance, and any bidder desires to purchase such policy, such indication and the name of the desired insurer must be set forth on the bidder's Official Bid Form. The Issuer specifically reserves the right to reject any bid specifying municipal bond insurance, even though such bid may result in the lowest TIC to the Issuer. All costs associated with the issuance of such policy and associated ratings and expenses (other than any independent rating requested by the Issuer) shall be paid by the Successful Bidder. Failure of the municipal bond insurer to issue the policy after the award of the Bonds shall not constitute cause for failure or refusal by the Successful Bidder to accept delivery of the Bonds.

CUSIP Numbers. CUSIP identification numbers will be assigned and printed on the Bonds, but neither the failure to print such number on any Bond nor any error with respect thereto shall constitute cause for failure or refusal by the purchaser thereof to accept delivery of and pay for the Bonds in accordance with the terms of this Notice. All expenses in relation to the assignment and printing of CUSIP numbers on the Bonds will be paid by the Issuer.

Delivery and Payment. The Issuer will pay for preparation of the Bonds and will deliver the Bonds properly prepared, executed and registered without cost on or about **FEBRUARY 1, 2013** (the "Closing Date"), to DTC for the account of the Successful Bidder. The Successful Bidder will be furnished with a certified transcript of the proceedings evidencing the authorization and issuance of the Bonds and the usual closing documents, including a certificate that there is no litigation pending or threatened at the time of delivery of the Bonds affecting their validity and a certificate regarding the completeness and accuracy of the Official Statement. Payment for the Bonds shall be made in federal reserve funds, immediately available for use by the Issuer. The Issuer will deliver one Bond of each maturity registered in the nominee name of DTC.

Reoffering Prices. To provide the Issuer with information necessary for compliance with Section 148 of the Internal Revenue Code of 1986, as amended (the "Code"), the Successful Bidder will be required to complete, execute and deliver to the Issuer prior to the delivery of the Bonds, a written certification (the "Issue Price Certificate") containing the following: (a) the initial offering price and interest rate for each maturity of the Bonds; (b) that all of the Bonds were offered to the public in a bona fide public offering at the initial offering prices on the Sale Date; and (c) on the Sale Date the Successful Bidder reasonably expected that at least 10% of each maturity of the Bonds would be sold to the "public" at prices not higher than the initial offering prices. For purposes of the preceding sentence "public" means persons other than bond houses, brokers, or similar persons or organizations acting in the capacity of underwriters or wholesalers. However, such Issue Price Certificate may indicate that the Successful Bidder has purchased the Bonds for its own account in a capacity other than as an underwriter or wholesaler, and currently has no intent to reoffer the Bonds for sale to the public.

Subsequent to the Submittal Hour, such initial offering prices to the public shall be provided to the Issuer or the Financial Advisor not more than 20 minutes after requested by the Issuer or the Financial Advisor. Such initial offering prices to the public must also be included in the Official Bid Form submitted for the Bonds.

At the request of the Issuer, the Successful Bidder will provide information explaining the factual basis for the Successful Bidder's Issue Price Certificate. This agreement by the Successful Bidder to provide such information will continue to apply after the Closing Time if: (a) the Issuer requests the information in connection with an audit or inquiry by the Internal Revenue Service (the "IRS") or the Securities and Exchange Commission (the "SEC") or (b) the

information is required to be retained by the Issuer pursuant to future regulation or similar guidance from the IRS, the SEC or other federal or state regulatory authority.

Preliminary Official Statement and Official Statement. The Issuer has prepared a Preliminary Official Statement dated December 21, 2012, "deemed final" by the Issuer except for the omission of certain information as provided in the Rule, copies of which may be obtained from the Clerk or from the Financial Advisor. Upon the sale of the Bonds, the Issuer will adopt the final Official Statement and will furnish the Successful Bidder, without cost, within seven business days of the acceptance of the Successful Bidder's proposal, with a sufficient number of copies thereof, which may be in electronic format, in order for the Successful Bidder to comply with the requirements of the Rule and Rule G-32. Additional copies may be ordered by the Successful Bidder at its expense.

Continuing Disclosure. In the Bond Resolution, the Issuer has covenanted to provide annually certain financial information and operating data and other information necessary to comply with the Rule, and to transmit the same to the Municipal Securities Rulemaking Board. This covenant is for the benefit of and is enforceable by any Registered Owner of the Bonds. For further information, reference is made to the caption "CONTINUING DISCLOSURE" in the Preliminary Official Statement.

Assessed Valuation and Indebtedness. The total assessed valuation of the taxable tangible property within the Issuer for the year 2012 is as follows:

Equalized Assessed Valuation of	
Taxable Tangible Property	\$ _____
Tangible Valuation of Motor Vehicles	_____
Equalized Assessed Tangible Valuation	
for Computation of Bonded Debt Limitations	\$ _____

The total general obligation indebtedness of the Issuer as of the Dated Date, including the Bonds being sold, but excluding the bonds to be refunded with proceeds of the Bonds, is \$_____.

Legal Opinion. The Bonds will be sold subject to the approving legal opinion of Gilmore & Bell, P.C., Kansas City, Missouri, Bond Counsel, which opinion will be furnished and paid for by the Issuer, will be printed on the Bonds, if the Bonds are printed, and will be delivered to the Successful Bidder when the Bonds are delivered. Said opinion will also include the opinion of Bond Counsel relating to the interest on the Bonds being excluded from gross income for federal income tax purposes and exempt from income taxation by the State. Reference is made to the Preliminary Official Statement for further discussion of federal and Kansas income tax matters relating to the interest on the Bonds.

Additional Information. Additional information regarding the Bonds may be obtained from the undersigned, or from the Financial Advisor, at the addresses set forth below:

DATED: December 17, 2012.

CITY OF BASEHOR, KANSAS
By Corey Swisher, Clerk

Good Faith Deposit Delivery Address:

2620 N. 155th Street
Basehor, Kansas 66007
Phone No.: (913) 724-1370
Fax No.: (913) 724-3388

Financial Advisor - Facsimile Bid:

Springsted, Incorporated

380 Jackson Street, Suite 300
St. Paul, Minnesota 55101-2887
Attn: Bond Services
Phone No.: (651) 223-3000
Fax No.: (651) 223-3046

OFFICIAL BID FORM
 PROPOSAL FOR THE PURCHASE OF CITY OF BASEHOR, KANSAS
 GENERAL OBLIGATION REFUNDING BONDS

TO: Corey Swisher, Clerk
 City of Basehor, Kansas

January 14, 2013

For \$3,620,000 principal amount of General Obligation Refunding Bonds, Series 2013-A, of the City of Basehor, Kansas, to be dated February 1, 2013, as described in the Notice of Bond Sale dated December 17, 2012, said Bonds to bear interest as follows:

<u>Stated Maturity</u> <u>September 1</u>	<u>Principal Amount</u>	<u>Annual Rate of Interest</u>	<u>Stated Maturity</u> <u>September 1</u>	<u>Principal Amount</u>	<u>Annual Rate of Interest</u>
2014	\$430,000	_____ %	2020	\$170,000	_____ %
2015	425,000	_____ %	2021	175,000	_____ %
2016	425,000	_____ %	2022	175,000	_____ %
2017	435,000	_____ %	2023	175,000	_____ %
2018	420,000	_____ %	2024	180,000	_____ %
2019	425,000	_____ %	2025	185,000	_____ %

the undersigned will pay the purchase price for the Bonds set forth below, plus accrued interest to the date of delivery.

Principal Amount \$3,620,000.00
 Plus Premium (if any)
 Total Purchase Price \$
 Total interest cost to maturity at the rates specified \$
 Net interest cost (adjusted for Discount and/or Premium) \$
 True Interest Cost %

The Bidder elects to have the following Term Bonds:

<u>Maturity Date</u>	<u>Years</u>	<u>Amount*</u>
September 1, _____	_____ to _____	\$ _____
September 1, _____	_____ to _____	\$ _____

*subject to mandatory redemption requirements in the amounts and at the times shown above.

This proposal is subject to all terms and conditions contained in said Notice of Bond Sale, and if the undersigned is the Successful Bidder, the undersigned will comply with all of the provisions contained in said Notice. A cashier's or certified check, a wire transfer or a qualified financial surety bond in the amount of \$72,400.00 payable to the order of the Issuer, accompanies this proposal as an evidence of good faith. The acceptance of this proposal by the Issuer by execution below shall constitute a contract between the Issuer and the Successful Bidder for purposes of complying with Rule 15c2-12 of the Securities and Exchange Commission and a bond purchase agreement for purposes of the laws of the State of Kansas.

Submitted by: _____

(LIST ACCOUNT MEMBERS ON REVERSE)

By: _____
 Telephone No. (_____) _____

ACCEPTANCE

Pursuant to action duly taken by the Governing Body of the City of Basehor, Kansas, the above proposal is hereby accepted on January 14, 2013.

Attest:

 Clerk

 Mayor

NOTE: No additions or alterations in the above proposal form shall be made, and any erasures may cause rejection of any bid. Sealed bids may be filed with the Clerk, Corey Swisher, 2620 N. 155th Street, Basehor, Kansas 66007, facsimile bids may be filed with Springsted, Incorporated, Fax No. (651) 223-3046 or electronic bids may be submitted via **PARITY**[®], at or prior to 10:00 a.m., Central Time, on January 14, 2013. Any bid received after such time will not be accepted or shall be returned to the bidder.



The City of Basehor

Date: December 11, 2012
To: Basehor Mayor & City Council
Interim City Administrator/Police Chief Lloyd Martley
From: Corey Swisher, City Clerk/Finance Director
Re: Establish Bond Sale Date and Terms

Background:

Due to the current interest rate environment, the City has the opportunity to issue its general obligation refunding bonds in order to achieve an interest cost savings on all or a portion of the debt represented by such general obligation bonds described as follows:

<u>Description</u>	<u>Series</u>	<u>Dated Date</u>	<u>Years</u>	<u>Amount</u>
General Obligation Refunding and Improvement Bonds	2004	January 15, 2004	2014 to 2019	\$1,535,000
General Obligation Bonds	2005	August 15, 2005	2014 to 2025	1,975,000

The average interest rate on the 2004 and 2005 bonds, respectively, are 4.19% and 4.01%. The estimated average interest rate on the new (refunding) bonds is 1.36%. Average annual savings are those that occur after the call date. The estimated savings is currently \$388,000 and is subject to market movement.

Action Requested of Council:

A motion to establish the bond sale date of January 14, 2013 at 10:00 a.m. with the terms included in the attachment. That evening Council will be asked to consider the award of the bonds.

Attachments:

Recommendations for Issuance of Bonds.

City of Basehor, Kansas

Recommendations for Issuance of Bonds

\$3,620,000 General Obligation Refunding Bonds, Series 2013-A

The Council has under consideration the issuance of bonds to refund two outstanding general obligation bond issues of the City. This document provides information relative to the proposed issuance.

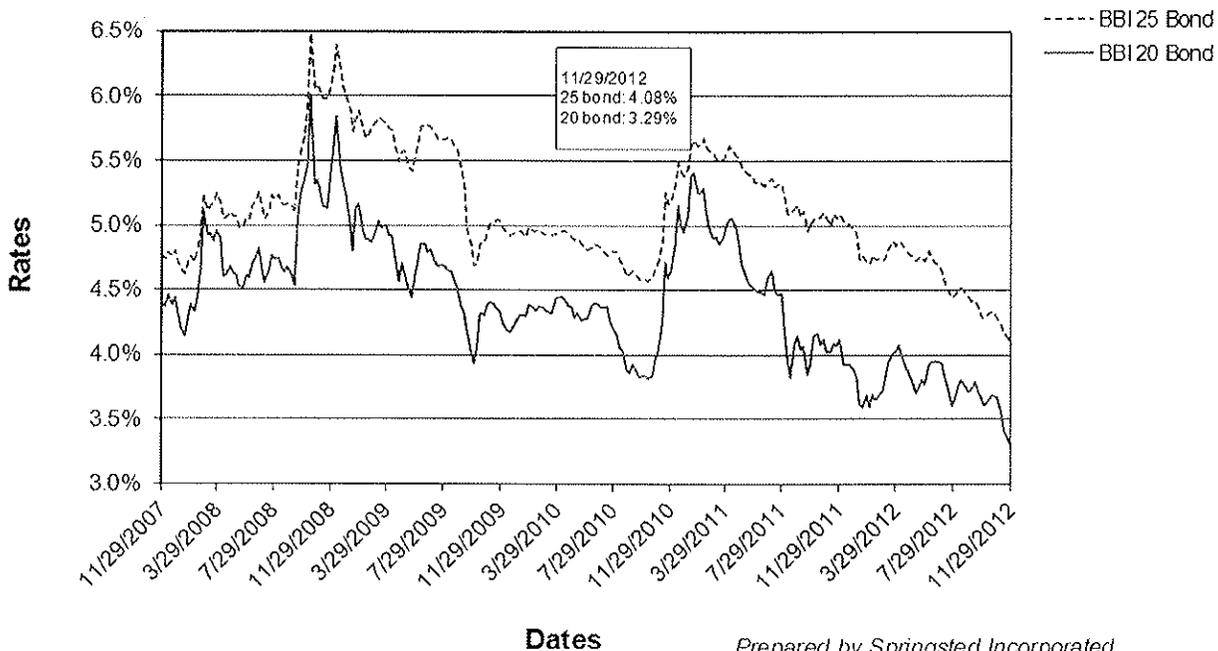
KEY EVENTS: The following summary schedule includes the timing of some of the key events that will occur relative to the bond issuance.

December 17, 2012	Council sets sale date and terms
Week of January 7, 2013 (est.)	Rating conference is conducted
January 14, 2013, 10:00 a.m.	Competitive bids are received
January 14, 2013, 6:00 p.m.	Council considers award of Bonds (Special meeting)
January 30, 2013	Proceeds are received

RATING: Application will be made to Standard & Poor's Ratings Services for a rating on the Bonds. The City's general obligation debt is currently rated "AA-" by S&P.

THE MARKET: Performance of the tax-exempt market is often measured by the Bond Buyer's Index ("BBI") which measures the yield of high grade municipal bonds in the 20th year for general obligation bonds (the BBI 20 Bond Index) and the 30th year for revenue bonds (the BBI 25 Bond Index). The following chart illustrates these two indices over the past five years.

BBI 25-bond (Revenue) and 20-bond (G.O.) Rates for 5 Years Ending 11/29/2012



Prepared by Springsted Incorporated

POST ISSUANCE COMPLIANCE:

The issuance of these bonds will result in post-issuance compliance responsibilities. The responsibilities are in two primary areas: i) compliance with federal arbitrage requirements and ii) compliance with secondary disclosure requirements.

Federal arbitrage requirements include a wide range of implications that have been taken into account as your issue has been structured. Post-issuance compliance responsibilities for your tax-exempt issue include both rebate and yield restriction provisions of the IRS Code. In general terms the arbitrage requirements control the earnings on unexpended bond proceeds, including investment earnings, moneys held for debt service payments (which are considered to be proceeds under the IRS regulations), and/or reserves. The City will not meet an expenditure exception to rebate because proceeds will be invested in an escrow account until the call date of the prior bonds. Arbitrage rules do not permit investment earnings on the escrow account to exceed the yield on the bonds; therefore no arbitrage would be earned. Certain proceeds may remain subject to rebate and yield restriction provisions will still apply to the debt service fund and any proceeds that remain unspent after three years. These funds should be monitored throughout the life of the issue.

Secondary disclosure requirements result from an SEC requirement that underwriters provide ongoing disclosure information to investors. To meet this requirement, any prospective underwriter will require the City to commit to providing the information needed to comply under a continuing disclosure agreement.

Springsted currently provides arbitrage and continuing disclosure compliance services to the City under a separate agreement. . This issue is included in the agreement.

SUPPLEMENTAL INFORMATION AND BOND RECORD:

Supplementary information will be available to staff including detailed terms and conditions of sale, comprehensive structuring schedules and information to assist in meeting post-issuance compliance responsibilities.

Upon completion of the financing, a bond record will be provided that contains pertinent documents and final debt service calculations for the transaction.

PURPOSE:

The Bonds are being issued to refund (i) portions of each of the September 1, 2014 through 2019 maturities of the City's General Obligation Refunding and Improvement Bonds, Series 2004, dated January 15, 2004 and (ii) the September 1, 2014 through 2025 maturities of the City's General Obligation Bonds, Series 2005, dated August 15, 2005 (together the "Prior Bonds"). The transaction is being undertaken to achieve interest cost savings.

The Series 2004 Bonds were originally issued to (i) redeem certain outstanding temporary notes of the City that financed improvements in the Falcon Lakes Subdivision and (ii) advance refund the City's General Obligation Bonds, Series 1997A. The Series 1997A Bonds may not again be advance refunded on a tax exempt basis. Therefore, the principal portion of the Series 2004 Bonds attributable to the refunding of the Series 1997A Bonds is not being refunded by this Issue and the City will continue to pay debt service on the non-refunded portion of the Series 2004 Bonds. The un-refunded portion of the Series 2004 Bonds totals \$105,000, representing annual principal payments of \$15,000 due 2013 through 2019.

The Series 2005 Bonds were originally issued to redeem certain outstanding temporary notes of the City issued to finance improvements in the Pinehurst Subdivision.

AUTHORITY: The Bonds are being issued pursuant to the laws of the State of Kansas, including K.S.A. 10-101 to 10-125, inclusive, and K.S.A. 10-427 *et seq.*, all as amended and supplemented.

SECURITY AND SOURCE OF PAYMENT: The Bonds will be general obligations of the City, secured by its full faith and credit and taxing power. The City expects to make the debt service payments from a combination of special assessments and tax levies.

The issuance of the Bonds is being conducted as a crossover advance refunding in which the proceeds of the Bonds are placed in an escrow account with a major bank and invested in government securities. These investments and their earnings are structured to pay interest on the Bonds to and including September 1, 2013 (the call date of the Prior Bonds), at which time the escrow account will prepay the remaining principal of the Prior Bonds. The City will continue to pay the originally scheduled debt service payments on the Prior Bonds through the September 1, 2013 call date. After the call date, the City will cross over and begin making debt service payments on the Bonds, taking advantage of the lower interest rates.

STRUCTURING SUMMARY: At the direction of City staff, the Bonds have been structured to provide for even annual savings on each of the Prior Bonds and with a term matching that of the Prior Bonds.

Based on current interest rates, these refunding transactions, taken as a whole, are projected to result in average annual savings of \$45,500 for the first six years and \$24,900 for the final six years. The total future value savings is estimated at approximately \$418,480, with a net present value, after all expenses, of \$387,950.

SCHEDULES ATTACHED: For the Bonds we have attached schedules showing: a preliminary feasibility summary, a combined savings schedule given the current interest rate environment and a schedule of debt service requirements.

RISKS/SPECIAL CONSIDERATIONS: The outcome of this financing will rely on the market conditions at the time of the sale. Any projections included herein are estimates based on current market conditions.

SALE TERMS AND MARKETING: Variability of Issue Size: A specific provision in the sale terms permits modifications to the issue size and/or maturity structure to customize the issue once the price and interest rates are set on the day of sale.

Prepayment Provisions: The Bonds maturing on or after September 1, 2022 may be prepaid at a price of par plus accrued interest on or after September 1, 2021.

Bank Qualification: The City does not expect to issue more than \$10 million in tax-exempt obligations that count against the \$10 million limit for calendar year 2013; therefore, the Bonds are designated as bank qualified.

FEDERAL CONSIDERATIONS AND/OR REQUIREMENTS: The Bonds are an advance refunding under federal tax law and may not themselves be advance refunded using tax exempt bonds. If market conditions permit, a current refunding could be done at or after the call date. Tax exempt advance refunding transactions have restrictive federal arbitrage limitations that pertain to the escrow account and the earnings thereon.

Coincident with the sale of the Bonds, a verification agent will be retained by the City to confirm that the refunding escrow is in compliance with federal yield restrictions and also to verify the adequacy of the escrow to satisfy its cash flow requirements.

\$3,620,000
City of Basehor, Kansas
General Obligation Refunding Bonds, Series 2013
Crossover Refunding of Series 2004, 2005

Preliminary Feasibility Summary

Dated 01/30/2013 | Delivered 01/30/2013

	Series 2013 Ref 2004	Series 2013 Ref 2005	Issue Summary
Sources Of Funds			
Par Amount of Bonds.....	\$1,580,000.00	\$2,040,000.00	\$3,620,000.00
Total Sources.....	\$1,580,000.00	\$2,040,000.00	\$3,620,000.00
Uses Of Funds			
Deposit to Crossover Escrow Fund.....	1,541,752.72	1,988,834.74	3,530,587.46
Costs of Issuance.....	22,696.13	29,303.87	52,000.00
Total Underwriter's Discount (0.950%).....	15,010.00	19,380.00	34,390.00
Rounding Amount.....	541.15	2,481.39	3,022.54
Total Uses.....	\$1,580,000.00	\$2,040,000.00	\$3,620,000.00
Flow of Funds Detail			
State and Local Government Series (SLGS) rates for.....	11/28/2012	11/28/2012	11/28/2012
Date of OMP Candidates.....			
Primary Purpose Fund Solution Method.....	Net Funded	Net Funded	Net Funded
Total Cost of Investments.....	\$1,541,752.72	\$1,988,834.74	\$3,530,587.46
Interest Earnings @ 0.139%.....	1,241.84	1,601.96	2,843.80
Total Draw s.....	\$1,542,994.56	\$1,990,436.70	\$3,533,431.26
PV Analysis Summary (Net to Net)			
Net PV Cashflow Savings @ 1.321%(Bond Yield).....	127,076.75	257,852.78	384,929.53
Contingency or Rounding Amount.....	541.15	2,481.39	3,022.54
Net Present Value Benefit.....	\$127,617.90	\$260,334.17	\$387,952.07
Net PV Benefit / \$3,510,000 Refunded Principal.....	8.314%	13.181%	11.053%
Net PV Benefit / \$3,620,000 Refunding Principal.....	8.077%	12.761%	10.717%
Bond Statistics			
Average Life.....	4.054 Years	7.243 Years	5.851 Years
Average Coupon.....	0.9325092%	1.5024818%	1.3301032%
Net Interest Cost (NIC).....	1.1668188%	1.6336434%	1.4924601%
Bond Yield for Arbitrage Purposes.....	1.3210427%	1.3210427%	1.3210427%
True Interest Cost (TIC).....	1.1725361%	1.6344259%	1.4922280%
All Inclusive Cost (AIC).....	1.5431904%	1.8504771%	1.7555312%

\$3,620,000
City of Basehor, Kansas
 General Obligation Refunding Bonds, Series 2013
 Crossover Refunding of Series 2004, 2005

Debt Service Comparison

Date	Total P+I	Escrow	Existing D/S	Net New D/S	Old Net D/S	Savings
09/01/2013	23,431.26	(3,533,431.26)	4,039,540.00	529,540.00	529,540.00	-
09/01/2014	469,977.50	-	18,705.00	488,682.50	529,600.00	40,917.50
09/01/2015	462,182.50	-	18,135.00	480,317.50	525,230.00	44,912.50
09/01/2016	458,995.00	-	17,535.00	476,530.00	524,902.50	48,372.50
09/01/2017	465,595.00	-	16,920.00	482,515.00	528,652.50	46,137.50
09/01/2018	446,897.50	-	16,290.00	463,187.50	511,267.50	48,080.00
09/01/2019	447,907.50	-	15,652.50	463,560.00	508,690.00	45,130.00
09/01/2020	187,807.50	-	-	187,807.50	210,052.50	22,245.00
09/01/2021	190,512.50	-	-	190,512.50	213,452.50	22,940.00
09/01/2022	187,887.50	-	-	187,887.50	211,365.00	23,477.50
09/01/2023	185,000.00	-	-	185,000.00	208,985.00	23,985.00
09/01/2024	186,937.50	-	-	186,937.50	211,400.00	24,462.50
09/01/2025	188,607.50	-	-	188,607.50	213,405.00	24,797.50
Total	\$3,901,738.76	(3,533,431.26)	\$4,142,777.50	\$4,511,085.00	\$4,926,542.50	\$415,457.50

PV Analysis Summary (Net to Net)

Net FV Cashflow Savings.....	415,457.50
Gross PV Debt Service Savings.....	384,929.53
Net PV Cashflow Savings @ 1.321%(Bond Yield).....	384,929.53
Contingency or Rounding Amount.....	3,022.54
Net Future Value Benefit.....	\$418,480.04
Net Present Value Benefit.....	\$387,952.07
Net PV Benefit / \$738,433.36 PV Refunded Interest.....	52.537%
Net PV Benefit / \$3,981,678.40 PV Refunded Debt Service.....	9.743%
Net PV Benefit / \$3,510,000 Refunded Principal.....	11.053%
Net PV Benefit / \$3,620,000 Refunding Principal.....	10.717%

Refunding Bond Information

Refunding Dated Date.....	1/30/2013
Refunding Delivery Date.....	1/30/2013

\$3,620,000
City of Basehor, Kansas
 General Obligation Refunding Bonds, Series 2013
 Crossover Refunding of Series 2004, 2005

Debt Service Schedule

Date	Principal	Coupon	Interest	Total P+I
09/01/2013	-	-	23,431.26	23,431.26
09/01/2014	430,000.00	0.650%	39,977.50	469,977.50
09/01/2015	425,000.00	0.750%	37,182.50	462,182.50
09/01/2016	425,000.00	0.800%	33,995.00	458,995.00
09/01/2017	435,000.00	0.850%	30,595.00	465,595.00
09/01/2018	420,000.00	0.950%	26,897.50	446,897.50
09/01/2019	425,000.00	1.200%	22,907.50	447,907.50
09/01/2020	170,000.00	1.350%	17,807.50	187,807.50
09/01/2021	175,000.00	1.500%	15,512.50	190,512.50
09/01/2022	175,000.00	1.650%	12,887.50	187,887.50
09/01/2023	175,000.00	1.750%	10,000.00	185,000.00
09/01/2024	180,000.00	1.850%	6,937.50	186,937.50
09/01/2025	185,000.00	1.950%	3,607.50	188,607.50
Total	\$3,620,000.00	-	\$281,738.76	\$3,901,738.76

Yield Statistics

Bond Year Dollars.....	\$21,181.72
Average Life.....	5.851 Years
Average Coupon.....	1.3301032%
Net Interest Cost (NIC).....	1.4924601%
True Interest Cost (TC).....	1.4922280%
Bond Yield for Arbitrage Purposes.....	1.3210427%
All Inclusive Cost (AIC).....	1.7555312%
IRS Form 8038	
Net Interest Cost.....	1.3301032%
Weighted Average Maturity.....	5.851 Years

\$1,580,000
City of Basehor, Kansas
 General Obligation Refunding Bonds, Series 2013
 Crossover Refunding of Series 2004

Debt Service Comparison

Date	Total P+I	PCF	Existing D/S	Net New D/S	Old Net D/S	Savings
09/01/2013	7,994.56	(1,542,994.56)	1,856,070.00	321,070.00	321,070.00	-
09/01/2014	283,640.00	-	18,705.00	302,345.00	322,005.00	19,660.00
09/01/2015	276,885.00	-	18,135.00	295,020.00	317,315.00	22,295.00
09/01/2016	274,897.50	-	17,535.00	292,432.50	316,915.00	24,482.50
09/01/2017	277,777.50	-	16,920.00	294,697.50	315,845.00	21,147.50
09/01/2018	260,482.50	-	16,290.00	276,772.50	299,085.00	22,312.50
09/01/2019	258,060.00	-	15,652.50	273,712.50	297,397.50	23,685.00
Total	\$1,639,737.06	(1,542,994.56)	\$1,959,307.50	\$2,056,050.00	\$2,189,632.50	\$133,582.50

PV Analysis Summary (Net to Net)

Net PV Cashflow Savings.....	133,582.50
Gross PV Debt Service Savings.....	127,076.75
Net PV Cashflow Savings @ 1.321%(Bond Yield).....	127,076.75
Contingency or Rounding Amount.....	541.15
Net Future Value Benefit.....	\$134,123.65
Net Present Value Benefit.....	\$127,617.90
Net PV Benefit / \$221,304.52 PV Refunded Interest.....	57.666%
Net PV Benefit / \$1,674,987.87 PV Refunded Debt Service.....	7.619%
Net PV Benefit / \$1,535,000 Refunded Principal.....	8.314%
Net PV Benefit / \$1,580,000 Refunding Principal.....	8.077%

Refunding Bond Information

Refunding Dated Date.....	1/30/2013
Refunding Delivery Date.....	1/30/2013

\$2,040,000

City of Basehor, Kansas
General Obligation Refunding Bonds, Series 2013
Crossover Refunding of Series 2005

Debt Service Comparison

Date	Total P+I	Escrow	Existing D/S	Net New D/S	Old Net D/S	Savings
09/01/2013	15,436.70	(1,990,436.70)	2,183,470.00	208,470.00	208,470.00	-
09/01/2014	186,337.50	-	-	186,337.50	207,595.00	21,257.50
09/01/2015	185,297.50	-	-	185,297.50	207,915.00	22,617.50
09/01/2016	184,097.50	-	-	184,097.50	207,987.50	23,890.00
09/01/2017	187,817.50	-	-	187,817.50	212,807.50	24,990.00
09/01/2018	186,415.00	-	-	186,415.00	212,182.50	25,767.50
09/01/2019	189,847.50	-	-	189,847.50	211,292.50	21,445.00
09/01/2020	187,807.50	-	-	187,807.50	210,052.50	22,245.00
09/01/2021	190,512.50	-	-	190,512.50	213,452.50	22,940.00
09/01/2022	187,887.50	-	-	187,887.50	211,365.00	23,477.50
09/01/2023	185,000.00	-	-	185,000.00	208,985.00	23,985.00
09/01/2024	186,937.50	-	-	186,937.50	211,400.00	24,462.50
09/01/2025	188,607.50	-	-	188,607.50	213,405.00	24,797.50
Total	\$2,262,001.70	(1,990,436.70)	\$2,183,470.00	\$2,455,035.00	\$2,736,910.00	\$281,875.00

PV Analysis Summary (Net to Net)

Net FV Cashflow Savings.....	281,875.00
Gross PV Debt Service Savings.....	257,852.78
Net PV Cashflow Savings @ 1.321%(Bond Yield).....	257,852.78
Contingency or Rounding Amount.....	2,481.39
Net Future Value Benefit.....	\$284,356.39
Net Present Value Benefit.....	\$260,334.17
Net PV Benefit / \$517,128.83 PV Refunded Interest.....	50.342%
Net PV Benefit / \$2,306,690.52 PV Refunded Debt Service.....	11.286%
Net PV Benefit / \$1,975,000 Refunded Principal.....	13.181%
Net PV Benefit / \$2,040,000 Refunding Principal.....	12.761%

Refunding Bond Information

Refunding Dated Date.....	1/30/2013
Refunding Delivery Date.....	1/30/2013

City of Basehor
Agenda Item Cover Sheet

Agenda Item No. 12

Topic:

Revise the legal description and date of public hearing to consider the annexation of Lots 1, 2, 54, and 55 of Briarwood Estates West Subdivision.

Action Requested:

Approve Resolution 2012-29.

Narrative:

June 4th, 2012, City Council directed Staff to prepare a report regarding the feasibility of annexing Lots 1, 2, 54, and 55 of Briarwood Estates West Subdivision.

November 19th, 2012, City Council approved a plan for extension of services and a public hearing date to consider the annexation of Lots 1, 2, 54, and 55 of Briarwood Estates West Subdivision.

November 26th, 2012, Staff sent required information to stakeholders, including Leavenworth County, regarding the proposed annexation as required.

November 29th, 2012, Leavenworth County contacted the City to discuss adding additional right-of-way to the annexation. Staff concluded the additional right-of-way would be contiguous to the existing City limits.

Presented by:

Mitch Pleak and Lloyd Martley

Administration Recommendation:

Approve Resolution 2012-29.

Committee Recommendation:

Attachments:

Resolution 2012-29 (15 pages)

Projector needed for this item?

No

RESOLUTION NO. 2012-29

A RESOLUTION REVISING THE LEGAL DESCRIPTION AND SETTING A PUBLIC HEARING TO CONSIDER THE ANNEXATION OF LOTS 1, 2, 54 AND 55 OF BRIARWOOD ESTATES WEST SUBDIVISION, IN BASEHOR, LEAVENWORTH COUNTY, KANSAS

WHEREAS, the Governing Body of the City of Basehor, Kansas, approved a Report and Plan for Extension of Services in accordance with K.S.A. 12-520a and K.S.A. 12-520b on November 19, 2012, and which Report and Plan for Extension of Services is on file and available for inspection in the City Clerk's office during normal business hours; and

WHEREAS, K.S.A. 12-520(a)(1) requires that the property proposed to be annexed adjoins the City of Basehor; and

WHEREAS, the legal description for the property proposed to be annexed must be revised to reflect that the property proposed to be annexed adjoins the City of Basehor; and

WHEREAS, the Report and Plan for Extension of Services must reflect the revised legal description, but will not change in form or substance; and

WHEREAS, the public hearing to consider the annexation must be rescheduled so that the proper procedures are followed for the annexation.

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF BASEHOR, KANSAS:

Section 1. That the Governing Body of the City of Basehor, Kansas, wishes to revise the legal description and sketch of the property proposed to be annexed to reflect that the property proposed to be annexed adjoins the City of Basehor, attached as **Exhibit A**.

Section 2. That the Governing Body of the City of Basehor, Kansas, adopts the Report and Plan for Extension of Services with the revised annexation, but no change in form or substance, attached as **Exhibit B**.

Section 3. That in accordance with K.S.A. 12-521a, and to properly consider the proposed annexation of Lots 1, 2, 54 and 55 of Briarwood Estates West Subdivision, further described in the legal description and sketch attached as **Exhibit A**, the Governing Body orders the following:

- a. A public hearing on the proposed annexation will be held on February 19, 2013, beginning at 7 p.m. at Basehor City Hall, located at 2620 N. 155th St, Basehor, Kansas for the determination of the advisability of the proposed annexation.
- b. A copy of this resolution, and a sketch of the land proposed to be annexed, shall be sent by certified mail to each owner of land proposed to be annexed not more than 10 days following the date of the adoption of the resolution

- c. A copy of this resolution, and a sketch of the land proposed to be annexed, shall be published in the official City newspaper not less than one week, but no more than two weeks, preceding the date fixed for the public hearing.
- d. A copy of this resolution shall also be sent, no later than ten days following its adoption, by certified mail to the following (if applicable):
 - 1. The board of county commissioners.
 - 2. The governing body of any township where the land to be annexed is located.
 - 3. Any special assessment district or governmental unit providing municipal services to the area proposed to be annexed including, but not limited to, sewer districts, rural water districts, fire districts, or improvement districts.
 - 4. Any utilities having facilities within the area proposed to be annexed.
 - 5. The governing body of any school district in the area proposed to be annexed.
 - 6. Any city, county, township or joint planning commission having jurisdiction over the area proposed to be annexed.
 - 7. Any other political or taxing subdivision located within the area proposed to be annexed.

Section 4. That at the public hearing, a representative of the City of Basehor will present the City's proposal for annexation, including the City's Report and Plan for Extension of Services to the area proposed to be annexed. In determining the advisability of the annexation, the Governing Body will consider the criteria set forth in K.S.A. 520a(e).

Section 5. That this resolution shall be effective upon its adoption by the Governing Body of the City of Basehor, Kansas.

ADOPTED by the Governing Body this ___ day of _____, 2012.

APPROVED by the Mayor this ___ day of _____, 2012.

[SEAL]

David K. Breuer, Mayor

ATTEST:

Corey Swisher, City Clerk

APPROVED AS TO FORM:

Shannon M. Marcano, City Attorney

EXHIBIT A
Legal Description and Sketch

Part 1:

All of Lots 1, 2, and 55, and all that part of Lot 54, and all that part of 153rd Street dedicated for public use, of BRIARWOOD ESTATES WEST SUBDIVISION, a recorded subdivision of land in the Northeast Quarter of Section 11, Township 11 South, Range 22 East, in Leavenworth County, Kansas, being more particularly described as follows:

Beginning at the Northwest corner of said Lot 1; thence South 89° 28' 00" East, along the most Northern line of said BRIARWOOD ESTATES WEST SUBDIVISION, a distance of 574.42 feet to the Northeast corner of said Lot 55; thence South 00° 12' 13" West, along the East lines of said Lots 55 and 54, a distance of 549.08 feet to the Southeast corner of said Lot 54; thence North 89° 30' 52" West, along the South line of said Lot 54, a distance of 160.21 feet to the East line of the West 87.00 feet of said Lot 54; thence North 00° 12' 13" East, along the East line of the West 87.00 feet of said Lot 54, a distance of 6.00 feet; thence North 89° 30' 52" West, along a line that is 6.00 feet North of and parallel with the South line of said Lot 54, a distance of 87.00 feet to a point on the West line of said Lot 54; thence North 00° 12' 13" East, along the West line of said Lot 54, a distance of 66.51 feet to the point of intersection of the Easterly prolongation of the South line of said Lot 2 with the West line of said Lot 54; thence North 89° 30' 52" West, along the South line of said Lot 2 and its Easterly prolongation thereof, a distance of 327.21 feet to the Southwest corner of said Lot 2; thence North 00° 12' 13" East, along the West lines of said Lots 2 and 1, a distance of 477.05 feet to the Point of Beginning. Containing 6.69 acres, more or less. The bearings used in this description are based on the recorded plat of BRIARWOOD ESTATES WEST SUBDIVISION.

Part 2:

All that part of Kansas State Highway 24-40 right-of-way in the Northeast Quarter of Section 11, Township 11 South, Range 22 East, in Leavenworth County, Kansas, that lies North of BRIARWOOD ESTATES WEST SUBDIVISION, a recorded subdivision of land, being bound on the West by the Northerly prolongation of the West line of Lot 1 of said BRIARWOOD ESTATES WEST SUBDIVISION up to the North line of the said Northeast Quarter, and bound on the East by the Northerly prolongation of the East line of Lot 55 of said BRIARWOOD ESTATES WEST SUBDIVISION up to the North line of the said Northeast Quarter. Containing 1.01 acres, more or less.

Sketch:

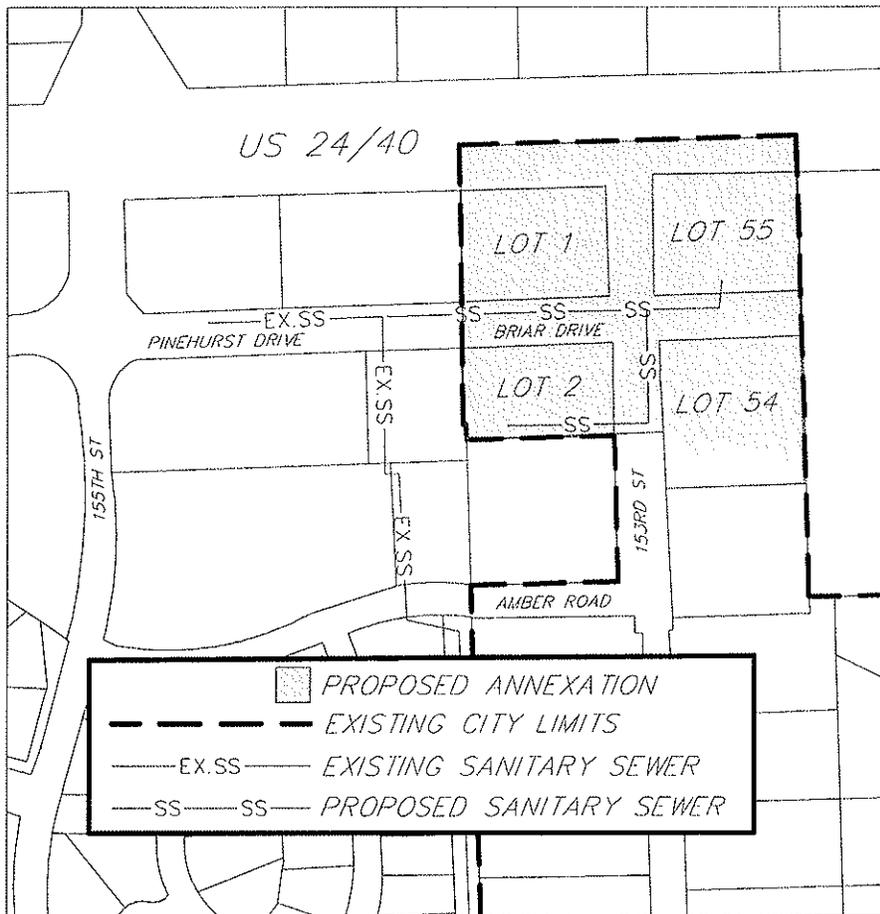


EXHIBIT B

Report and Plan for Extension of Services

ANNEXATION REPORT FOR LOTS 1, 2, 54, AND 55 OF
BRIARWOOD ESTATES WEST SUBDIVISION, INCLUDING THE PLAN FOR EXTENSION
OF SERVICES

(K.S.A. 12-520b)

Sketch A- annexation area:



This Annexation Report has been prepared for the Governing Body of the City of Basehor, Kansas concerning the annexation of certain property, described below, into the City. This Report includes a plan for extension of services, as required by K.S.A. 12-520b. The information included in this Report is intended to assist the Governing Body in determining the advisability of annexing the property, and providing information to inform the affected landowners what benefits they will receive and what cost they will incur through the annexation.

Legal Description:

Part 1:

All of Lots 1, 2, and 55, and all that part of Lot 54, and all that part of 153rd Street dedicated for public use, of BRIARWOOD ESTATES WEST SUBDIVISION, a recorded subdivision of land in the Northeast Quarter of Section 11, Township 11 South, Range 22 East, in Leavenworth County, Kansas, being more particularly described as follows:

Beginning at the Northwest corner of said Lot 1; thence South 89° 28' 00" East, along the most Northern line of said BRIARWOOD ESTATES WEST SUBDIVISION, a distance of 574.42 feet to the Northeast corner of said Lot 55; thence South 00° 12' 13" West, along the East lines of said Lots 55 and 54, a distance of 549.08 feet to the Southeast corner of said Lot 54; thence North 89° 30' 52" West, along the South line of said Lot 54, a distance of 160.21 feet to the East line of the West 87.00 feet of said Lot 54; thence North 00° 12' 13" East, along the East line of the West 87.00 feet of said Lot 54, a distance of 6.00 feet; thence North 89° 30' 52" West, along a line that is 6.00 feet North of and parallel with the South line of said Lot 54, a distance of 87.00 feet to a point on the West line of said Lot 54; thence North 00° 12' 13" East, along the West line of said Lot 54, a distance of 66.51 feet to the point of intersection of the Easterly prolongation of the South line of said Lot 2 with the West line of said Lot 54; thence North 89° 30' 52" West, along the South line of said Lot 2 and its Easterly prolongation thereof, a distance of 327.21 feet to the Southwest corner of said Lot 2; thence North 00° 12' 13" East, along the West lines of said Lots 2 and 1, a distance of 477.05 feet to the Point of Beginning. Containing 6.69 acres, more or less. The bearings used in this description are based on the recorded plat of BRIARWOOD ESTATES WEST SUBDIVISION.

Part 2:

All that part of Kansas State Highway 24-40 right-of-way in the Northeast Quarter of Section 11, Township 11 South, Range 22 East, in Leavenworth County, Kansas, that lies North of BRIARWOOD ESTATES WEST SUBDIVISION, a recorded subdivision of land, being bound on the West by the Northerly prolongation of the West line of Lot 1 of said BRIARWOOD ESTATES WEST SUBDIVISION up to the North line of the said Northeast Quarter, and bound on the East by the Northerly prolongation of the East line of Lot 55 of said BRIARWOOD ESTATES WEST SUBDIVISION up to the North line of the said Northeast Quarter. Containing 1.01 acres, more or less.

A. Considerations to guide determination of advisability of annexation:

1. Extent to Which Any of the Area is Land Devoted to Agricultural Use

No land within the proposed lots to be annexed is used for agricultural purposes.

2. Area of Platted Land Relative to Unplatted Land

All of the land within the proposed area to be annexed is platted.

3. Topography, Natural Boundaries, Storm and Sanitary Sewers, Drainage Basins, Transportation Links or any Other Physical Characteristics Which May be an Indication of the Existence or Absence of Common Interest of the City and the Area Proposed to be Annexed

The proposed area to be annexed is located within the Wolf Creek drainage basin. The area is not currently being served by the City of Basehor's wastewater treatment plant, which is typical of

many lots within the City limits. The lots abut the current city boundary and are within the City's growth management area. The lots to be annexed currently use the same transportation links used by other similar lots in the City limits.

4. Extent and Age of Residential Development in the Area to be Annexed and Adjacent Land Within the City's Boundaries

The lots to be annexed are a part of the Briarwood Estates West Subdivision , which was platted in 1989. The Briarwood Estates West Subdivision abuts the Pinehurst North Subdivision, which is west of the lots to be annexed. The Pinehurst North Subdivision is located in the City limits and was platted in 2003. It contains commercially zoned lots.

The lots to be annexed do not include residential development and are zoned commercial. The closest residential property is located approximately 400 feet south of the lots to be annexed, within the Briarwood Estates West Subdivision and was developed in 1989.

5. Present Population in the Area to be Annexed and the Projected Population Growth During the Next Five Years in the Area Proposed to be Annexed

Of the four lots to be annexed, three are occupied by commercial businesses, and one is a vacant lot, zoned for commercial use. Due to the nature of commercial property, no proposed population growth is projected within the lots to be annexed.

6. Extent of Business, Commercial, and Industrial Development in the Area

Of the four lots to be annexed, three are occupied by commercial businesses, and one is a vacant lot, zoned for commercial use. Lot 1 is occupied by a storage business, consisting of four buildings with 96 storage units. Lot 2 is occupied by an automobile repair service and a pet grooming business located within the same building. Lot 55 is occupied by a strip center in which a heating and cooling service, pizzeria, martial arts school, and tavern business are located. The area to be annexed is located along U.S. Highway 24/40. Other businesses providing goods and services are located along U.S. Highway 24/40 within the City limits.

7. Present Cost, Methods, and Adequacy of Governmental Services and Regulatory Controls in the Area

Police Protection – The lots to be annexed are currently served by the Leavenworth County Sheriff's Office ("LVSO"). The LVSO has divided the County into districts for patrolling purposes. The lots to be annexed are located in a district that is bounded by State Avenue on the north, 206th Street to the west, and the County line on the east and south sides. The district contains approximately 72 square miles. There is one officer assigned to each district. An additional officer roams between this district and the district to the west.

Currently, the LVSO patrol officers have a large coverage area. Once the lots are annexed, the Basehor Police Department will provide police protection. The smaller coverage area of the Basehor Police Department will allow the level of service to increase once the annexation is completed.

The City of Basehor's Police Department currently patrols the streets and property adjoining the lots to be annexed.

Fire Protection – The lots to be annexed are currently served by the Fairmount Township Fire Department ("FTFD"), and will continue to be served by the FTFD after the annexation. The FTFD is a volunteer fire department with over thirty active members. The FTFD is located on 155th Street approximately 2.5 miles north of the proposed annexation area. The FTFD is funded by a 4.596 tax levy (2012 Tax Rate) that is paid by all properties in the Fairmount Township.

The service provided by the FTFD is adequate based upon the rural setting and population of the Fairmont Township.

Wastewater Treatment – The lots to be annexed are not currently serviced by the City's wastewater treatment plan. The lots to be annexed are currently on septic systems which are monitored by Leavenworth County under Kansas Department of Health and Environment regulations.

Street Maintenance – The roads within the proposed annexation area are maintained by the Leavenworth County Public Works Department ("CPWD"). The CPWD maintains 770 miles of county roads. The majority of the roads, approximately 66%, are unpaved.

The total budget for the CPWD was approximately \$8,272,673 in 2012. On a per mile basis the County spends over \$10,743/mile/year on road maintenance. The CPWD receives the majority of its funding, around 55%, through property taxes. All residents within the County, including those incorporated cities, pay 10.352 mills (2012 Tax Rate) to the County Road & Bridge Fund. Residents outside of the City pay any additional township mil levy for township roads. The 2012 tax rate for Fairmount Township county roads was 7.491 mils.

Trash Service – The lots to be annexed are individually responsible for their own trash service needs and agreements with trash service providers. This arrangement will not change once the annexation is completed.

Domestic Water – Domestic water service is provided by Suburban Water, Inc. No change in water service will occur once the annexation is completed.

Regulatory Controls – The Leavenworth County Board of County Commissioners ("BOCC") currently regulates this area. Land use issues within the area must be heard by the Leavenworth County Planning Commission ("PC"). The PC makes recommendations to the BOCC on land use issues. Leavenworth County issues building permits for the area, but no building codes have been adopted by County.

8. Proposed Cost, Extent, and the Necessity of Governmental Services to be Provided by the City Proposing Annexation and the Plan and Schedule to extend Such Services

Police Protection – The City of Basehor Police Department (the "Department") divides the City into two Districts for patrolling purposes. The Department has a North and a South district with

Parallel Street as the dividing line. The North District covers approximately 3.5 square miles of area while the South District covers approximately 3.30 square miles. The lots to be annexed would be located in the South District once annexation is complete. The Department has one officer assigned to each District at all times. Officers with the Department currently drive through the annexation area on a routine basis while patrolling the surrounding homes within the subdivision. The increase in cost to the Department to patrol the area will be negligible because the lots to be annexed are adjacent to the current City limits, and officers are already in the area. The Department is primarily funded through property taxes. In 2013 the Department will receive \$824,763 from the City's General Fund. This equates to 38% of the City General Fund. According to the Department's 2013 budget and the Department's coverage area, approximately \$190/acre is spent by the City on police protection. The lots to be annexed are the equivalent of 6.4 acres of land. The anticipated increased cost to the City to provide police protection to this area is \$798.

Fire Protection – The lots to be annexed and the City of Basehor are both located in Fairmount Township. No change in fire protection will occur once the annexation is complete.

Wastewater Treatment –The City plans to extend the sewer system to Lots 2, 54 and 55. The extension of sewer will be financed out of the City's general fund, with the possibility of a bond issue. The owners of Lots 2, 54, and 55 will enter into annexation agreements with the City in which they will agree to pay the costs of extension of sewers over an agreed upon time period. It is estimated that the sewer extension will cost \$120,000, which will be split evenly between the three property owners. It is anticipated that the extension of sewer services will be completed within three years.

Street Maintenance – The City of Basehor Public Works Department ("PWD") maintains approximately 47 miles of roadway within the City limits. The 2013 budget includes \$207,515 for the "PWD" and \$890,000 for the Consolidated Highway Fund. The Consolidated Highway Fund is used for street maintenance and repair, the pavement management plan, as well as salt and sand. On a per mile basis the City spends \$23,351/mile/year on maintaining roads. The lots to be annexed contain approximately 0.11 miles. The additional cost to the City for street maintenance once the annexation is complete will be negligible.

Trash Service – The lots to be annexed are commercially zoned. The City of Basehor does not provide or control commercial trash services. There will be no additional cost to the City once the annexation is complete.

Domestic Water – Domestic water service is provided by Suburban Water, Inc. No change in water service will occur once the annexation is complete.

Regulatory Controls – The City of Basehor Planning Commission and City Council would regulate the lots to be annexed.

The County assessed valuation of the four lots in 2012 was \$1,760,550. Using the City's 2013 mil levy rate of 29.407 the City would collect \$9,222 from the lots. These funds would be used to fund the public services provided to the lots by the City of Basehor.

9. Tax Impact Upon Property in the City and the Area

There will be no current tax impact on the taxpayers in the City of Basehor. The property owners of the lots to be annexed will see an approximate 22% increase in their taxes. The following table shows the 2013 tax levies for the Leavenworth County and the City of Basehor:

	City of Basehor	Leavenworth County
State	1.5	1.5
County	36.514	36.514
Basehor City	29.407	
Fairmount Township	4.596	13.05
School - USD 458	61.395	61.395
Basehor Library	7.142	7.142
Total	140.554	119.601

The following table shows the change in property taxes for each lot to be annexed:

Property Tax

PROPERTY ADDRESS	Existing Property Tax w/City	Property Tax	Property Tax Change
15395 Briar Road	\$15,150	\$17,804	\$2,654
15394 Briar Road	\$13,556	\$15,931	\$2,375
15208 153rd Street	\$19,237	\$22,608	\$3,370
Parcel ID: 1811100000002930	\$4,696	\$5,518	\$822

Based on 2013 Leavenworth County Tax Rates

The property owners of the lots to be annexed will be subject to franchise tax associated with public services within the City. The following table shows franchise taxes per service:

	% of Gross Revenue
Westar Energy	3
Atmos Energy	3
Suburban Water	5
Knology	3
AT&T	3
Southwestern Bell	3

10. Extent to Which the Residents in the Area are Directly or Indirectly Dependent Upon the City for Governmental Services and for Social, Economic, Employment, Cultural, and Recreational Opportunities and Resources.

Of the four lots to be annexed, three are occupied by commercial businesses, and one is a vacant lot, zoned for commercial use. The lots to be annexed are partially dependent on the City's transportation links for economic purposes. It is anticipated that the employment base for the businesses in the annexation area is mostly located within the City. In addition, employees would find most of their social, cultural and recreational opportunities within the City.

11. Effect of the Proposed Annexation on the City and Other Adjacent Areas, Including, but not Limited to, Other Cities, Sewer and Water Districts, Improvement Districts, Townships or Industrial Districts and, Subject to the Provisions of K.S.A 12-512a, and Amendments Thereto, Fire Districts

The annexation will have no impact on other cities, water district, improvement district, township, or fire district. Currently, Lot 2 and 55 utilize septic tanks. Once the sewer infrastructure is constructed, and the appropriate connections have been performed for each lot, and each lot has paid their obligation to the City, the sewer rates for the lots will be established at the current City sewer rates.

12. Existing petition for Incorporation of the Area as a New City or for the Creation of a Special District

There are no known existing petitions.

13. Likelihood of Significant Growth in the Area and in Adjacent Areas During the Next Five Years

The lots to be annexed are developed with commercial businesses, with the exception of one lot. The lots to be annexed about commercial, multifamily, and residential lots.

Leavenworth County Sewer District #3 has closed its sewage lagoon by connecting the district's system to the City of Basehor's sewage system. A new gravity sewer line was constructed across undeveloped ground east of Glenwood Estates Subdivision. This line will open over sixty acres of land for development. A middle school was constructed in 2010 located near the west side of

158th Street directly west of Glenwood Elementary School. In 2011, the City was awarded matching dollars thru the Kansas Department of Transportation ("KDOT") to construct Wolf Creek Parkway (158th Street to 155th Street). In 2012, the City was awarded matching dollars thru KDOT to reconstruct 155th Street (US 24/40 to Wolf Creek Parkway) and 158th Street (US 24/40 to Wolf Creek Parkway). Also in 2012, KDOT is constructing a traffic light and capacity improvements at the intersection of 158th Street and US 24/40.

The likelihood of additional growth in the surrounding area is high.

14. Effect of Annexation Upon the Utilities Providing Service to the Area and the Ability of Those Utilities to Provide Those Services Shown in the Detailed Plan

The utility providers will not change due to the proposed annexation. Currently, Lot 2 and 55 utilize septic tanks. Once the sewer infrastructure is constructed, and the appropriate connections have been performed for each lot, and each lot has paid their obligation to the City, the sewer rates for the parcels lots will be established at the current City sewer rates.

15. Economic Impact on the Area

Other than the City fees, sanitary sewer obligations, and taxes discussed above, there will be no economic impact on the lots to be annexed. The economic impact on the area surrounding the lots to be annexed will be minimal. Of the four lots to be annexed, three are occupied by commercial businesses, and one is a vacant lot, zoned for commercial use. The sales taxes generated by the businesses, along with the property taxes, will offset any negative economic impact and will more likely have a beneficial economic impact on the area.

16. Wasteful Duplication of Services

There will be no duplication of services.

B. Plan for Extension of Services

Refer to Sketch A, which shows the proposed area to be annexed along with the existing City limits. The public roadways and City utility lines are also shown. The land use pattern within the area to be annexed is commercial.

The following information outlines how each major municipal service will be affected by the proposed annexation:

1. **Police Protection** – Upon annexation of the area police protection will be assumed by the City of Basehor Police Department. The police department is primarily funded through property taxes. The City of Basehor's Police Department to date patrols the adjoining lots (Lot 1 and Lot 2), Pinehurst Drive and 155th Street. Since police officers are regularly in the area the additional costs to the department will be minimal. Any additional costs for police protection will be paid for by the City's general fund. Extension of police service will occur immediately once the annexation is complete.
2. **Fire Protection** – The lots to be annexed and the City of Basehor are located in Fairmount Township. The township is served by the Fairmount Township Fire Department. The fire

department is funded by a tax levy paid by all properties within the Township. No additional cost will be incurred by residents within the lots to be annexed or current City limits due to the annexation, and fire protection services will continue, uninterrupted.

3. **Wastewater Treatment** - The City plans to extend the sewer system to Lots 2, 54 and 55. The extension of sewer will be financed out of the City's general fund, with the possibility of a bond issue. The owners of Lots 2, 54, and 55 will enter into annexation agreements with the City in which they will agree to pay the costs of extension of sewers over an agreed upon time period. It is estimated that the sewer extension will cost \$120,000, which will be split evenly between the three property owners. It is anticipated that the extension of sewer services will be completed within three years.
4. **Street Maintenance** – Upon annexation of the area street maintenance will be assumed by the City of Basehor Public Works Department (PWD). The PWD maintains approximately 47 miles of roadway within the City limits. The proposed annexation contains approximately 0.11 miles. The additional cost to the City will be negligible. Extension of street maintenance services will occur immediately once the annexation is complete.
5. **Domestic Water** – Domestic water service is provided by Suburban Water, Inc. No change in water service will occur once the annexation is complete.
6. **Franchise Taxes** – Franchise tax will be collected for Westar Energy, Atmos Energy, Suburban Water, Knology, AT&T, and Southwestern Bell services once the annexation is complete.